

Probing the absolute neutrino mass scale with the ^{163}Ho : the **HOLMES** project

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on behalf of the HOLMES collaboration

Neutrino Oscillation Workshop 2014

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Outline

- ν mass direct measurement
- The ^{163}Ho electron capture spectrum calorimetric measurement
- The HOLMES project:
 - ^{163}Ho preparation
 - μ -calorimeter design, production and implantation with ^{163}Ho
 - Expected sensitivity and schedule
 - Conclusions

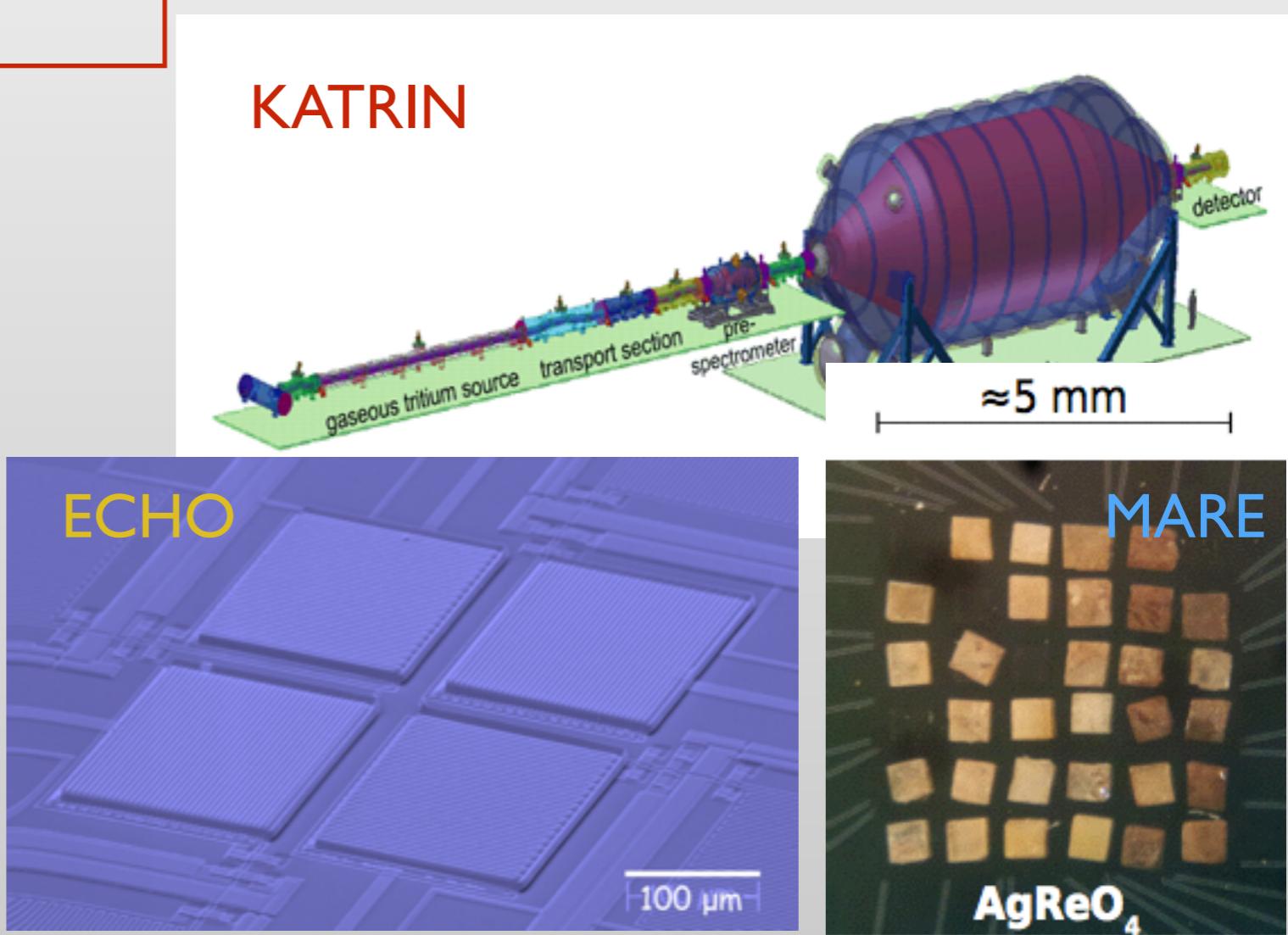
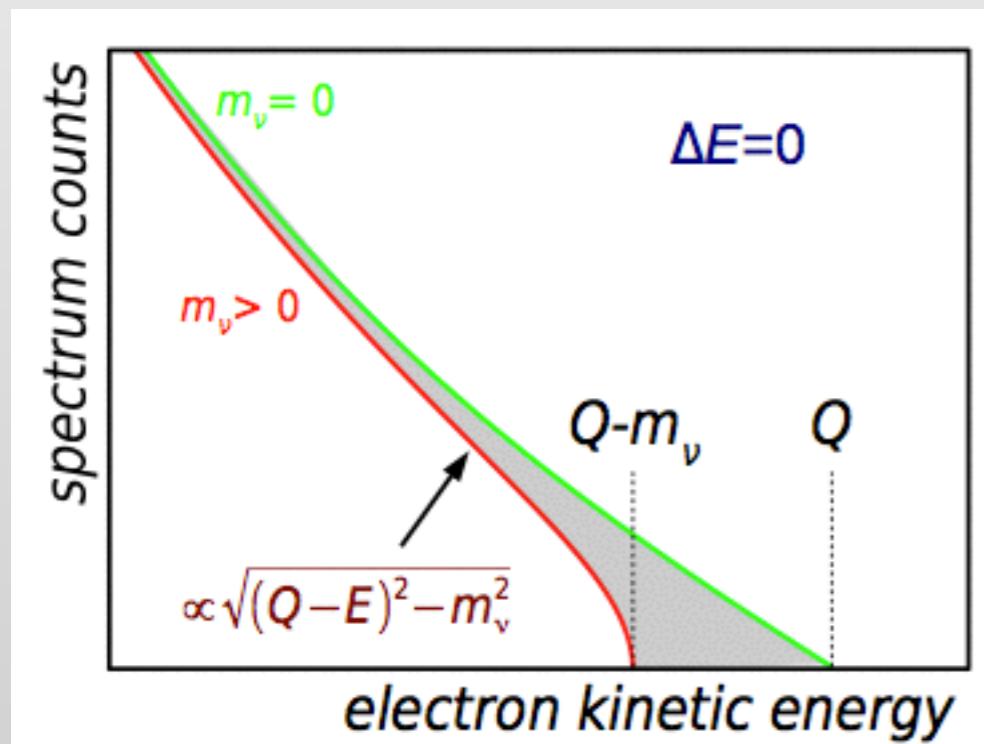
Direct ν mass measurement

