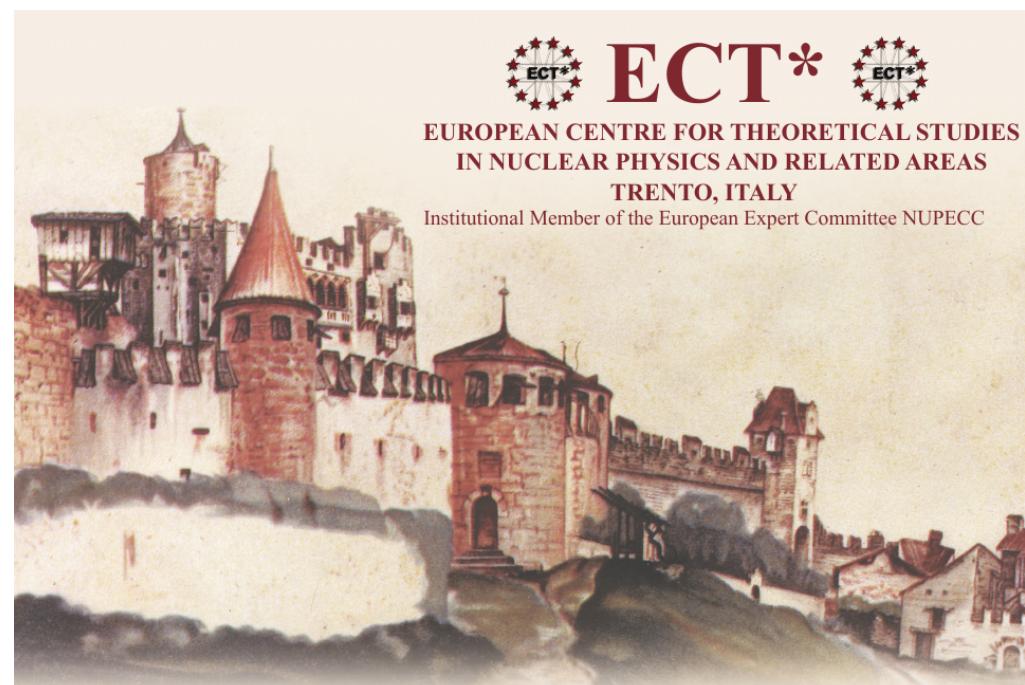


The **HOLMES** experiment

Angelo Nucciotti

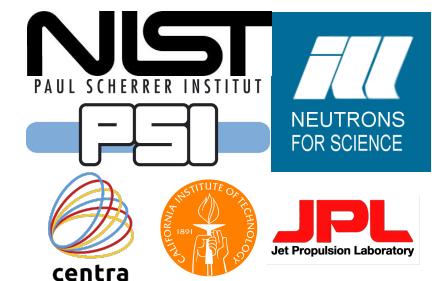
Università di Milano-Bicocca e INFN - Sezione di Milano-Bicocca
on behalf of the **HOLMES** collaboration



Castello di Trento ("Trint"), watercolor 19.8 x 27.7, painted by A. Dürer on his way back from Venice (1495). British Museum, London

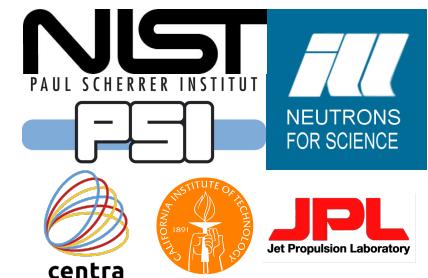
Determination of the absolute electron (anti-)neutrino mass

Trento, April 4 - 8, 2016





- Absolute neutrino mass
- ^{163}Ho EC decay for direct neutrino mass measurements
- **HOLMES** experiment
 - sensitivity MC simulations
 - experiment design
 - technical task development status
- Conclusions



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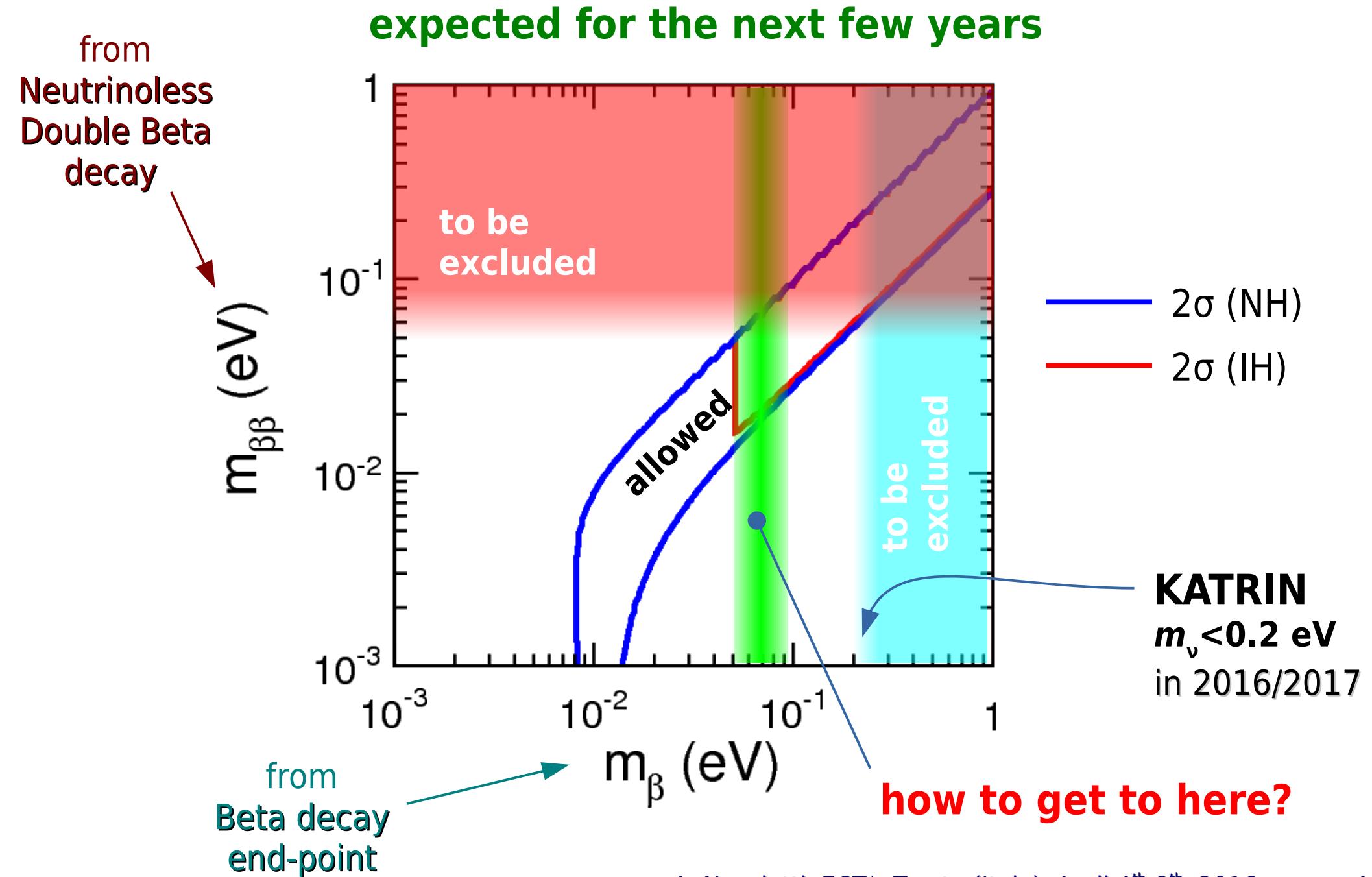
Caltech/JPL

P.K.Day

ILL

U.Koester

The Challenge: absolute neutrino mass



Electron capture end-point experiment / 1

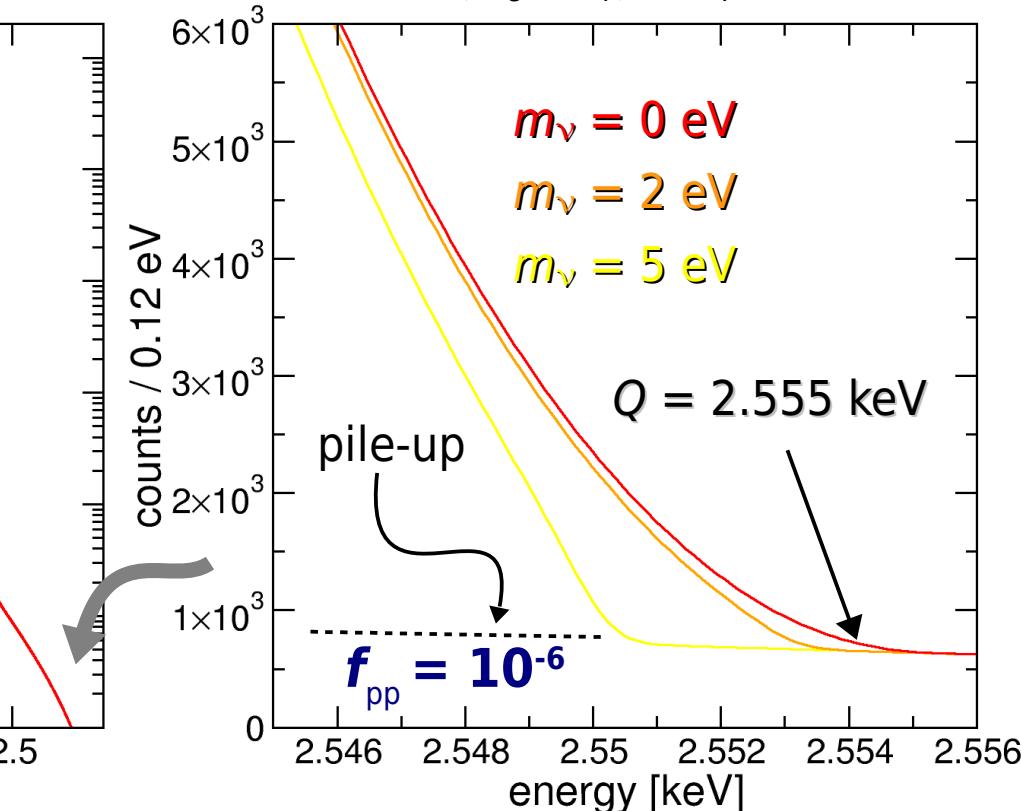
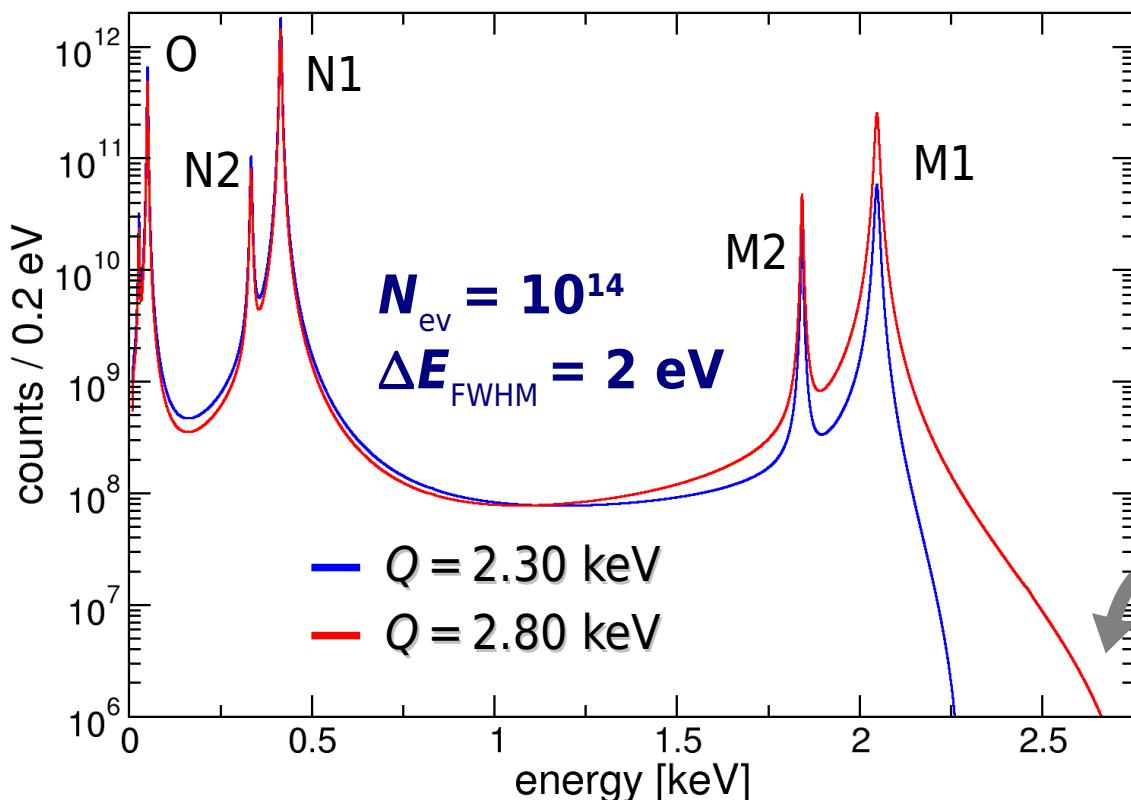


electron capture from shell $\geq M1$

A. De Rújula and M. Lusignoli, Phys. Lett. B 118 (1982) 429

- calorimetric measurement of Dy atomic de-excitations (mostly non-radiative)
- $Q = 2.8 \text{ keV}$ (recent measurement with Penning trap)
 - rate at end-point and ν mass sensitivity depend on $Q - E_{M1}$
- $\tau_{\nu} \approx 4570 \text{ years} \rightarrow$ few active nuclei are needed ($2 \times 10^{11} \text{ }^{163}\text{Ho}$ nuclei $\leftrightarrow 1 \text{ Bq}$)

$$\frac{d\lambda_{EC}}{dE_c} = \frac{G_{\beta}^2}{4\pi^2} (Q - E_c) \sqrt{(Q - E_c)^2 - m_{\nu}^2} \times \sum_i n_i C_i \beta_i^2 B_i \frac{\Gamma_i}{2\pi} \frac{1}{(E_c - E_i)^2 + \Gamma_i^2/4}$$