Kinematics of weak decay with ν emission:

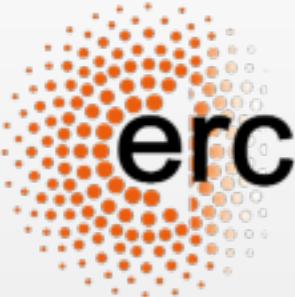
- low Q nuclear β decays (${}^3\text{H}$, ${}^{187}\text{Re}$, ${}^{163}\text{Ho}...$)
- model independent: only E, p conservation
- ν mass appears as a distortion in the Kurie plot

2 different approaches:

- spectrometry: source placed outside the detector (**KATRIN** approach)
- calorimetry: source embedded inside the detector (**ECHO**, **MARE**, **HOLMES** approach) \Rightarrow low T μ -calorimeters



The **HOLMES** project



ERC Advanced Grant 2013
Research proposal [Part B1]



Principal Investigator (PI): *Prof. Stefano Ragazzi*
PI's Host Institution for the project: *Istituto Nazionale di Fisica Nucleare*

The Electron Capture Decay of ^{163}Ho to Measure the
Electron Neutrino Mass with sub-eV sensitivity

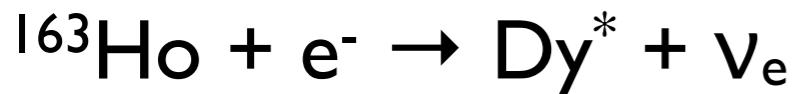
HOLMES

INFN/Uni Mi-Bi: A. Nucciotti
INFN Ge: F. Gatti

Project started in Feb 2014

- Transition edge sensor with ^{163}Ho implanted Au absorber
- $\sim 6.5 \times 10^{13}$ nuclei/detector $\Rightarrow A_{EC} \sim 300 \text{Bq}$;
- 1000 channels / array with multiplexed read-out
- $\Delta E \sim 1 \text{eV}$ and $\tau_r \sim 1 \mu\text{s}$
- Probe the capability of this approach for a larger scale experiment

^{163}Ho electron capture



$$\frac{d\lambda_{EC}}{dE_c} = \frac{G_\beta^2}{4\pi^2} (Q - E_c) \sqrt{(Q - E_c)^2 - m_\nu^2} \times \sum n_i C_i \beta_i^2 B_i \frac{\Gamma_i}{2\pi} \frac{1}{(E_c - E_i)^2 + \Gamma_i^2/4}$$

$Q \sim 2.55\text{KeV}$, capture only from shell $\geq \text{M1}$

De Rujula & Lusignoli, Phys. Lett. B 118 (1982) 429

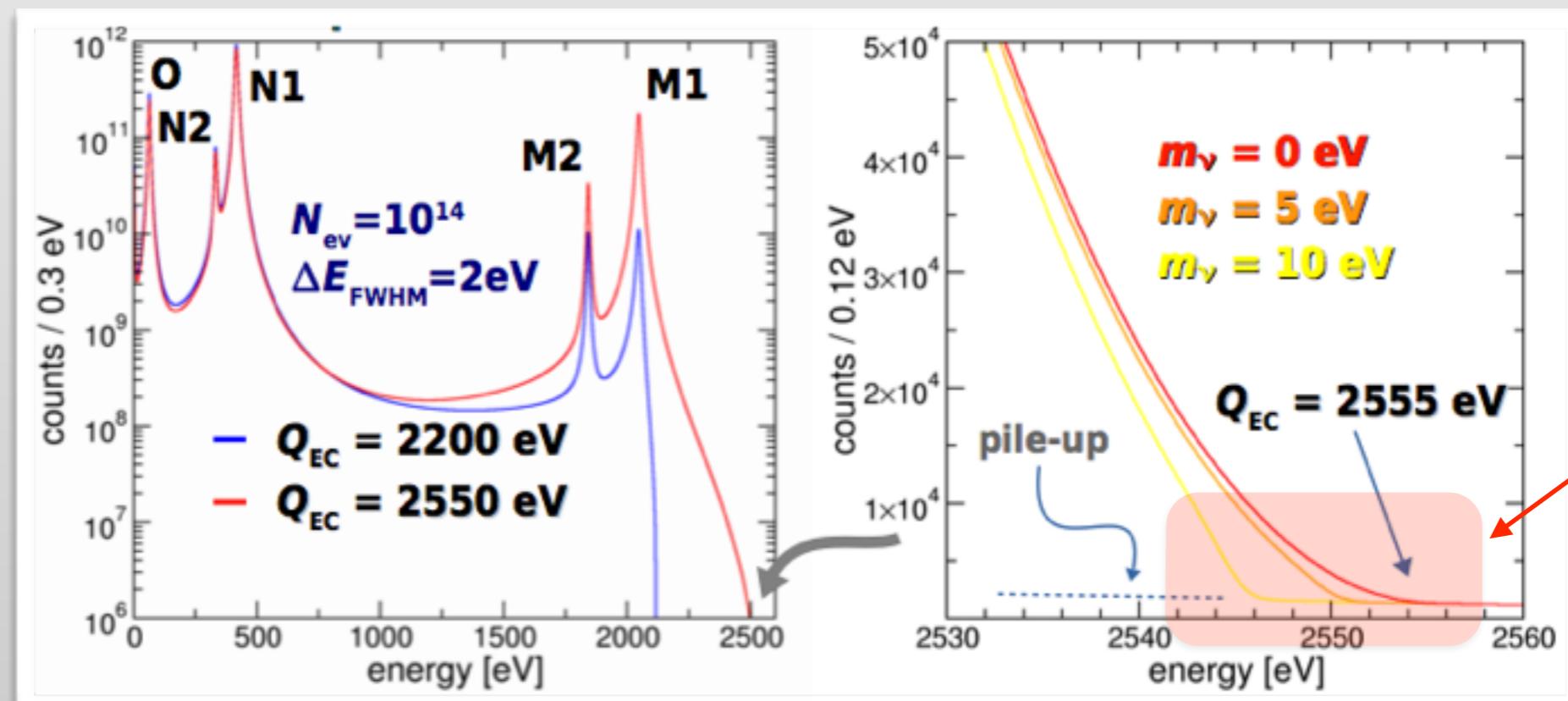
same factor as β decay

(total de-excitation energy E_c instead of E_e)