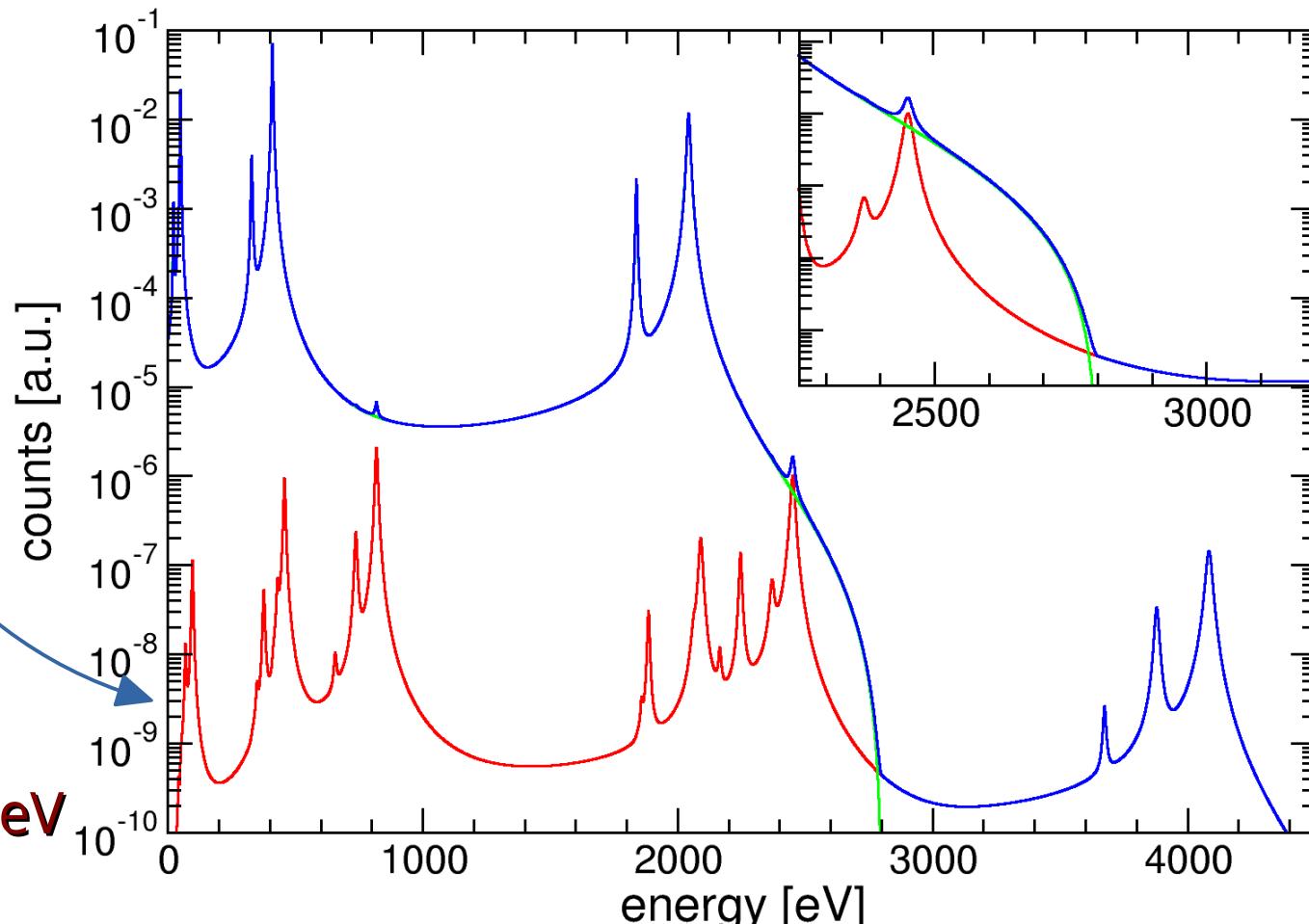


Electron capture end-point experiment / 2

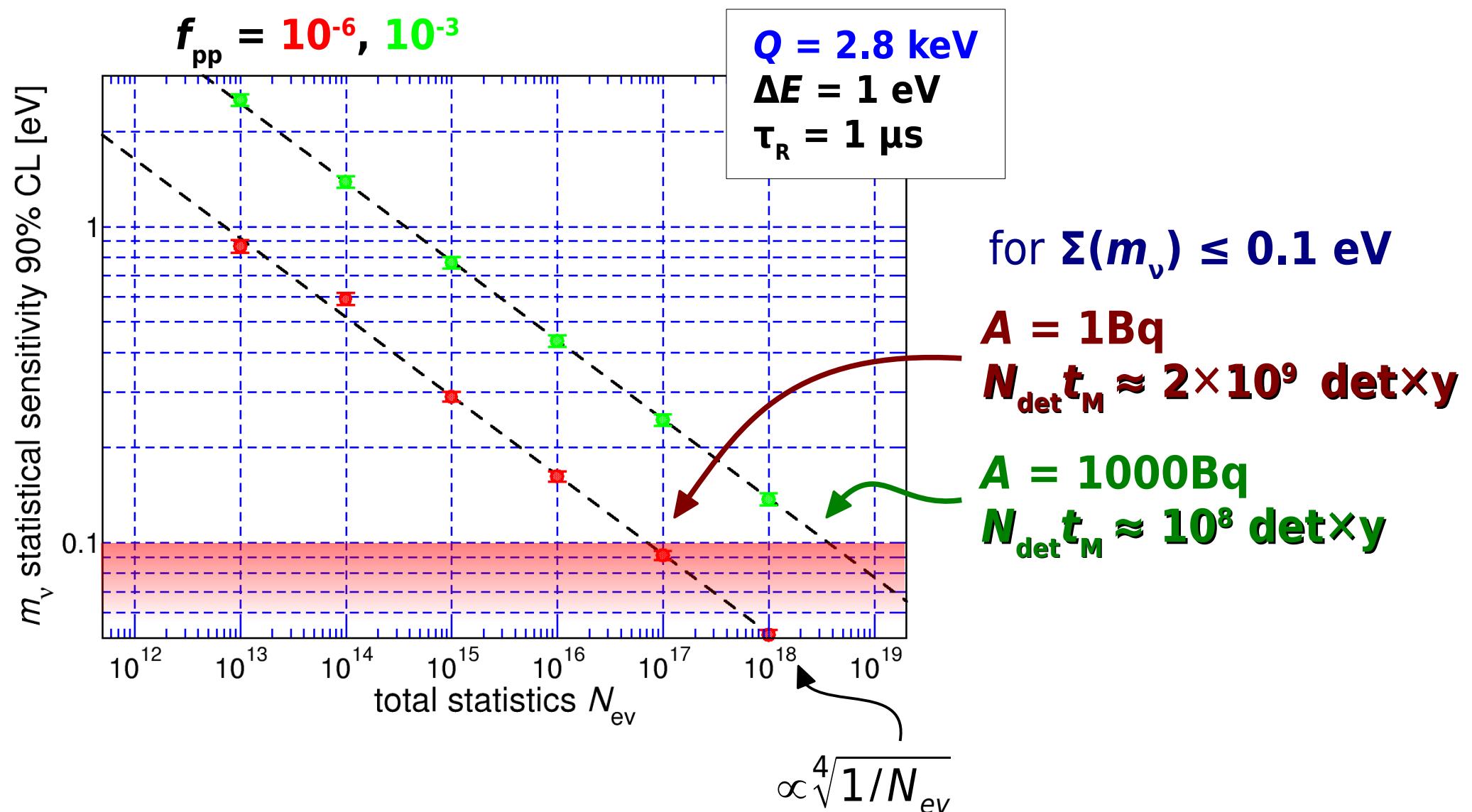


- no direct calorimetric measurement of Q (end-point) so far
- complex pile-up spectrum
 - $N_{pp}(E) = f_{pp} N_{EC}(E) \otimes N_{EC}(E)$ with $f_{pp} \approx A_{EC} \tau_R$

A_{EC} EC activity per detector
 τ_R time resolution (\approx rise time)



Statistical sensitivity: Montecarlo simulations





Electron capture end-point experiment / 3

- shake-up/shake-off → double hole excitations

- n -hole excitations possible but less probable

- authors do not fully agree on energies and probabilities



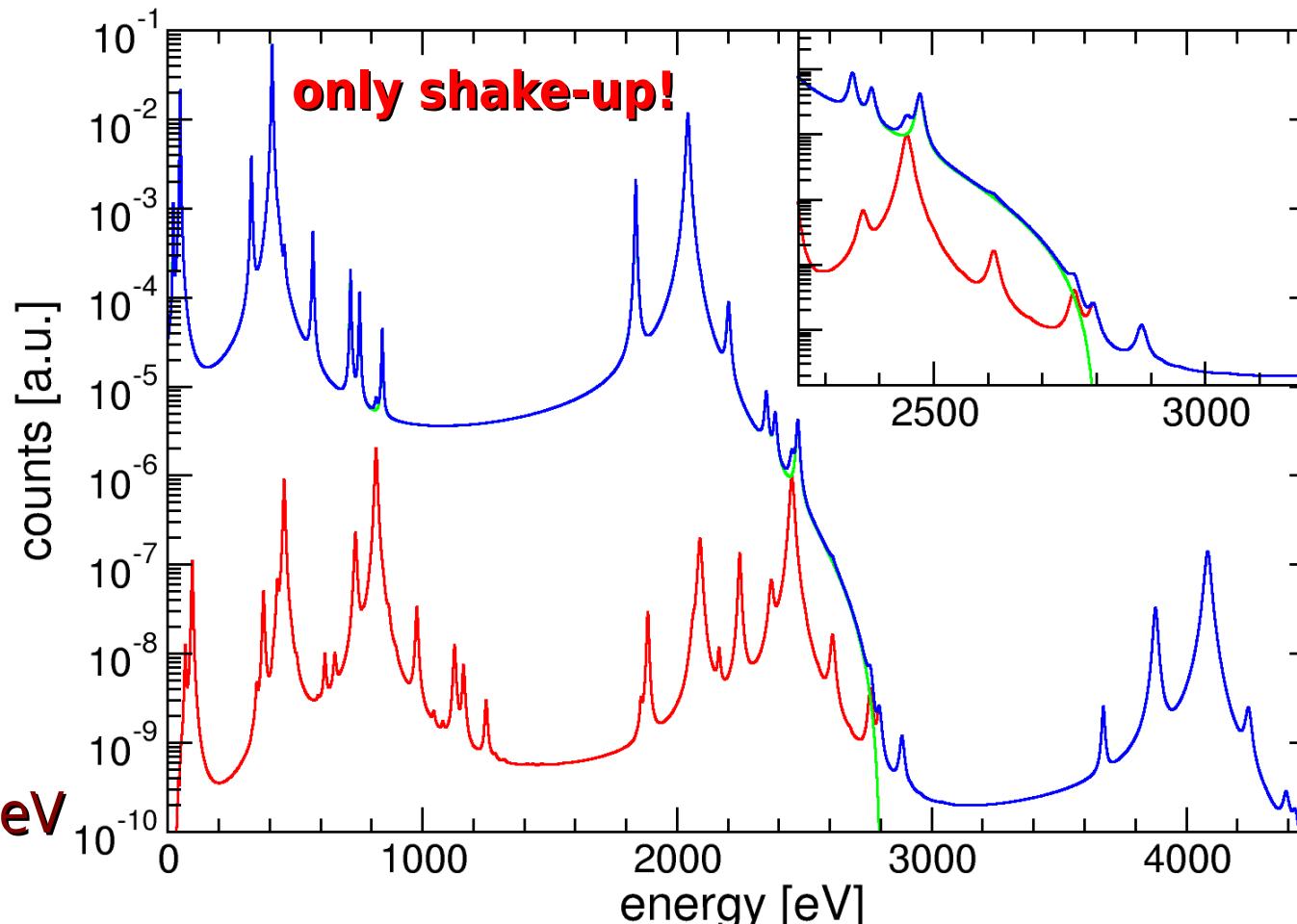
- even more complex pile-up spectrum

- it may be worth keeping f_{pp} smaller than 10^{-4}

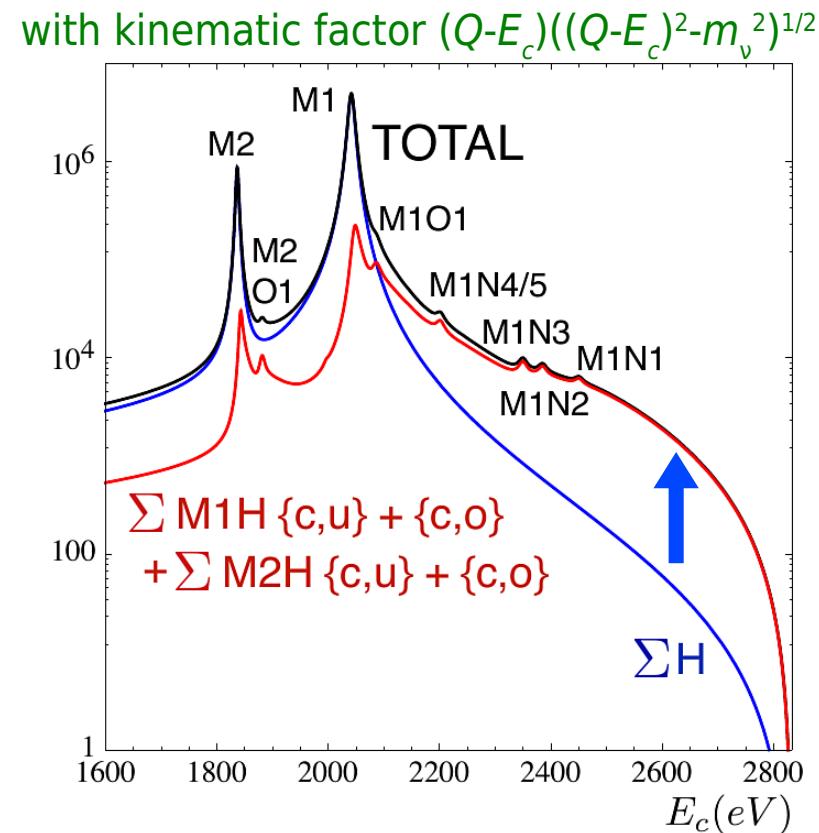
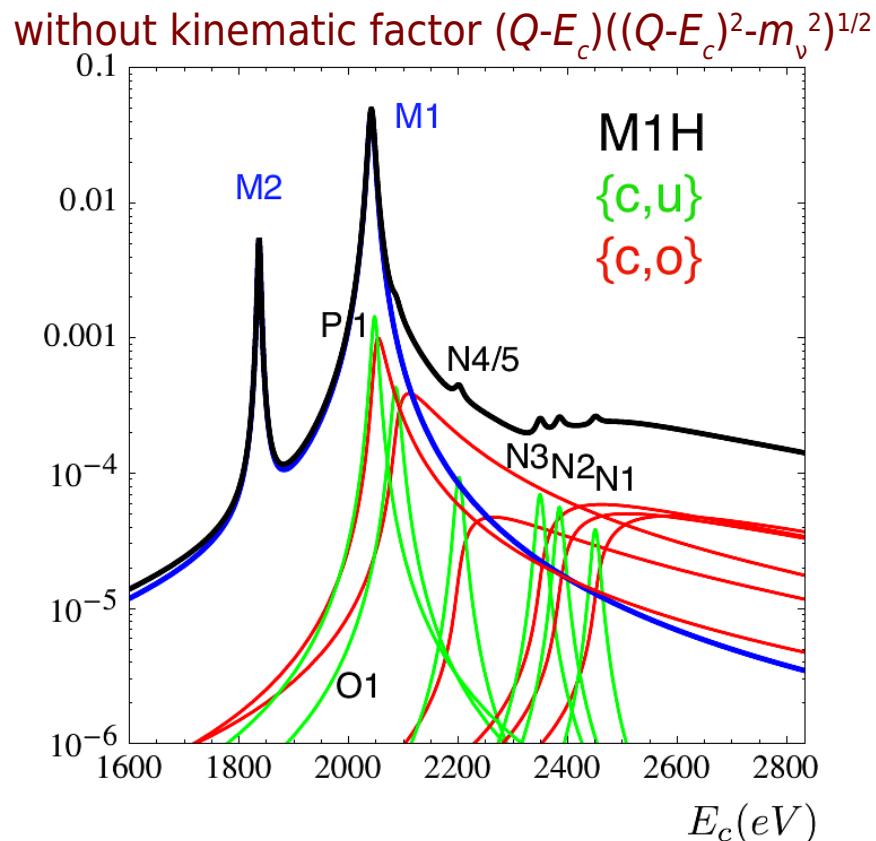
A.De Rújula, arXiv:1305.4857

R.G.H.Robertson, arXiv:1411.2906

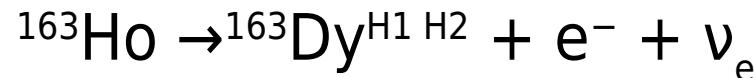
A.Faessler et al., PRC 91 (2015) 45505



Electron capture end-point experiment / 4



- including **2-hole shake-off processes** → **A. De Rújula**



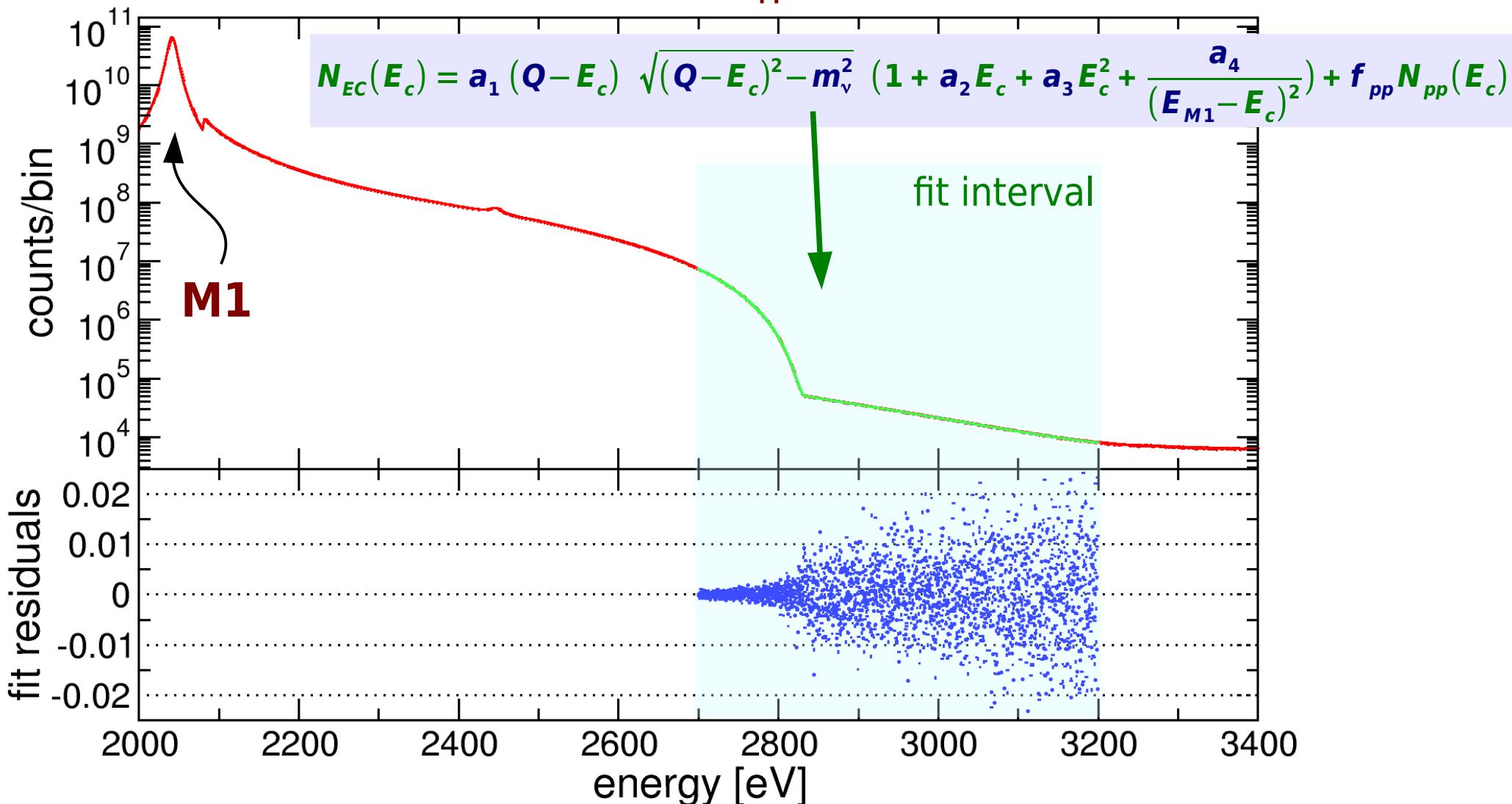
- dominate rate at end-point
 - **optimistic:** factor **~40** increase (**A.De Rújula and M. Lusignoli, arXiv:1601.04990**)
 - no analytic description of spectral shape at end-point
- make **pile-up less important**

Statistical sensitivity: shake-off processes



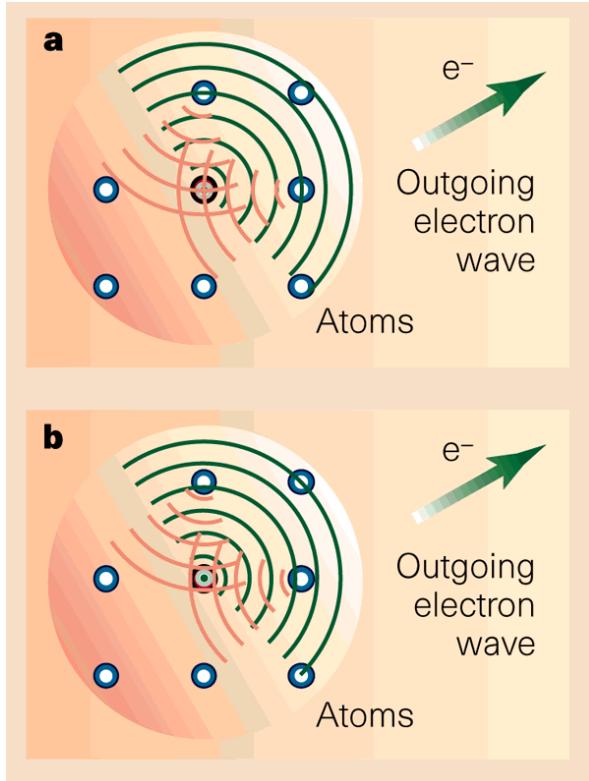
MC simulation with the *optimistic* spectrum in arXiv:1601.04990

$$Q = 2833 \text{ eV}, N_{\text{ev}} = 3 \times 10^{13}, f_{\text{pp}} = 3.0 \times 10^{-4}, \Delta E = 1.0 \text{ eV}$$



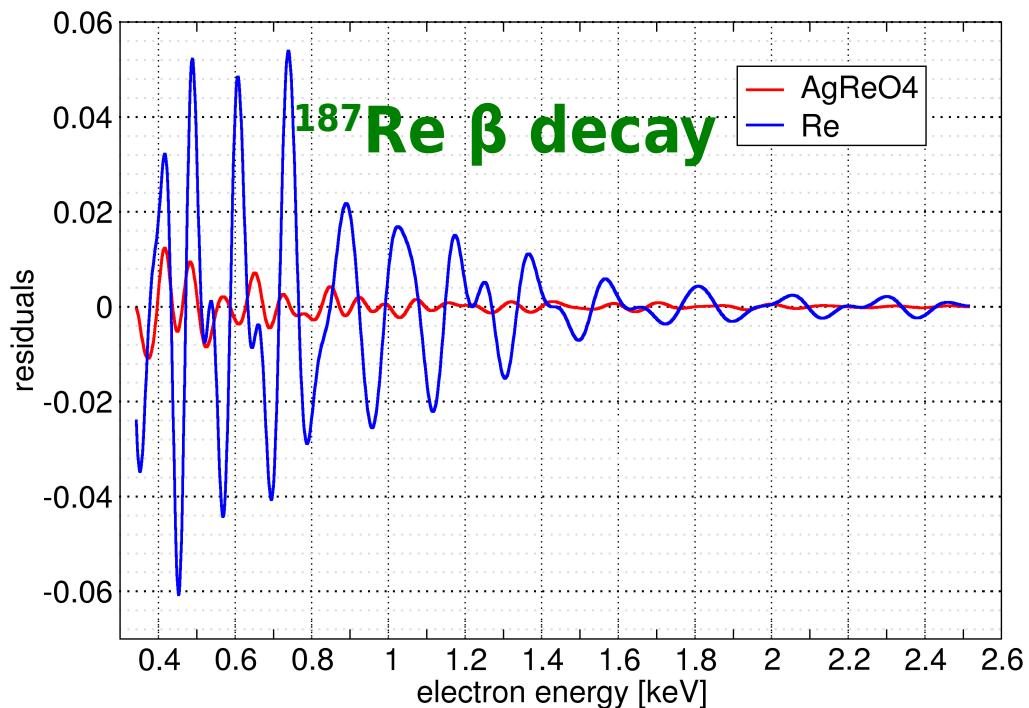
$$\text{statistical sensitivity } \Sigma(m_\nu) \approx 0.64 \pm 0.03 \text{ eV}$$

Beta Environmental Fine Structure in ^{163}Ho ?



$$\chi_{BEFS}(k_e) = F_s \chi_{EXAFS}^{l=0} + F_p \chi_{EXAFS}^{l=1}$$

$$\chi_{EXAFS}^l(k_e) = (-1)^l \sum_{n=1}^N B_{nl}(k_e, R_n) e^{-2k_e^2 \sigma_n^2} \sin(2k_e R_n + \delta_{0l} + \delta_{nl})$$

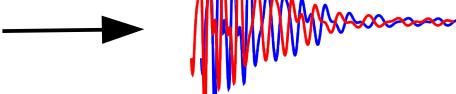


- what about BEFS in ^{163}Ho spectra?

▷ E_c deposited by cascade processes → convolution?



▷ different transition sequences → cancellation?



▷ smeared position of ^{163}Ho in host lattice → attenuation?

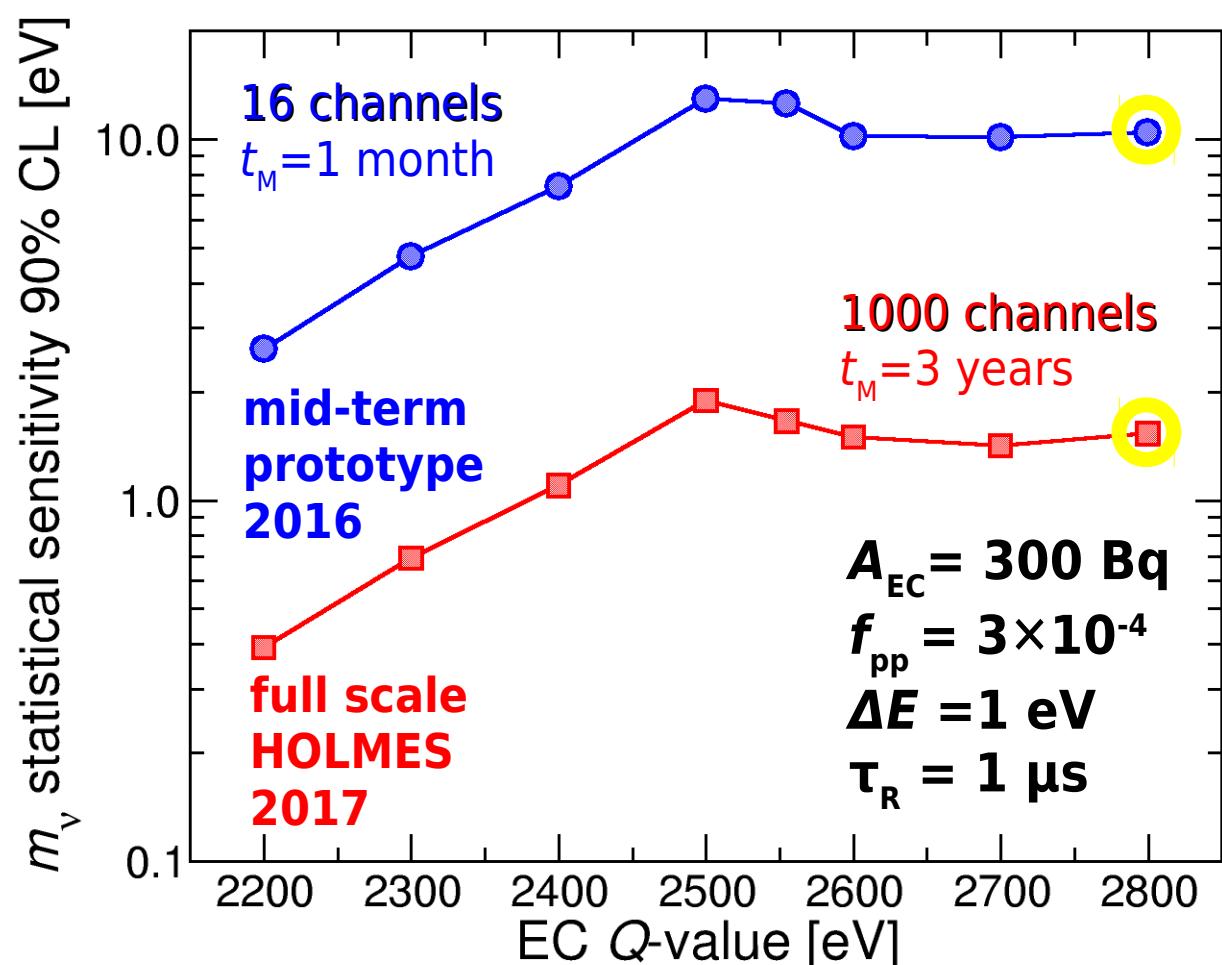


goal

- neutrino mass measurement: m_ν statistical sensitivity as low as 0.4 eV
- prove technique potential and scalability:
 - ▶ assess EC spectral shape
 - ▶ assess systematic errors

baseline

- TES with implanted ^{163}Ho
 - ▶ 6.5×10^{13} nuclei per pixel
→ **300 dec/sec**
 - ▶ $\Delta E \approx 1\text{eV}$ and $\tau_R \approx 1\mu\text{s}$
- **1000 channel** array
 - ▶ $6.5 \times 10^{16} {}^{163}\text{Ho}$ nuclei
→ $\approx 18\mu\text{g}$
 - ▶ 3×10^{13} events in **3 years**



→ Project Started on February 1st 2014

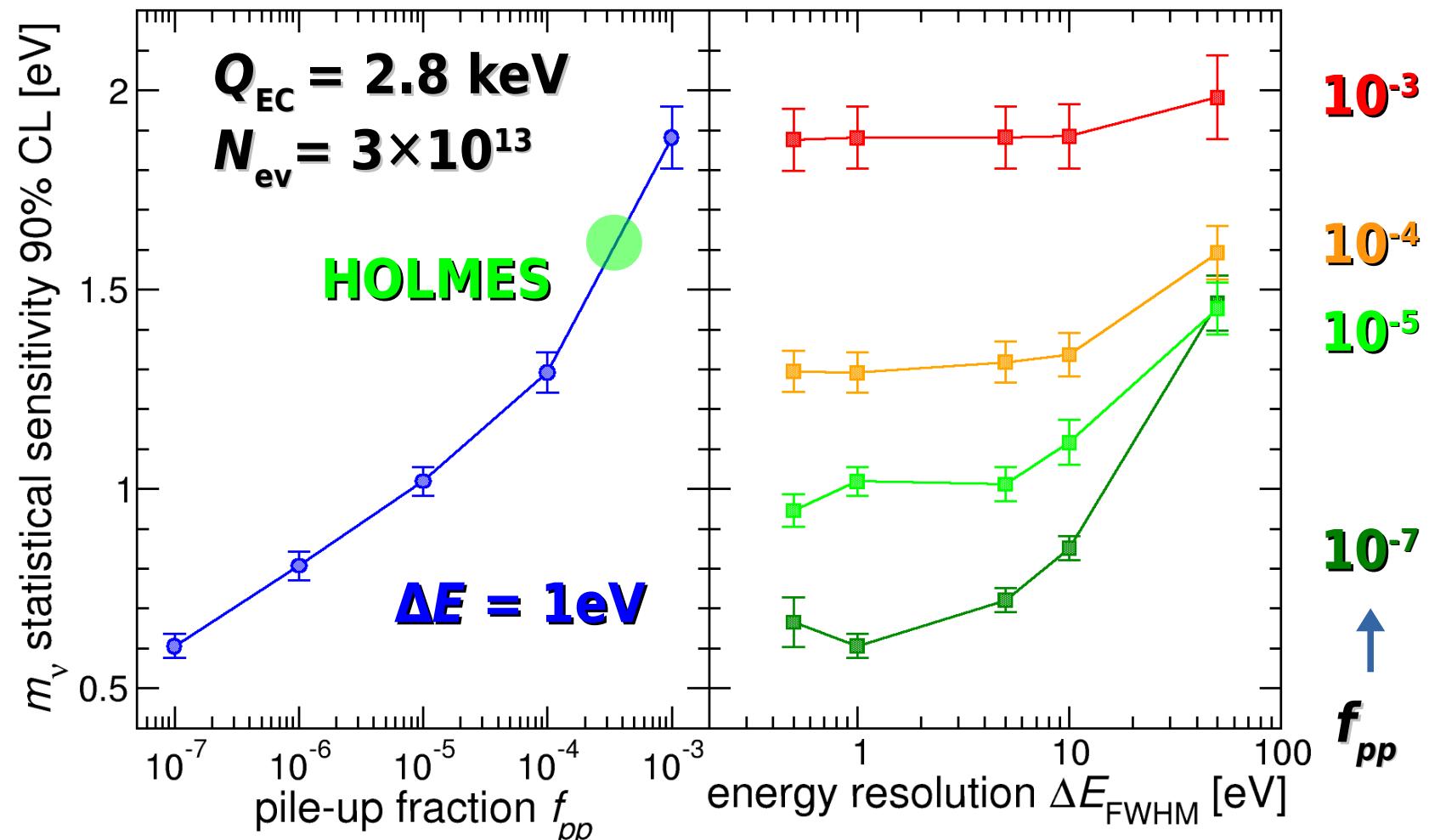
HOLMES design: more MC simulations...