Breit-Wigner shapes

- calorimetric measurement of Dy^* de-excitation
- “good” event rate and ν mass sensitivity depends on Q -value ($\sim 1/Q^3$)
- $T_{1/2} \sim 4570$ years \rightarrow few active nuclei needed

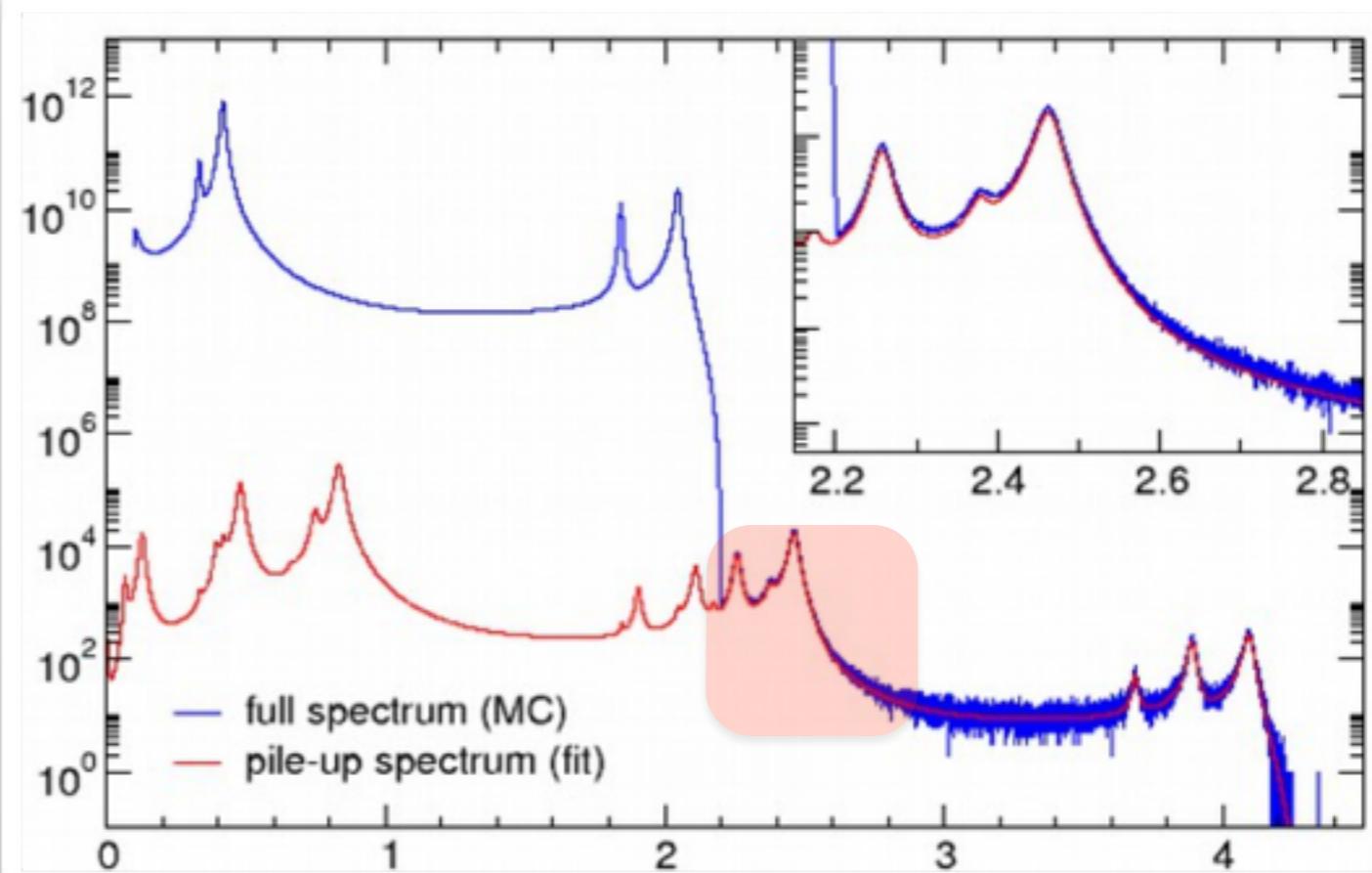
simulated
spectra
with different
 Q -value