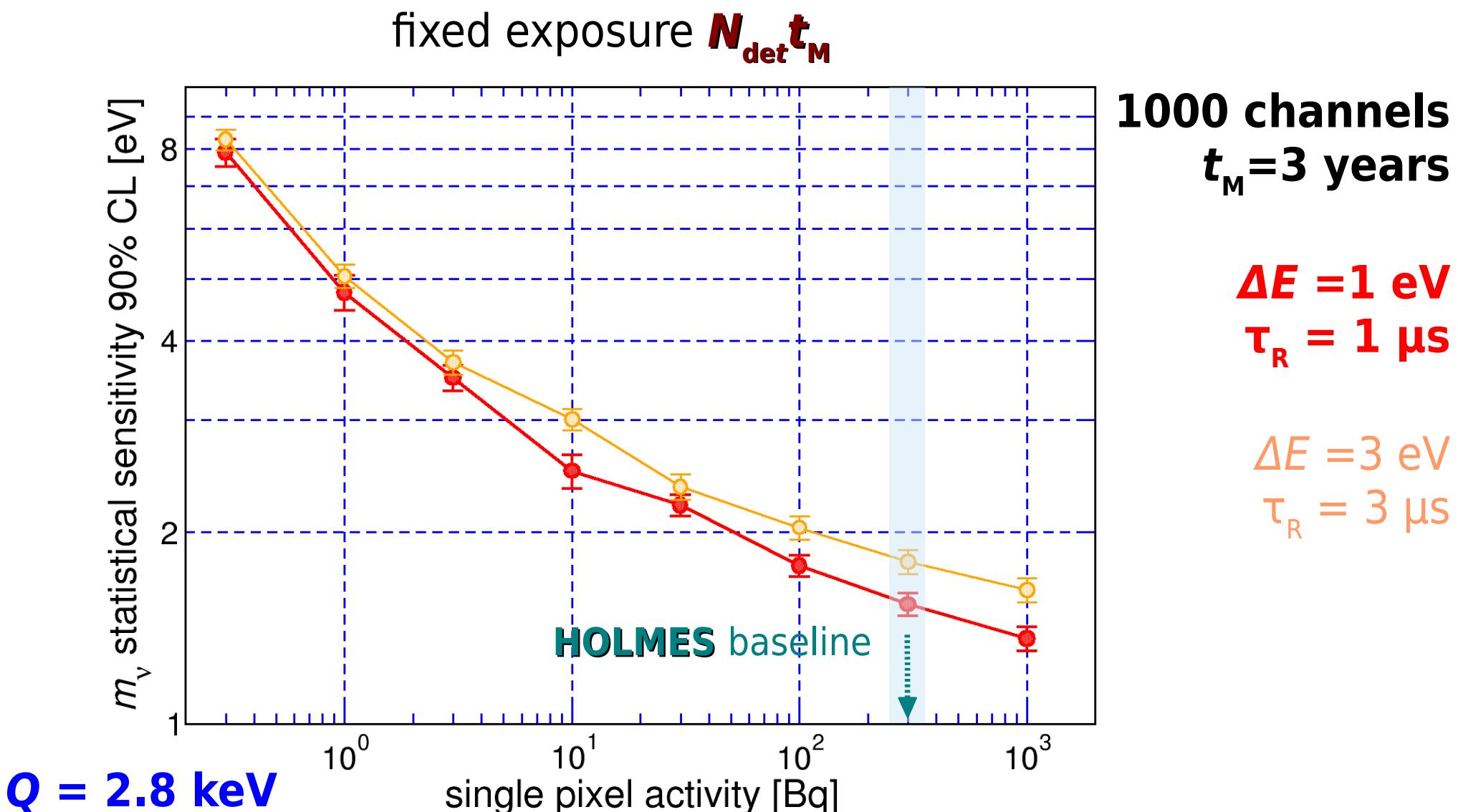
Statistical sensitivity $\Sigma(m_\nu)$ dependencies from MC simulations

- strong on statistics $N_{\text{ev}} = A_{\text{EC}} N_{\text{det}} t_M$: $\Sigma(m_\nu) \propto N_{\text{ev}}^{-1/4}$
- strong on rise time pile-up (probability $f_{pp} \approx A_{\text{EC}} \tau_R$)
- weak on energy resolution ΔE

t_M measuring time
 N_{det} number of detectors
 A_{EC} EC activity per detector
 τ_R time resolution (\approx rise time)

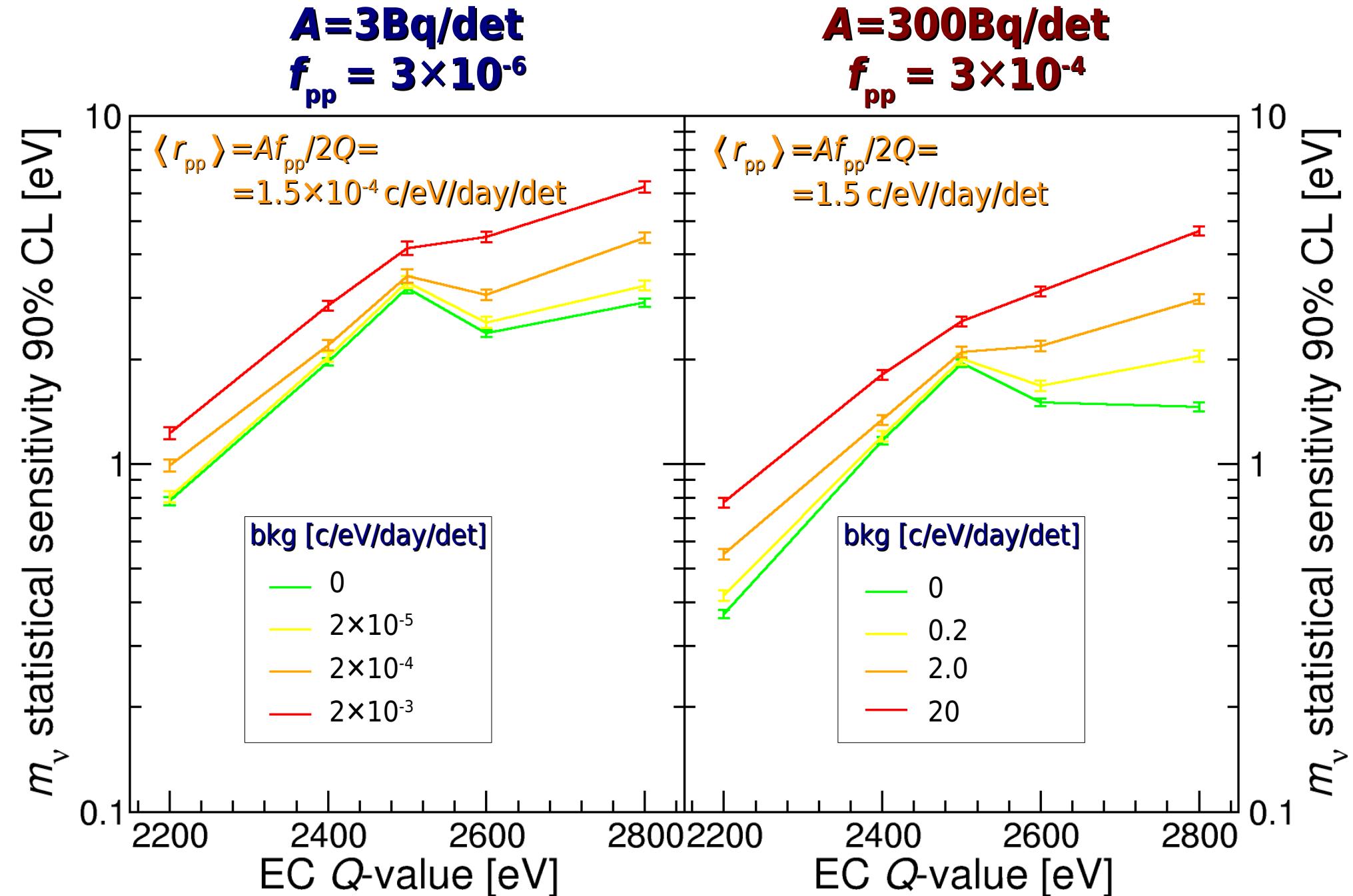


Statistical sensitivity and single pixel activity



high activity \rightarrow robustness against (flat) background
 $A=300\text{Bq} \rightarrow b < \approx 0.1 \text{ counts/eV/day/det}$

Effect of background on sensitivity



Low energy background sources



- environmental γ radiation
- γ , X and β from close surroundings
- **cosmic rays**
 - ▷ GEANT4 simulation for CR at sea level (**muons**)
 - ▷ **Bi pixel $200 \times 200 \times 3 \text{ } \mu\text{m}^3 \rightarrow bkg \approx 5 \times 10^{-5} \text{ c/eV/day/det (0 - 4 keV)}$**

• internal radionuclides

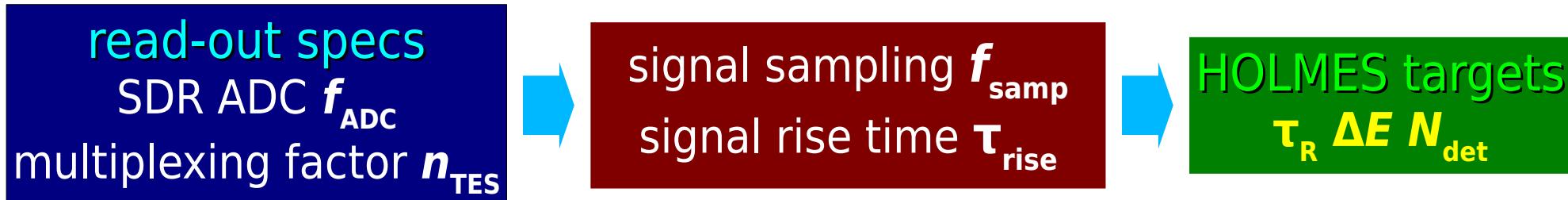
- ▷ GEANT4 simulation for ^{166m}Ho (β^- , $\tau_{1/2} = 1200 \text{ y}$, produced along with ^{163}Ho)
- ▷ **Bi pixel $200 \times 200 \times 3 \text{ } \mu\text{m}^3 \rightarrow bkg \approx 10^{-11} \text{ c/eV/day/det/}(^{166m}\text{Ho nucleus})$**
- ▷ $bkg \approx 0.5 \text{ c/eV/day/det/Bq}(^{166m}\text{Ho})$
- ▷ $A(^{163}\text{Ho}) = 300 \text{ Bq/det}$: for $bkg(^{166m}\text{Ho}) < 0.1 \text{ c/eV/day/det}$
 - $\rightarrow N(^{163}\text{Ho})/N(^{166m}\text{Ho}) > 6000$
 - $\rightarrow A(^{163}\text{Ho})/A(^{166m}\text{Ho}) > 1500$

MIBETA experiment with $300 \times 300 \times 150 \text{ } \mu\text{m}^3 \text{ AgReO}_4$ crystals
 $bkg(2..5\text{keV}) \approx 1.5 \times 10^{-4} \text{ c/eV/day/det}$

HOLMES experiment design



- design mostly driven by **read-out bandwidth** requirements
 - TES microwave multiplexing with rf-SQUID ramp modulation + Software Defined Radio (SDR)



$$f_{\text{samp}} \geq \frac{R_d}{\tau_{\text{rise}}} \approx \frac{5}{\tau_{\text{rise}}} \quad \text{detector signal sampling (signal BW)}$$

$$f_{\text{res}} \geq 2 n_{\Phi_0} f_{\text{samp}} \quad \text{flux ramp modulated signal BW (resonator BW)}$$

$$f_n \geq g_f f_{\text{res}} = \frac{2 R_d g_f n_{\Phi_0}}{\tau_{\text{rise}}} \quad \text{microwave tones separation}$$

multiplexing factor

$$n_{\text{TES}} = \frac{f_{\text{ADC}}}{f_n} \leq \frac{f_{\text{ADC}} \tau_{\text{rise}}}{2 R_d g_f n_{\Phi_0}} \approx \frac{f_{\text{ADC}} \tau_{\text{rise}}}{200}$$

for fixed $f_{\text{ADC}} = 550 \text{MHz}$ and $n_{\text{TES}} \approx 30 \leftrightarrow \tau_{\text{rise}} \approx 10 \mu\text{s}$ with $f_{\text{samp}} = 0.5 \text{MHz}$

→ check for τ_R and $\Delta E...$

Effective time resolution



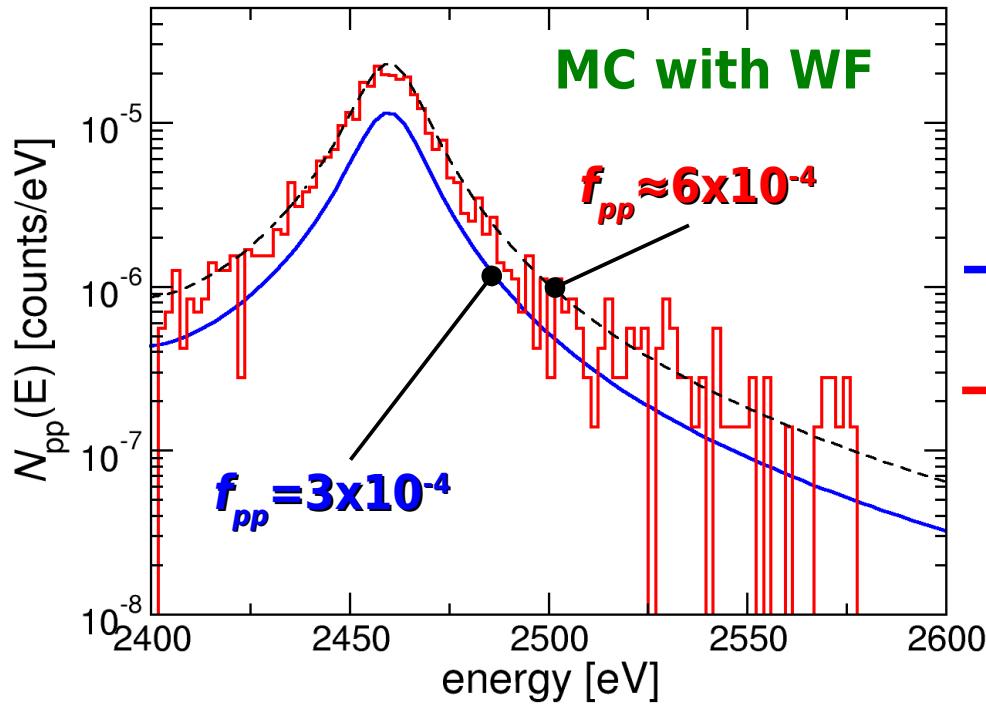
- for subsequent (Δt) events with energy E_1 and E_2 : time resolution $\tau_R = \tau_R(E_1, E_2)$

$$N_{pp}(E) = A_{EC} \int_0^{\infty} \tau_R(E, \epsilon) N_{EC}(\epsilon) N_{EC}(E - \epsilon) d\epsilon$$

- Montecarlo pile-up spectrum simulations

- event pairs with $E_1 + E_2 \in [2.4 \text{ keV}, 2.6 \text{ keV}]$ (drawn from ^{163}Ho spectrum), $\Delta t \in [0, 16\mu\text{s}]$ *
- pulse shape and noise from NIST TES model, sampled with f_{samp} , record length, and n bit
- process pulses with pile-up detection algorithms:
 - Wiener Filter WF ($\rightarrow \text{E. Ferri}$) or Single Value Decomposition SVD ($\rightarrow \text{B. Alpert}$)

- evaluate effective time resolution τ_{eff} from pile-up detection efficiency $\eta(\Delta t)$



$$f_{pp} = A_{EC} \Delta t_{max} \left[1 - \int_0^{\Delta t_{max}} \frac{\eta(x)}{\Delta t_{max}} dx \right] = A_{EC} \tau_{\text{eff}}$$

- $f_{pp} N_{EC}(E) \otimes N_{EC}(E)$ with $A = 300 \text{ Bq}$ and $\tau_R = 1 \mu\text{s}$
- WF simulation** with $f_{\text{samp}} = 1 \text{ MHz}$, $\tau_{\text{rise}} \approx 10 \mu\text{s}$, and $A = 300 \text{ Bq}$
 \rightarrow estimated $\tau_{\text{eff}} \approx 3 \mu\text{s}$

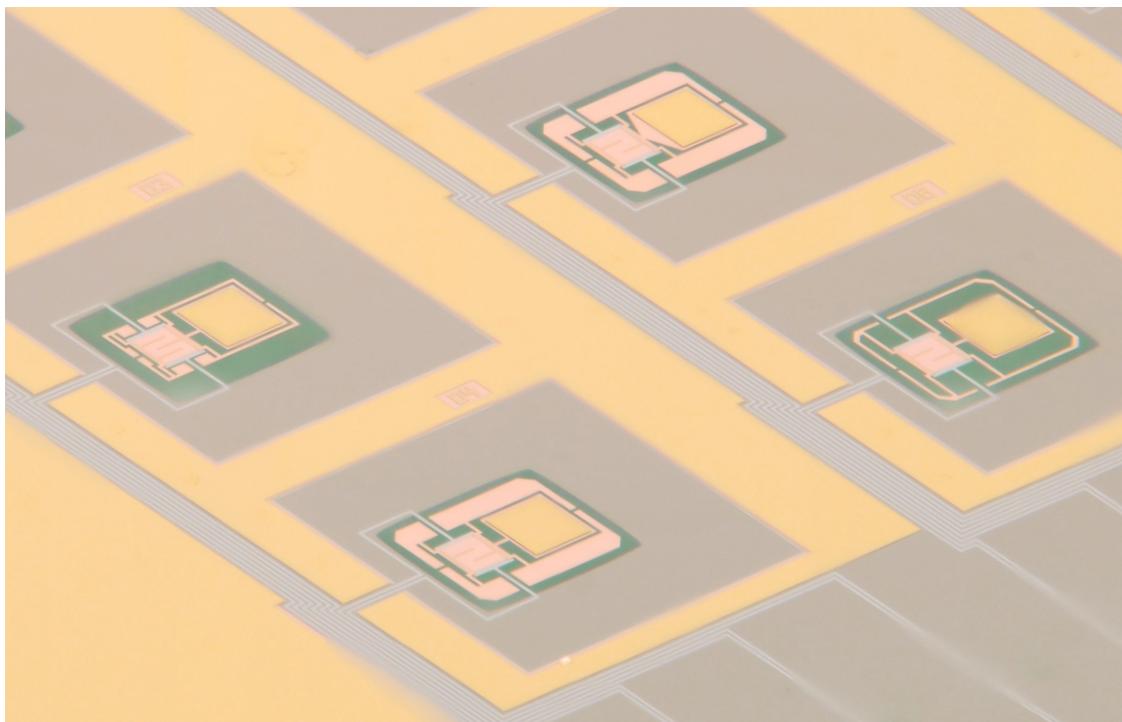
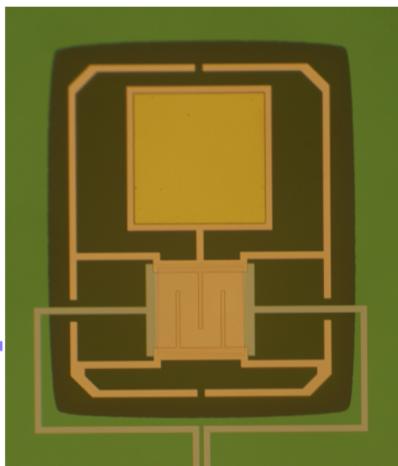
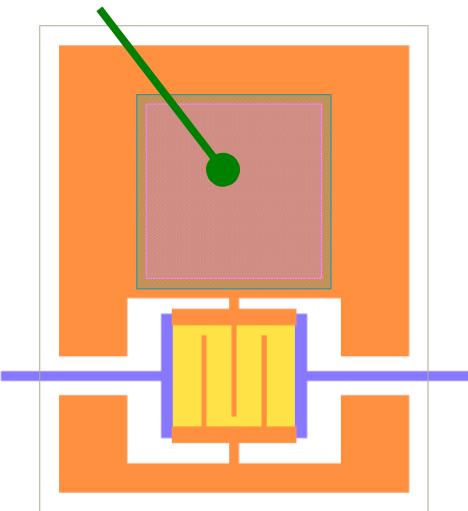
* for WF simulations

HOLMES pixel design



- optimize design for speed and resolution → **J.Hays-Wehle**
 - ▷ **specs @2.5keV :** $\Delta E_{FWHM} \approx 1\text{eV}$, $\tau_{rise} \approx 10\mu\text{s}$, $\tau_{decay} \approx 100\mu\text{s}$ (* exponential time constants)
- **2 μm Au** thickness for *full* electron and photon absorption
 - ▷ GEANT4 simulation: **99.99998% / 99.927%** full stopping for 2 keV electrons / photons
- *side-car* design to avoid TES proximitation and G engineering for τ_{decay} control
- define process for ^{163}Ho implantation vs. excess heat capacity

^{163}Ho



- **tests at NIST are in progress**

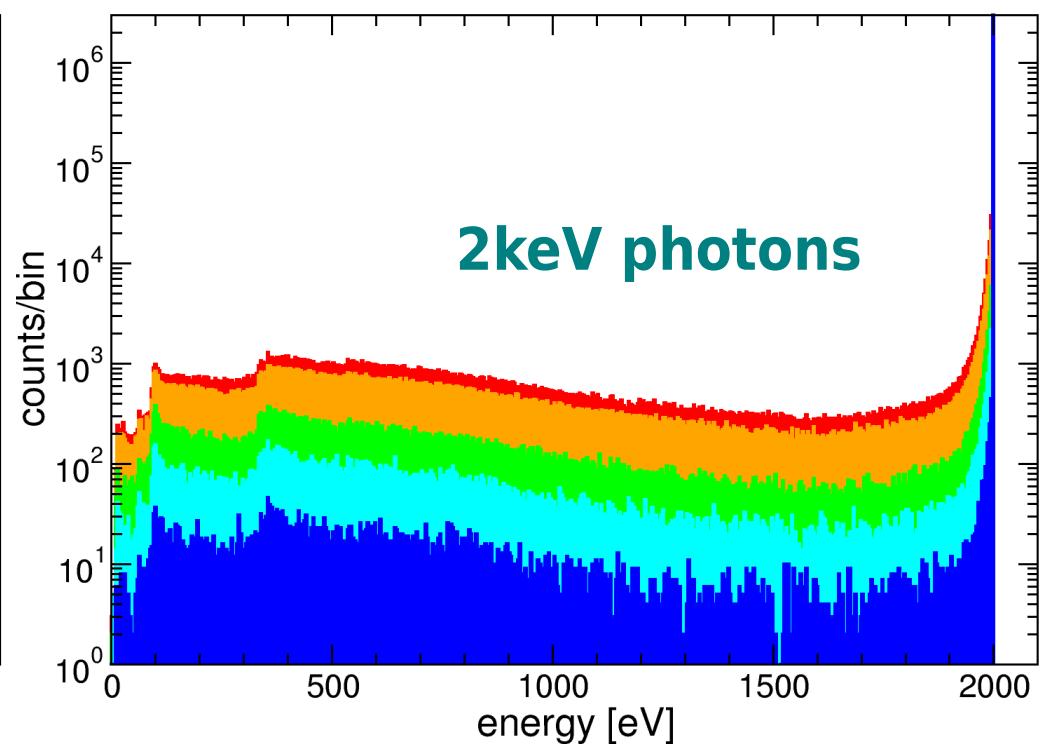
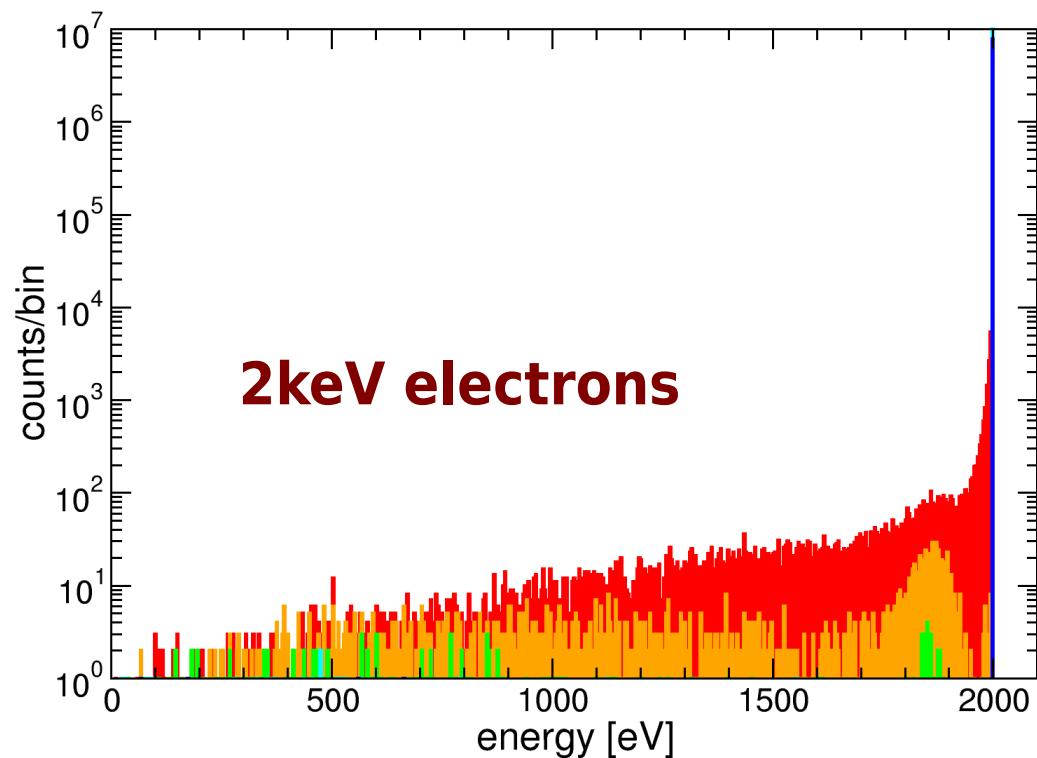
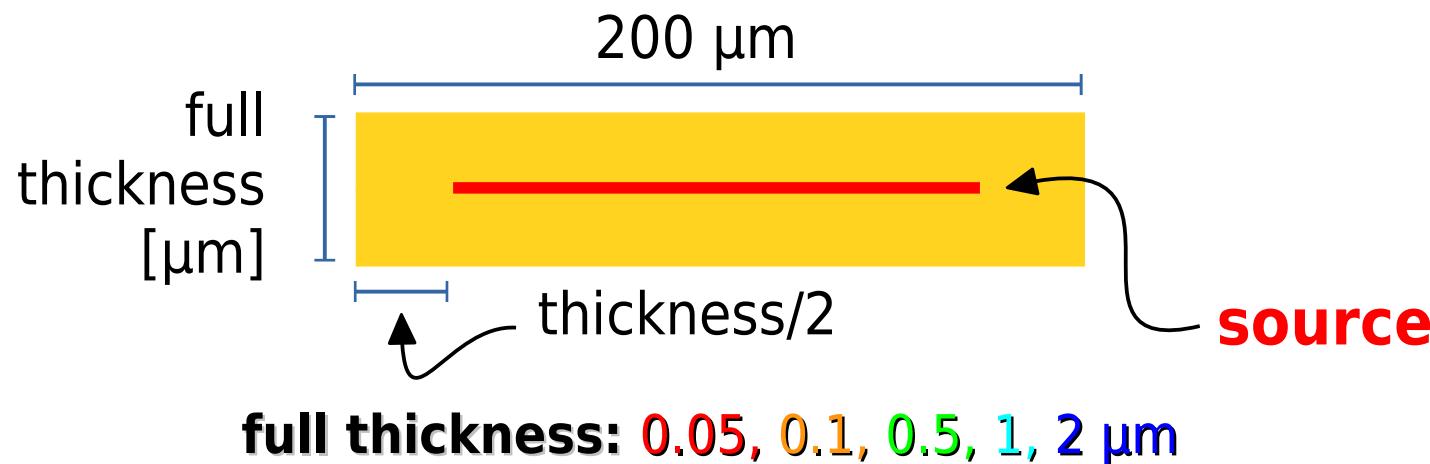
- ▷ preliminary measurements agree with model predictions:
- ▷ $\Delta E_{FWHM} \lesssim 4 \text{ eV}$, $\tau_{rise} \approx 6 \mu\text{s}$ (with $L=38\text{nH} \rightarrow$ to be slowed), $\tau_{decay} \approx 130 \mu\text{s}$ (tunable)