expected ν
mass effect

^{163}Ho electron capture: issues

- few Q value measurement so far
 - ratio of capture probability from different shells
 - measured Q-value: 2.2÷2.8KeV
 - “usually” Q = 2.55 KeV
- atomic de-excitation spectrum not well known
- complex pile-up spectrum
 - end-point is dominated by $\sqrt{(Q-E_{\text{EC}})^2 - m_e^2}$ but expected distortions due to pile-up



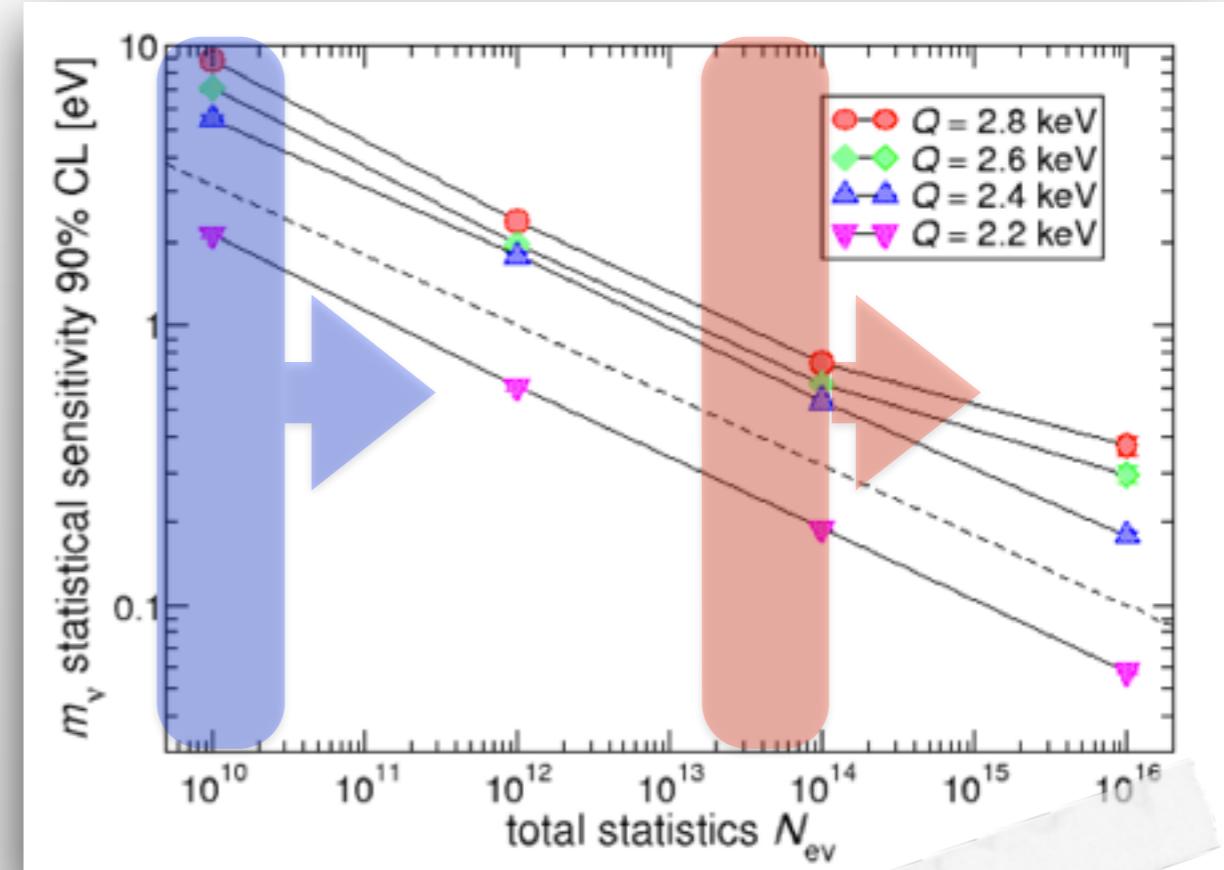
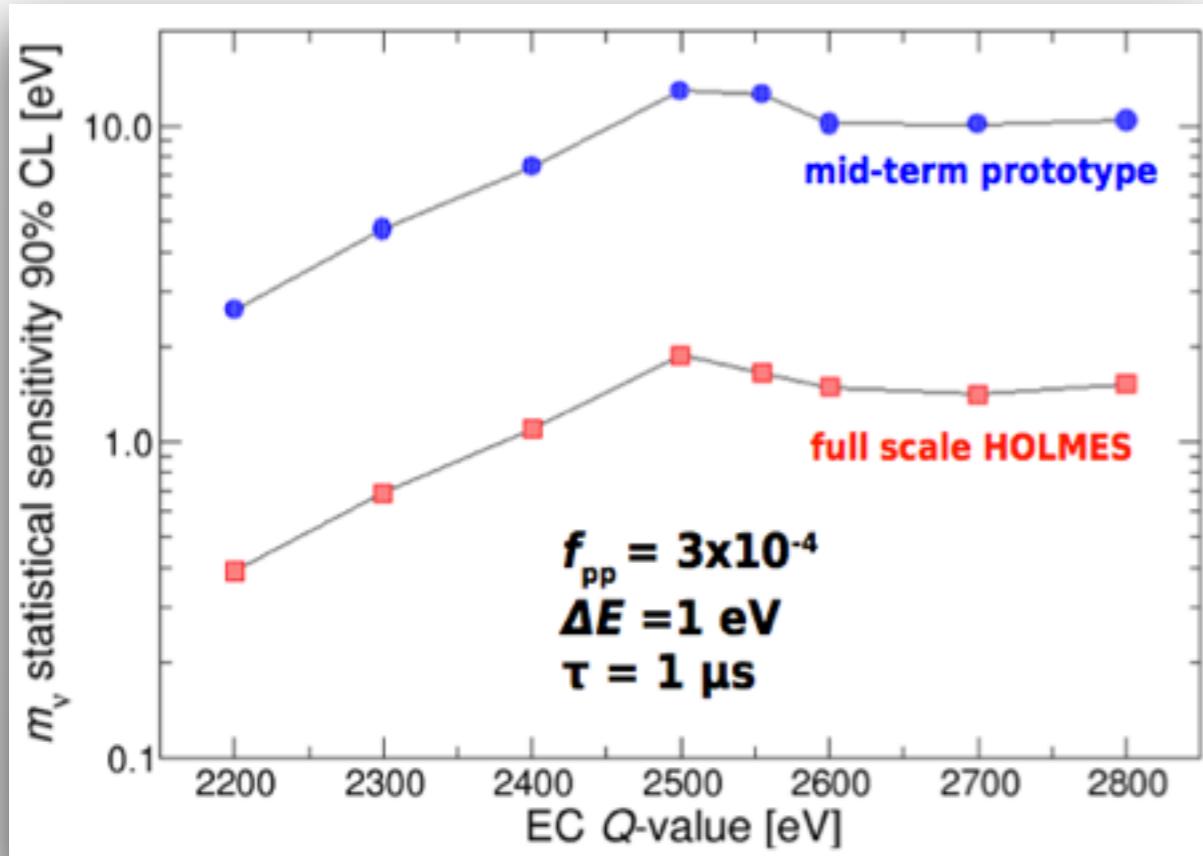
$$Q=2.2\text{keV} \quad f_{\text{pp}}=10^{-6} \quad N_{\text{ev}}=10^{14} \quad \Delta E=2\text{eV FWHM}$$

Pile-up occurs when multiple events arrive within the temporal resolving time of the detector. In a first approximation, the fraction of unresolved pile up is given by $f_{\text{pp}} = T \times A_{\text{EC}}$

In order to reduce pile-up:

- trade-off between activity and statistic
- detector with fast signal rise time T_r
- pile-up resolving algorithm