→ **J.Hays-Wehle**

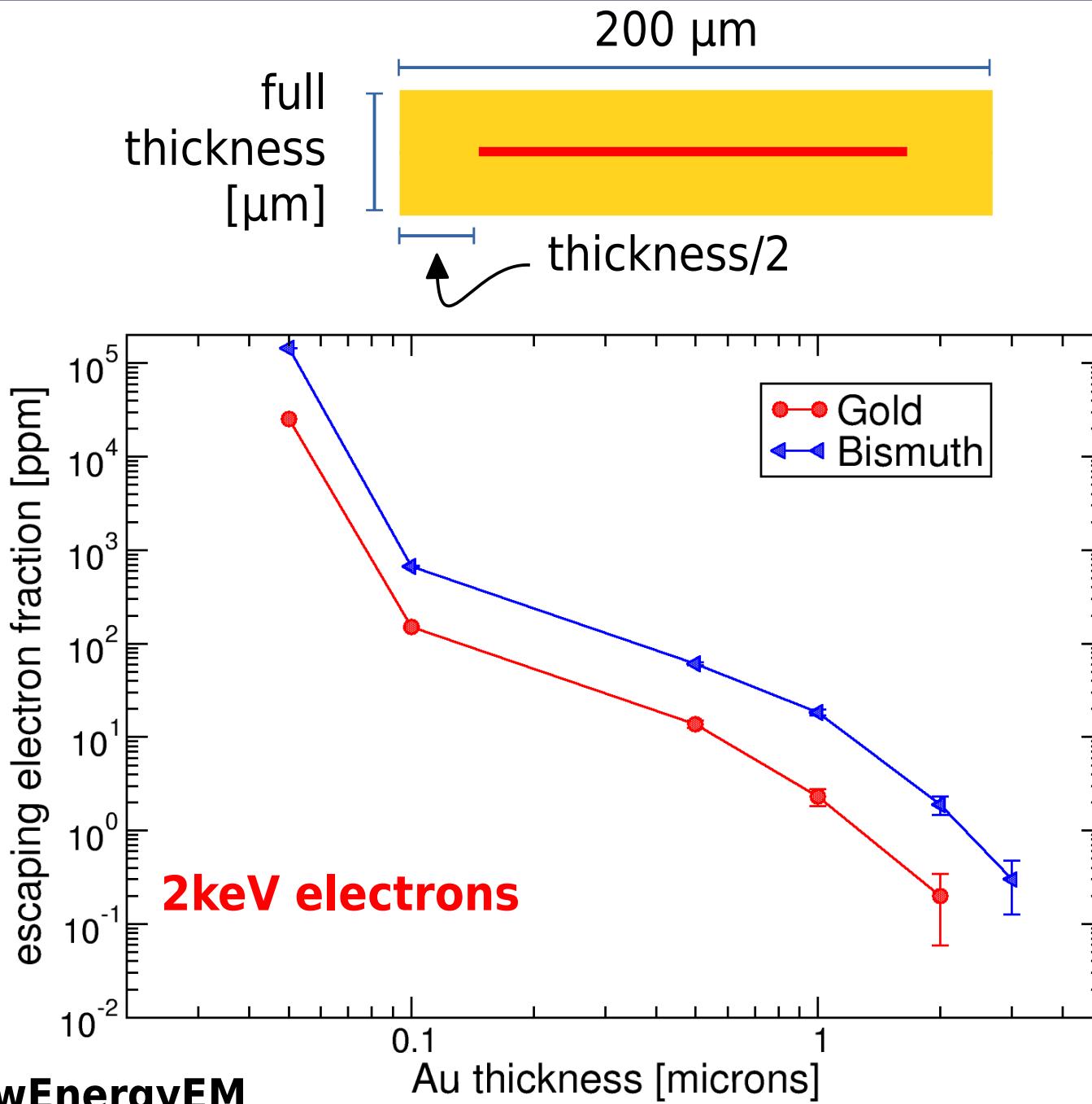
Stopping EC radiation in TES absorber / 1



Geant4 + LowEnergyEM: 10^7 events



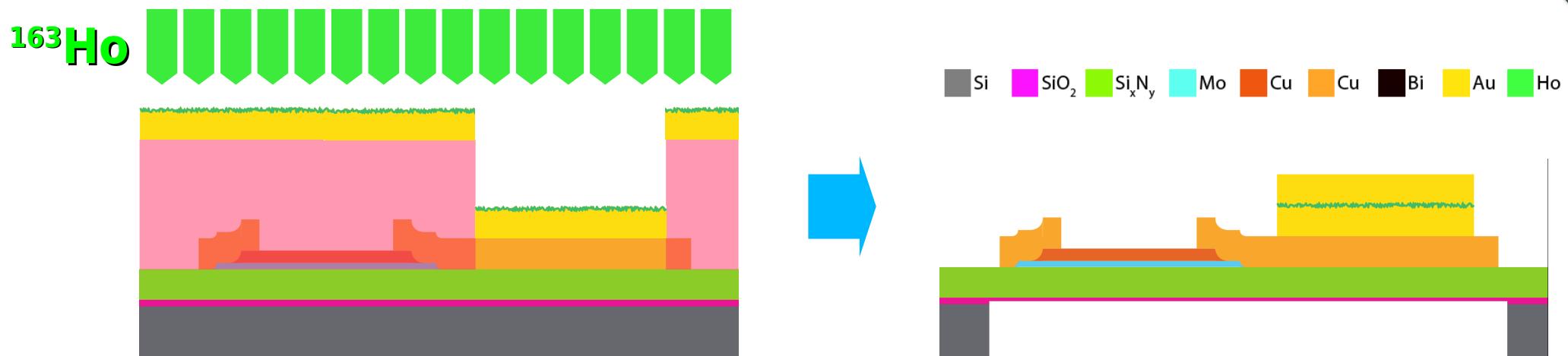
Stopping EC radiation in TES absorber / 2



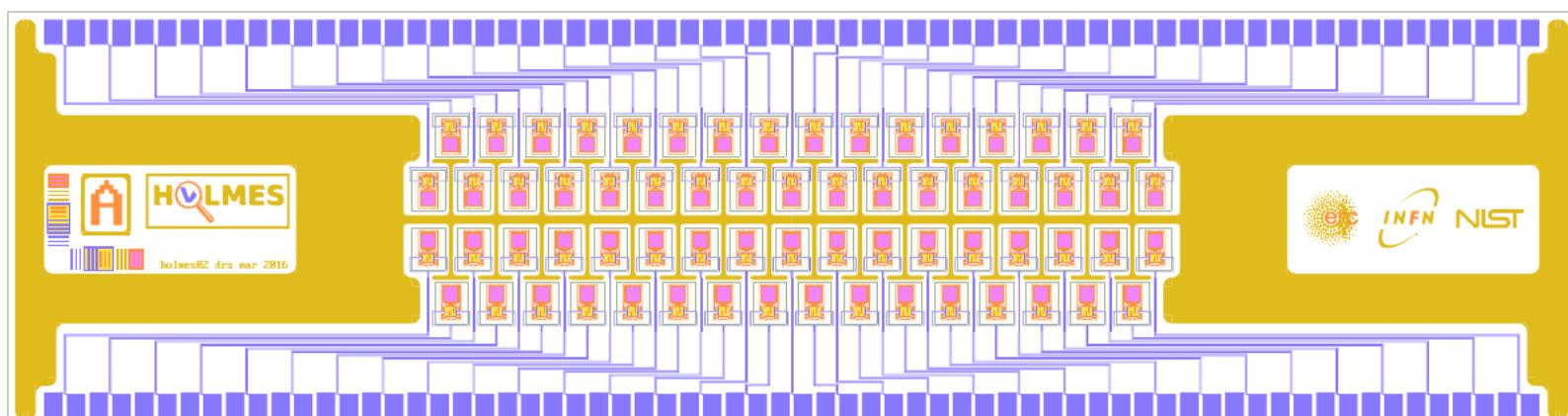
Geant4 + LowEnergyEM

10^7 events

HOLMES detector array fabrication



- TES array fabricated at **NIST**, Boulder, CO, USA
- ^{163}Ho implantation at **INFN**, Genova, Italy
- 1 μm **Au** final layer deposited at INFN Genova
- fabrication process details under investigation
 - ▷ ion implant before/after Si_2N_3 membrane release
- **HOLMES 4×16 linear sub-array** for low parasitic L and high implant efficiency



^{163}Ho production by neutron activation



HOLMES needs $\approx 200\text{MBq}$ of ^{163}Ho

$^{162}\text{Er} (\text{n}, \gamma) ^{163}\text{Er}$ $\sigma_{\text{thermal}} \approx 20\text{b}$

$^{163}\text{Er} \rightarrow ^{163}\text{Ho} + \nu_e$ $\tau_{1/2}^{\text{EC}} \approx 75\text{min}$

ϵ β^+ γ 104; 69; 241; 1434; 1397...	γ β^+ 2.9... γ 91; 1155; 769...	ϵ β^+ γ 243; 47; 297; 807...	ϵ β^+ 1.9... γ 779; 2052; 184; 1274...	ϵ γ 532...	ϵ ; β^+ ... β^- ... γ 198; 816; 447...
Er 162 0.139	Er 163 75 m	Er 164 1.601	Er 165 10.3 h	Er 166 33.503	Er 167 2.3 s 22.869
σ_{19} $\sigma_{n, \alpha} < 0.011$	β^+ ... γ (1114...) g	σ_{13} $\sigma_{n, \alpha} < 0.0012$	ϵ no γ	$\sigma_{3 + 14}$ $\sigma_{n, \alpha} < 7E-5$	γ 208 $\sigma_{n, \alpha} 3E-6$
Ho 161 6.7 s 2.5 h ly 211	Ho 162 68 m 15 m ly 58; 38... 87... γ 185; 1220; 283; 937... e ⁻	Ho 163 1.1... 4570 a ly 298	Ho 164 37 m 29 m ly 37; 57... e ⁻	Ho 165 100 3.1 + 58 $\sigma_{n, \alpha} < 2E-5$	Ho 166 1200 a 26.80 h 8- 0.07... β- 1.0... γ 91; 73... e ⁻
Dy 160 2.329	Dy 161 18.889	Dy 162 25.475	Dy 163 24.896	Dy 164 28.260	Dy 165 1.3 m 2.35 h 1.9... 810; 712 γ 81... e ⁻

→ U.Koester

- **high yield (σs must be checked)**

- ▶ ILL reactor (Grenoble, France): thermal neutron flux $1.3 \times 10^{15} \text{n/cm}^2/\text{s}$
- ▶ $\approx 270 \text{kBq}(^{163}\text{Ho})/\text{mg}(^{162}\text{Er})/\text{week}$ at ILL ($\rightarrow 100\text{mg}(^{162}\text{Er})$ for 7 weeks $\rightarrow \approx 200\text{MBq}$ of ^{163}Ho)

- **burn up $^{163}\text{Ho}(n, \gamma)^{164}\text{Ho}$: cross section not known**

- ▶ may reduce yield: $\sigma_{\text{burn-up}} \approx 100\text{b}$ $\rightarrow 100\text{mg}(^{162}\text{Er})$ for 7 weeks $\rightarrow \approx 190\text{MBq}$ of ^{163}Ho

- $^{165}\text{Ho}(n, \gamma)$ (mostly from $^{164}\text{Er}(n, \gamma)$) $\rightarrow ^{166m}\text{Ho}$, $\beta \tau_{1/2} = 1200\text{y}$

- ▶ $100\text{mg}(^{162}\text{Er})$ for 7 weeks \rightarrow order of 100kBq of ^{166m}Ho (depends on ^{164}Er abundance)

- **analysis of 2 samples irradiated at ILL with ICP-MS at LNGS is in progress**

- **HOLMES needs $\approx 500\text{mg}$ Er_3O_2 enriched at 30%**

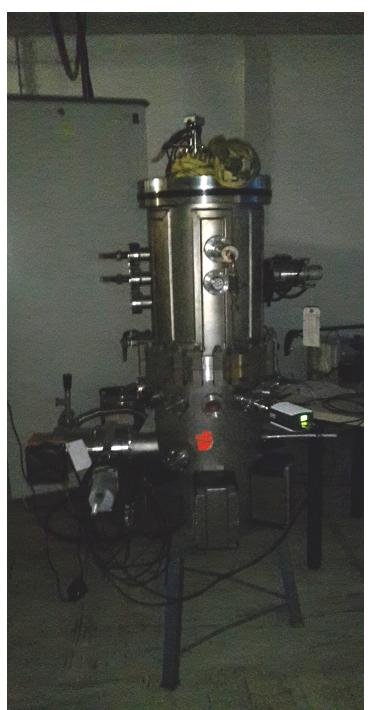
- **chemical pre-purification and post-separation at PSI (Villigen, Switzerland)**

HOLMES source chemical processing



- **enriched Er_2O_3** samples* irradiated at ILL and pre/post-processed at **PSI**
 - ▶ 25 mg irradiated for 55 days $\rightarrow A(\text{Ho}^{163})_{\text{theo}} \approx 10\text{MBq}$ ($A(\text{Ho}^{166m})_{\text{meas}} \approx 10\text{kBq}$)
 - ▶ 150mg irradiated for 50 days $\rightarrow A(\text{Ho}^{163})_{\text{theo}} \approx 70\text{MBq}$ ($A(\text{Ho}^{166m})_{\text{meas}} \approx 500\text{kBq}$)
- **Ho chemical separation**
with ion-exchange resins
in hot-cell
 - ▶ efficiency $\approx 79\%$

* from CENTRA, Lisbon

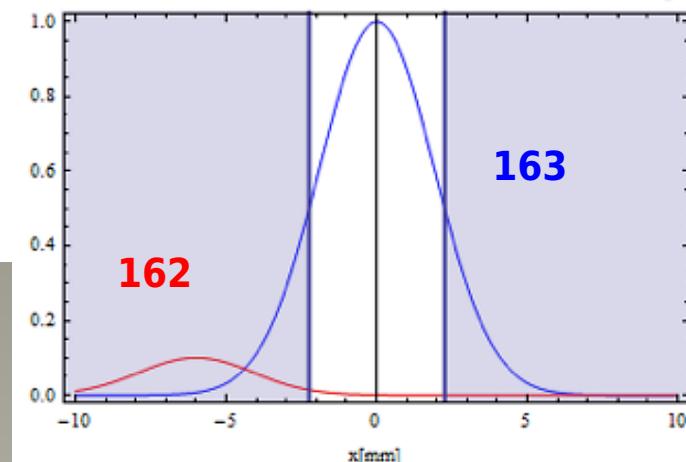
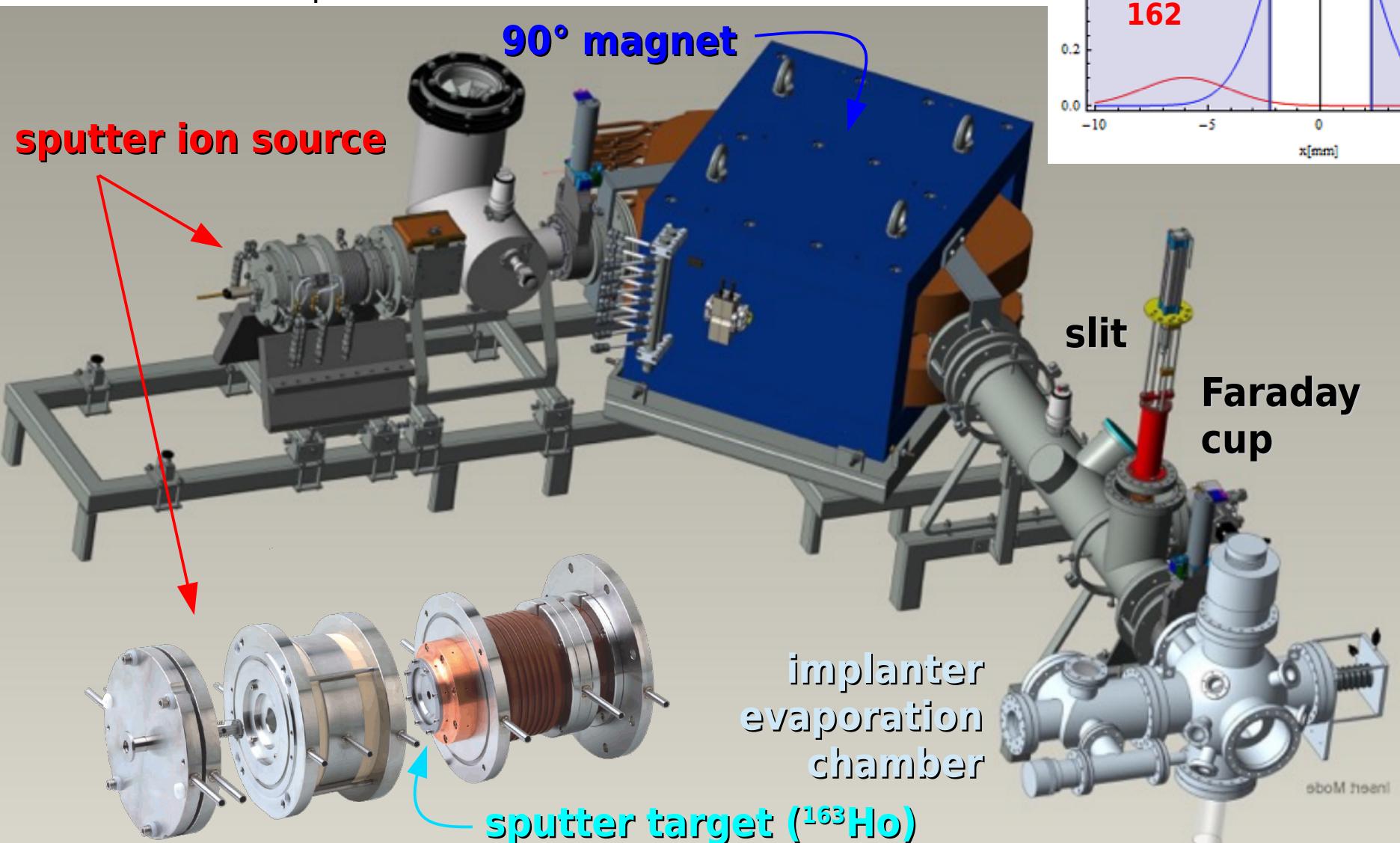


- **Metallic holmium sputter target**
for implanter ion source
- 30% enriched $\text{Er}_2\text{O}_3 \rightarrow \text{Ho}_2\text{O}_3$
- thermoreduction/distillation in furnace (Genova)
 - ▶ $\text{Ho}_2\text{O}_3 + 2\text{Y(met)} \rightarrow 2\text{Ho(met)} + \text{Y}_2\text{O}_3$ at 2000°C
- **V.Ceriale** (poster)

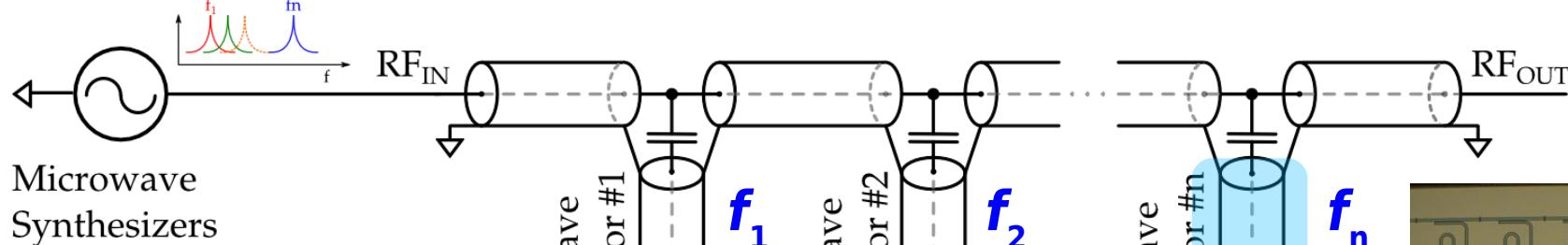
HOLMES ion implantation system



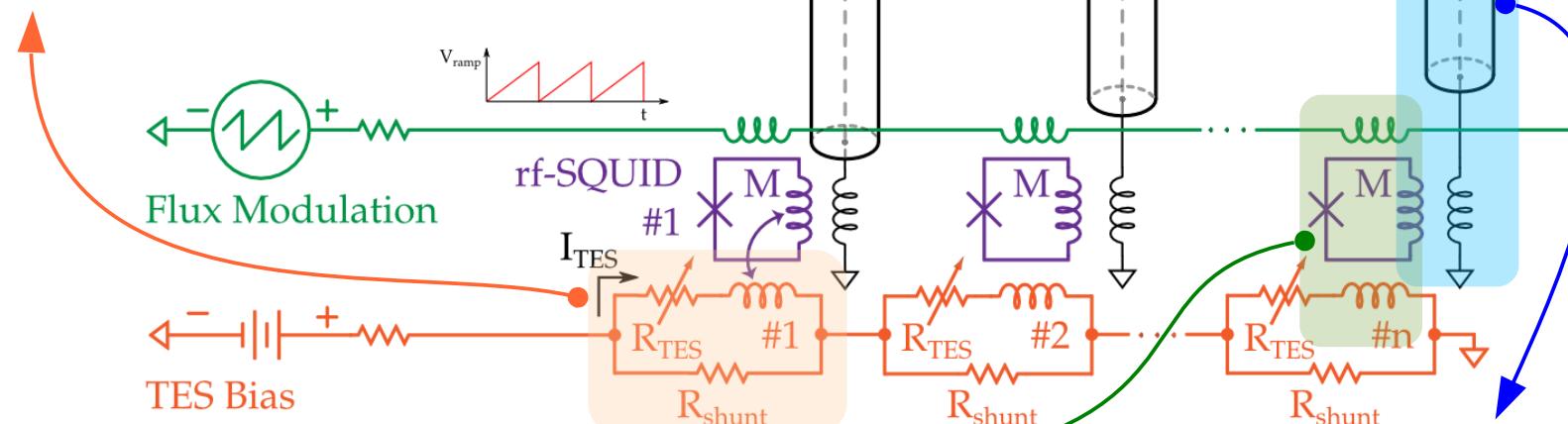
- ordered to **Danfysik** (DK). Delivery before Sept 2016
- ion current 5 mA
- extraction voltage 30 - 50 kV → 10 - 100 nm implant depth
- ^{163}Ho / $^{166\text{m}}\text{Ho}$ separation better than 10^5



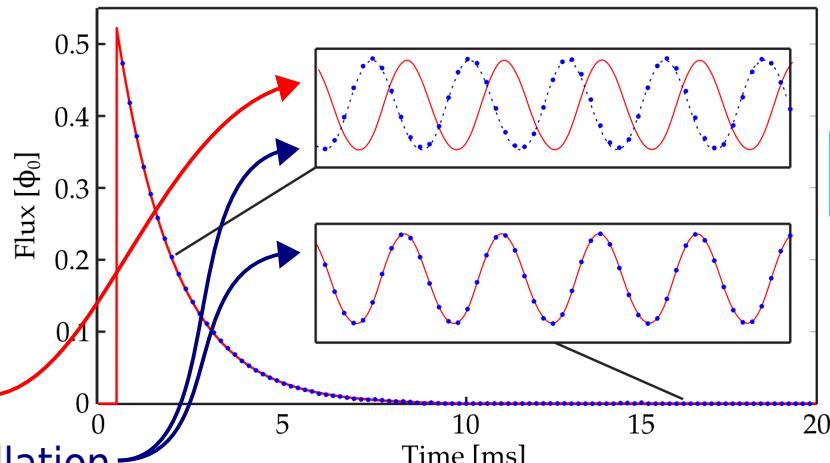
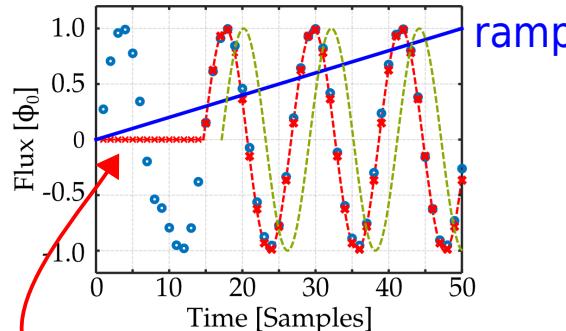
HOLMES array read-out: rf-SQUID μwave mux



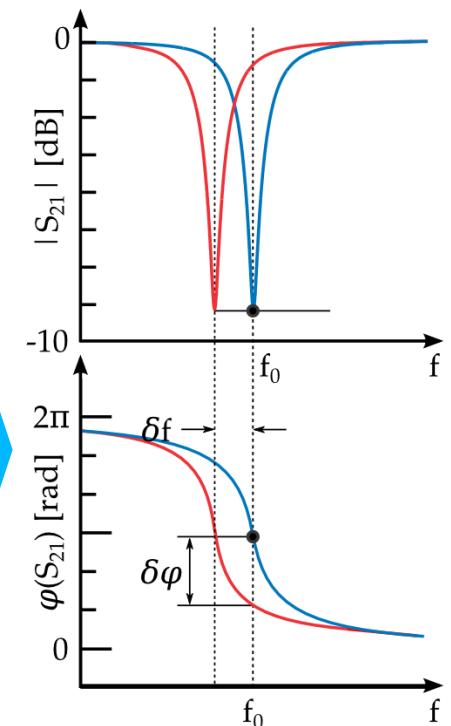
dc biased TES sensor



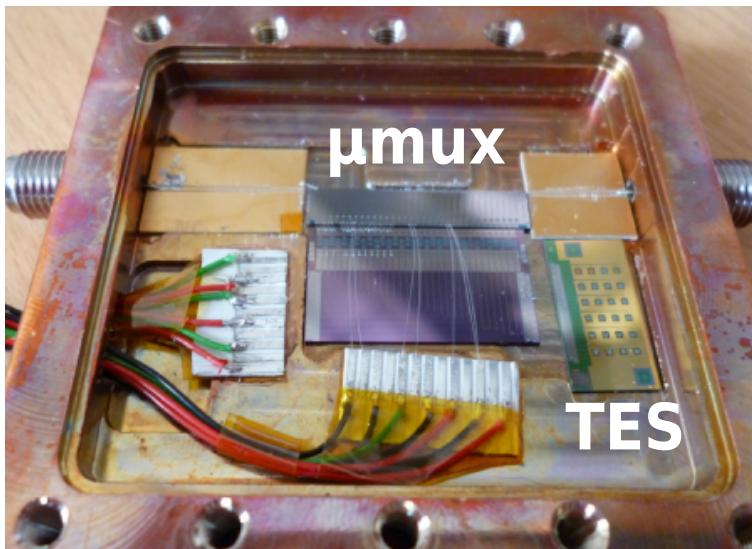
MHz flux ramp modulation $f_{\text{ramp}} \rightarrow f_{\text{samp}}$ → GHz LC resonator f_i



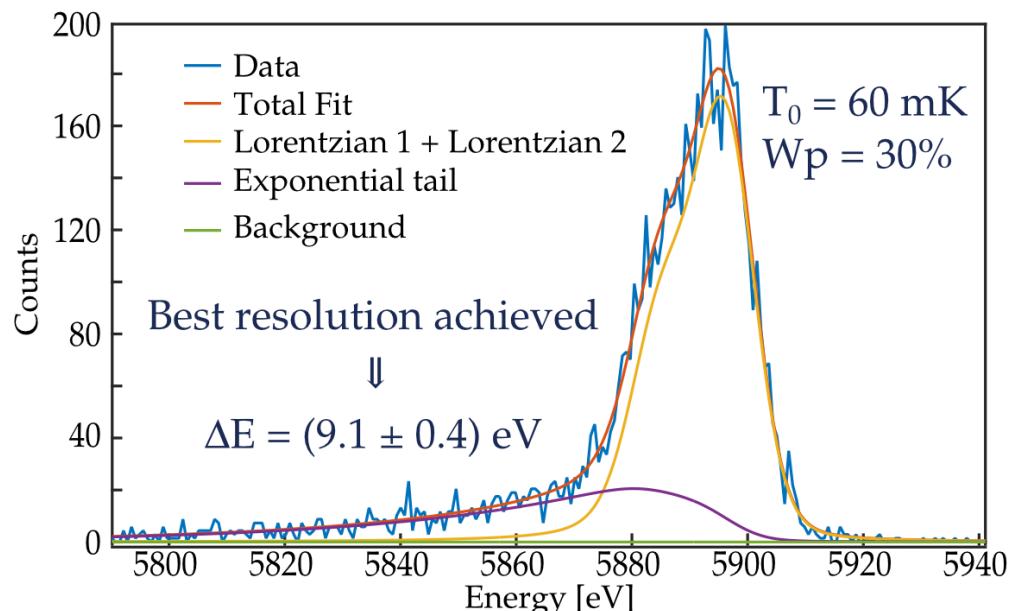
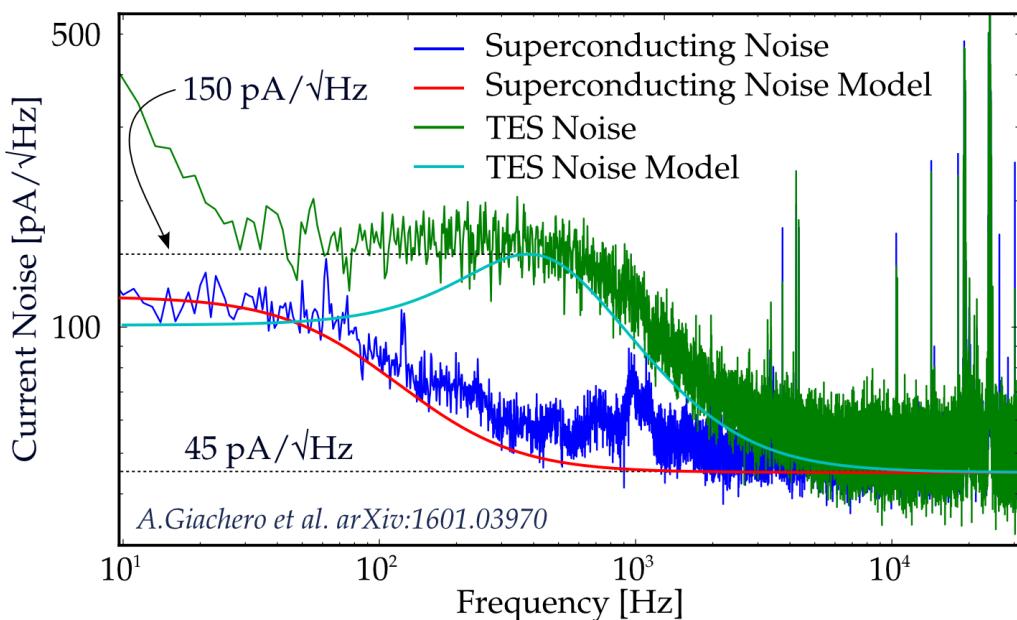
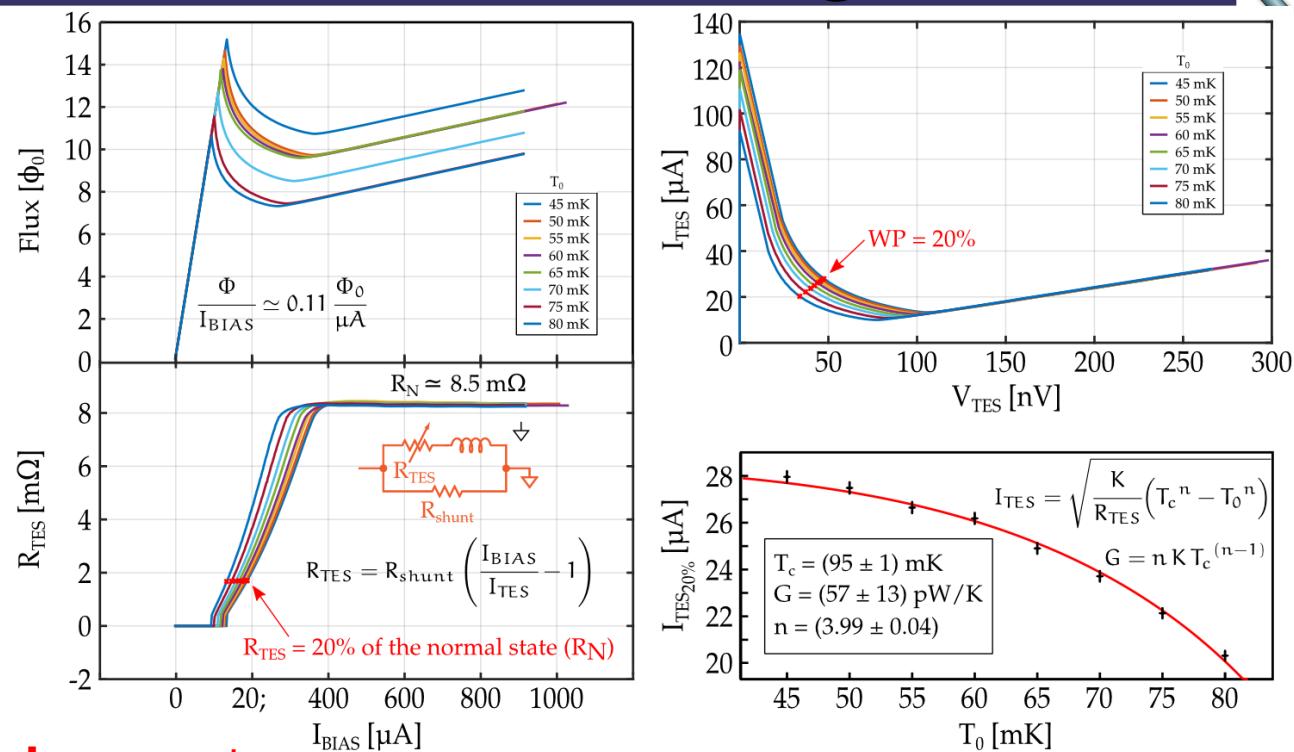
TES signal ↔ shifting phase oscillation