HOLMES baseline sensitivity



A. Nucciotti, submitted to EPJC, arXiv: 1405:5060

Mid-term prototype:
16x16 array, 1month of DAQ
 $A_{\text{EC}}=300\text{Hz/ch}$
 $>10^{10}$ decays
expected mid 2016

Full scale HOLMES:
~1000 channels, exposure:
 $>10^3 \text{ det} \times \text{year}$
 $A_{\text{EC}}=300\text{Hz/ch}$
 $>10^{13}$ decays
expected 2017-2018

sub-ev
sensitivity
region:
 $N_{\text{ev}} > 10^{13}$

HOLMES tasks

- ^{163}Ho isotope production and purification;
- isotope embedding in detector;
- single TES design and optimization;
- array engineering;
- SQUID read-out and multiplexing optimization and testing;
- online/offline signal processing and analysis.

Ho production and purification

^{163}Ho from ^{162}Er neutron activation at nuclear reactor:

- $^{162}\text{Er} (\text{n},\gamma) ^{163}\text{Er}$, $\sigma_{\text{therm}} \sim 20 \text{ b}$
- $^{163}\text{Er} + e^- \rightarrow ^{163}\text{Ho} + \nu_e$ ($\tau_{1/2} \sim 75 \text{ m}$)
- high yield (but not all cross sections are well known)
 - $\sim 3 \times 10^{12} ^{163}\text{Ho}$ nuclei/mg(^{162}Er)/h
- requires ^{162}Er enrichment and oxide chemical form (Er_2O_3)



But contamination from other isotopic species:

- ^{164}Ho from $^{163}\text{Ho} (\text{n},\gamma)$ activation ?
- ^{165}Ho (n,γ) ^{166m}Ho (β , $\tau_{1/2} \sim 1200$ years)
- from Ho contamination or $^{164}\text{Er} (\text{n},\gamma)$
- need high purification of sample

Collaboration with
ILL (Grenoble)
ITN (Lisboa)
Paul Scherrer Institute

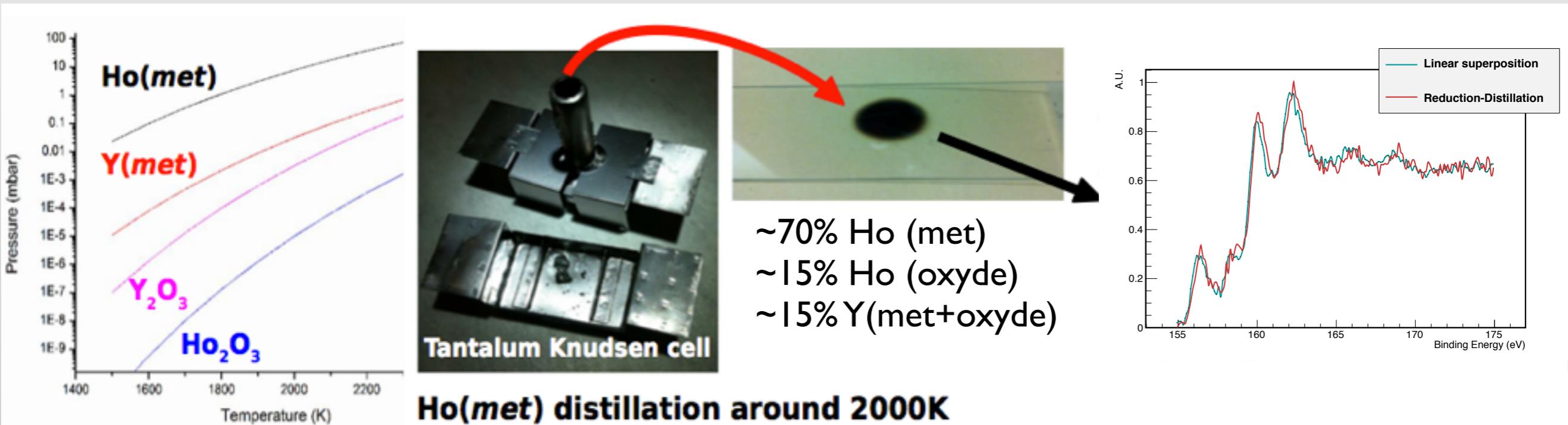
Ho production and purification

^{163}Ho separation from Dy, Er and others...