TES with rf-SQUID μwave read-out testing

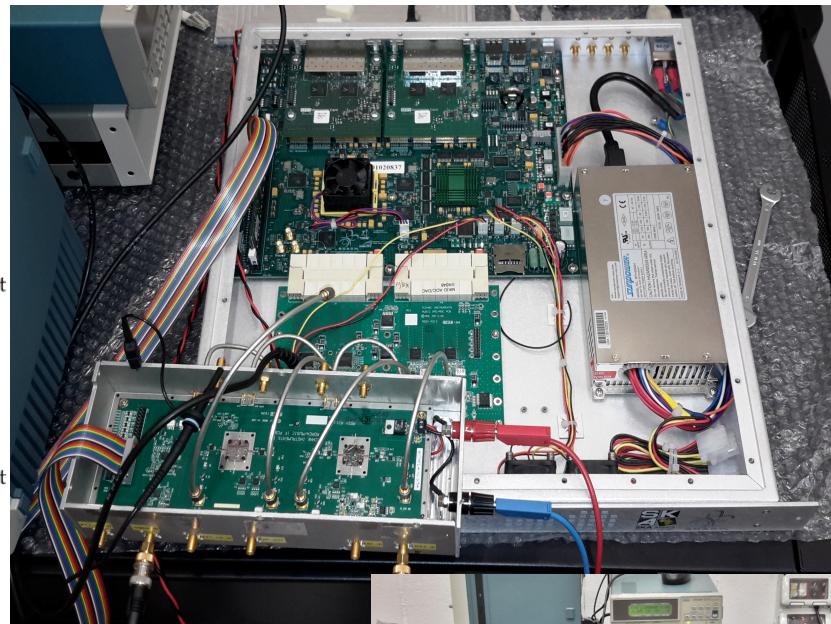
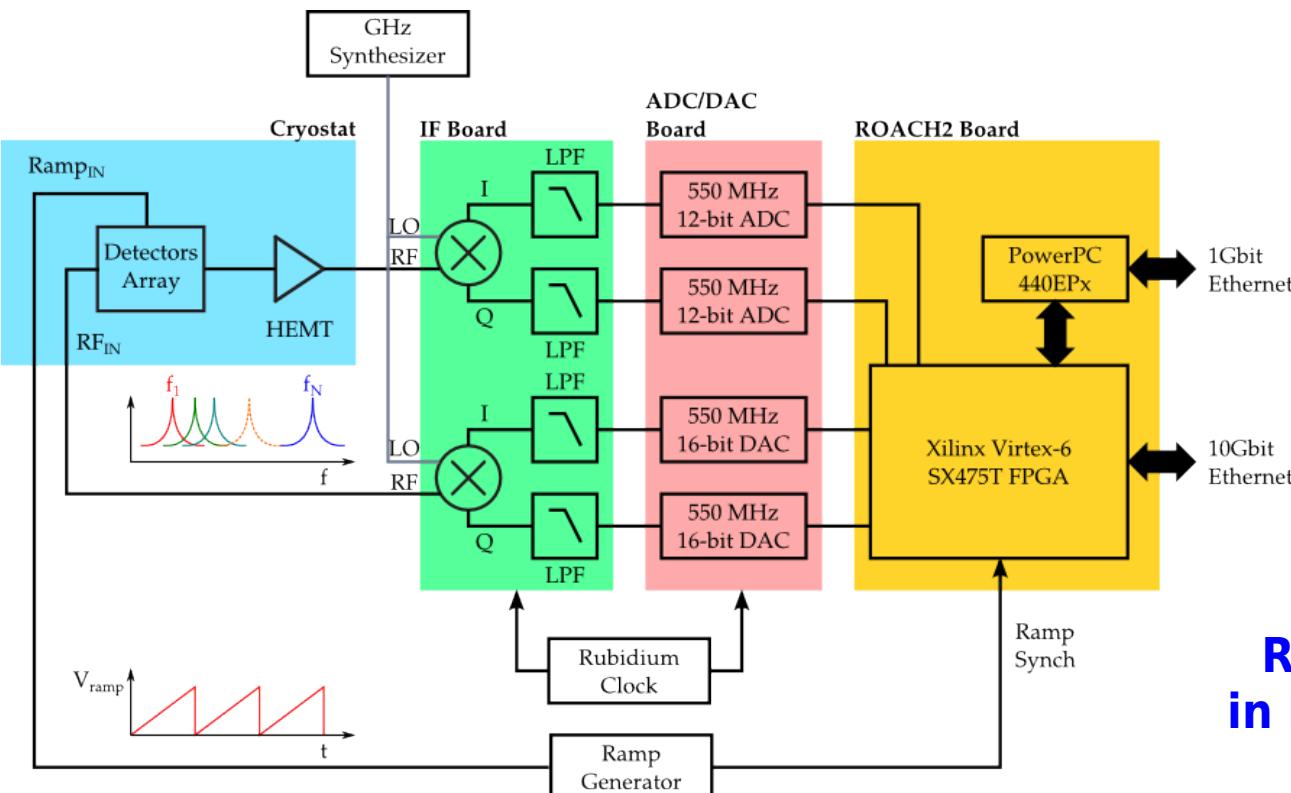


Tests in **Milano-Bicocca**
with **Bismuth TES** coupled to
μwave mux (from **NIST**) → **A. Giachero poster**

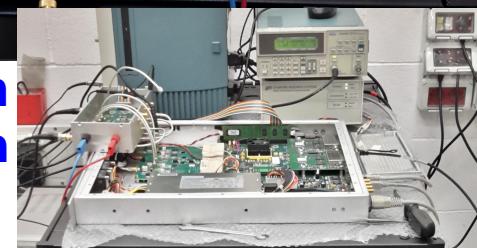




Software Defined Radio + flux ramp modulation based on ROACH-2



ROACH2 system
in Milano-Bicocca



- multiplexing factor N_{mux}
- f_{TES} required bandwidth per channel

$$f_{TES} = 2n_{\Phi_0} f_{sampl}$$

$f_{sampl} = 5/\tau_{rise}$ (f_{sampl} and τ_{rise} from pile-up simulations)

$$N_{mux} = \frac{f_{ADC}}{10f_{TES}}$$

$$f_{sampl} = 0.5\text{MHz}, n_{\Phi_0} = 2 \rightarrow N_{mux} \approx 28$$

HOLMES signal processing and in-line analysis



- normal data taking (permanent RAID storage)
 - ▶ save only n -tuples (6×4 byte words) *
 - ▶ high threshold ($E_{th} \approx 2.022\text{keV}$, $E_{M1} = 2.041\text{keV}$, $Q_{EC} = 2.8\text{keV}$, 21% of spectrum) *
 - ▶ about 150TB in 3 years (un-compressed)
- periodic minimum bias samples (temporary storage)
 - ▶ tune parameters for real time pulse processing
 - ▶ full waveform (512 samples at 12 bit) for immediate off-line analysis *
 - ▶ full spectrum → **20TB/day**
 - ▶ combined with high threshold data
- lower threshold is possible with compression

ROACH2 FW real-time

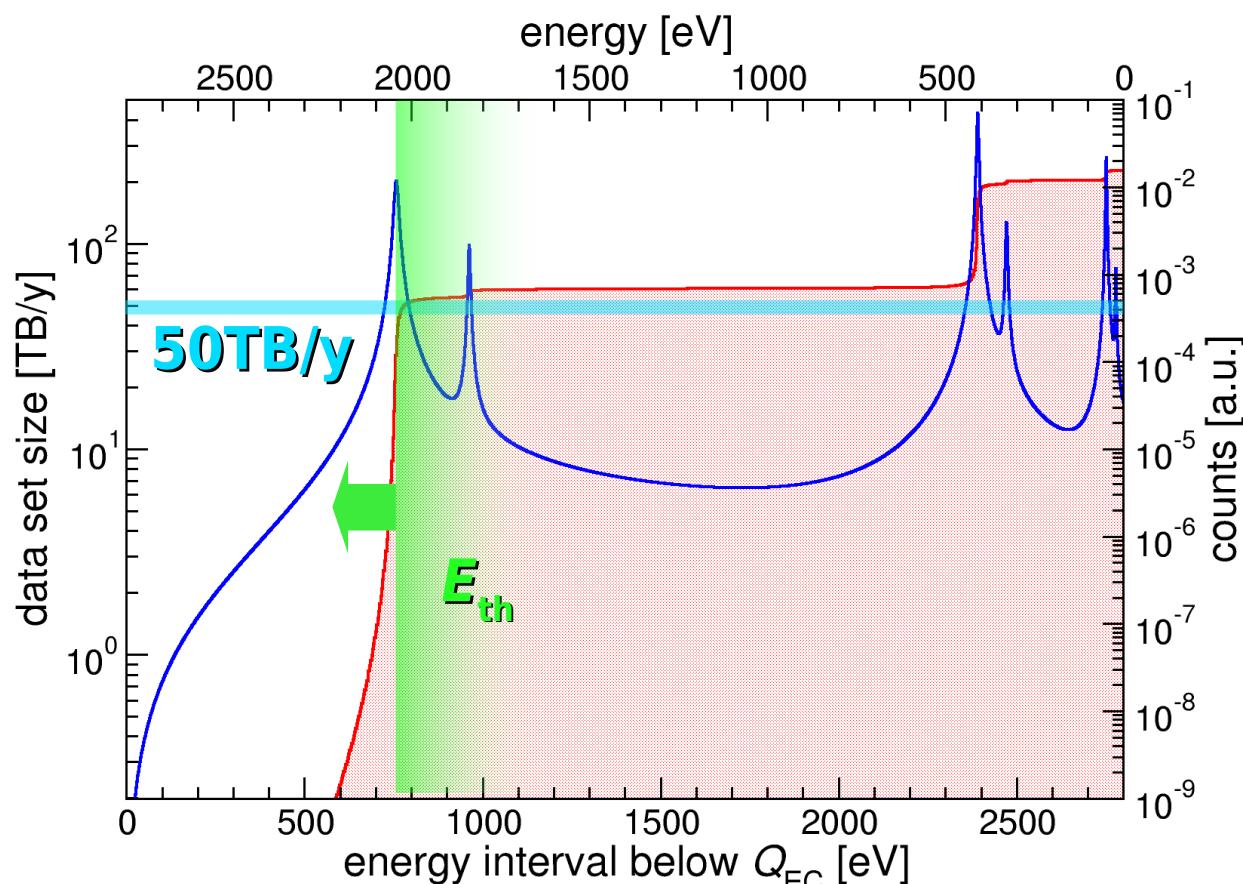
pulse processing:

- threshold cut
- ...

SERVER quasi real-time

pulse processing:

- OF analysis → n -tuples
- pile-up detection
- ...



HOLMES schedule and conclusions



Project Year	2015	2016	2017		2018		
Task	S2	S1	S2	S1	S2	S1	S2
Isotope production							
TES pixel design and optimization							
Ion implanter set-up and optimization							
Full implanted TES pixel fabrication							
ROACH2 DAQ (HW, FW, SW)							
32 pix array 6mo measurement							
Full TES array fabrication							
HOLMES measurement							

■ HOLMES project status

- many technical challenges are being addressed in parallel
- design phase is almost complete
- setting up is in progress
- spectrum measurements are coming in ≈1 year

Open post-doc position with HOLMES



The group at Università di Milano-Bicocca works on Low Temperature Detectors for Neutrino Physics and has one postdoctoral fellowship available in the framework of the HOLMES experiment.

<http://artico.mib.infn.it/holmes>

For more information contact Angelo Nucciotti at angelo.nucciotti@mib.infn.it

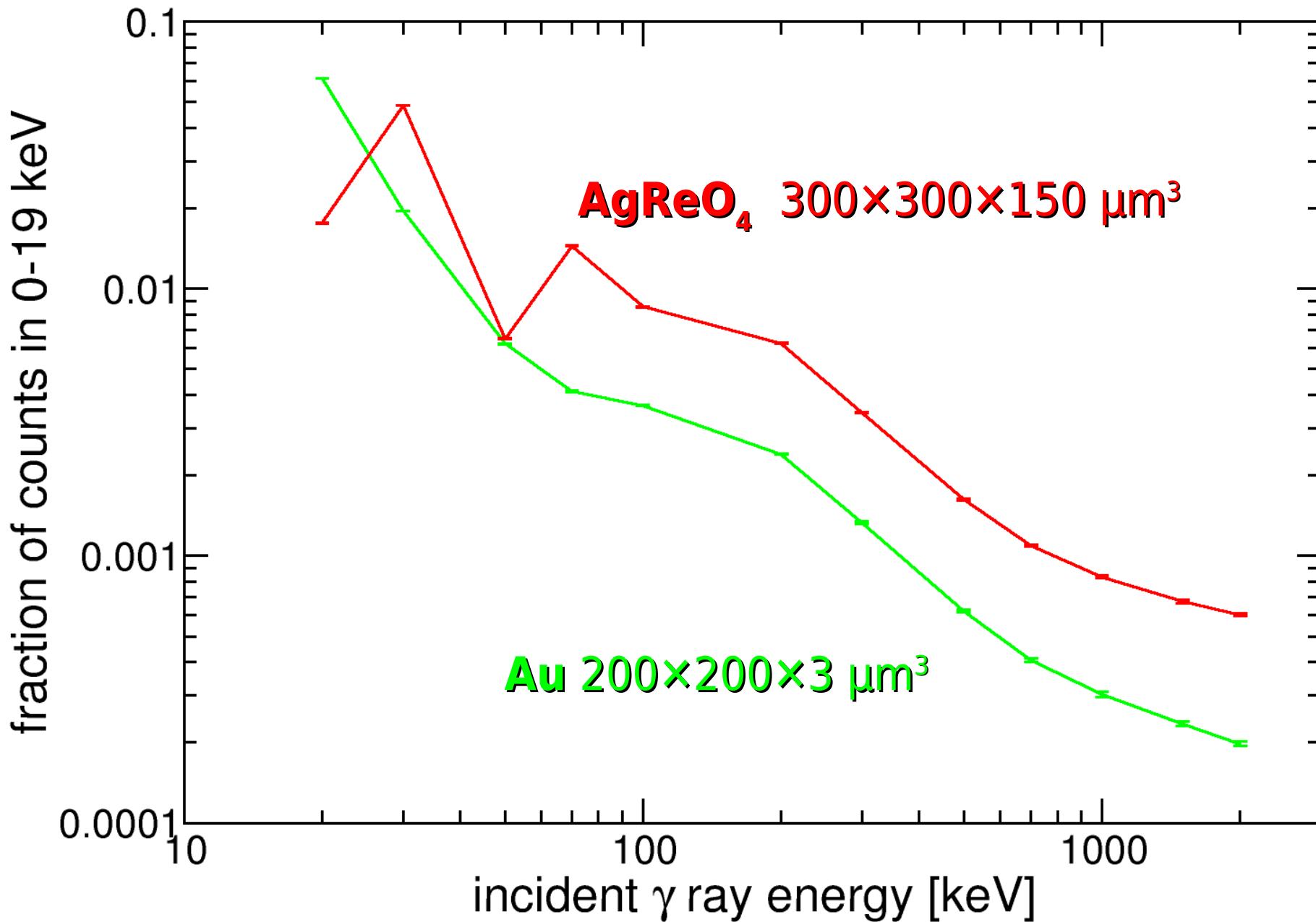


Backup slides



...

Low energy background sources / 2





Geant4 + LowEnergyEM

2×10^5 events

200 μm

full
thickness
[μm]

thickness/2