- radiochemistry (before/after activation process)
- magnetic mass separation

Ho_2O_3 thermo-reduction in Knudsen cell provides a metallic sample for the implantation:

- $\text{Ho}_2\text{O}_3 + \text{Y(met)} \rightarrow \text{Ho(met)} + \text{Y}_2\text{O}_3 @2000\text{K}$
- First test already performed in Genova



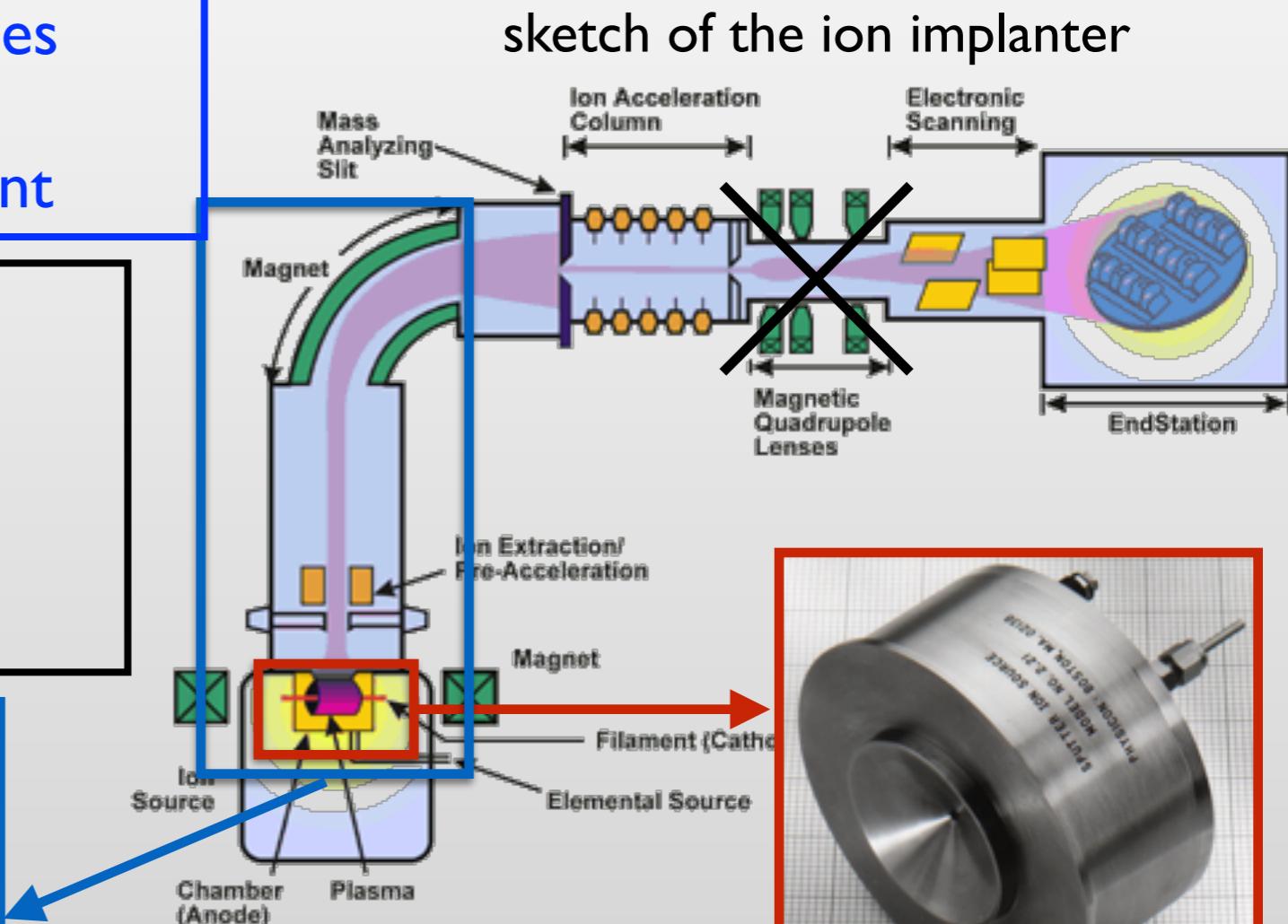
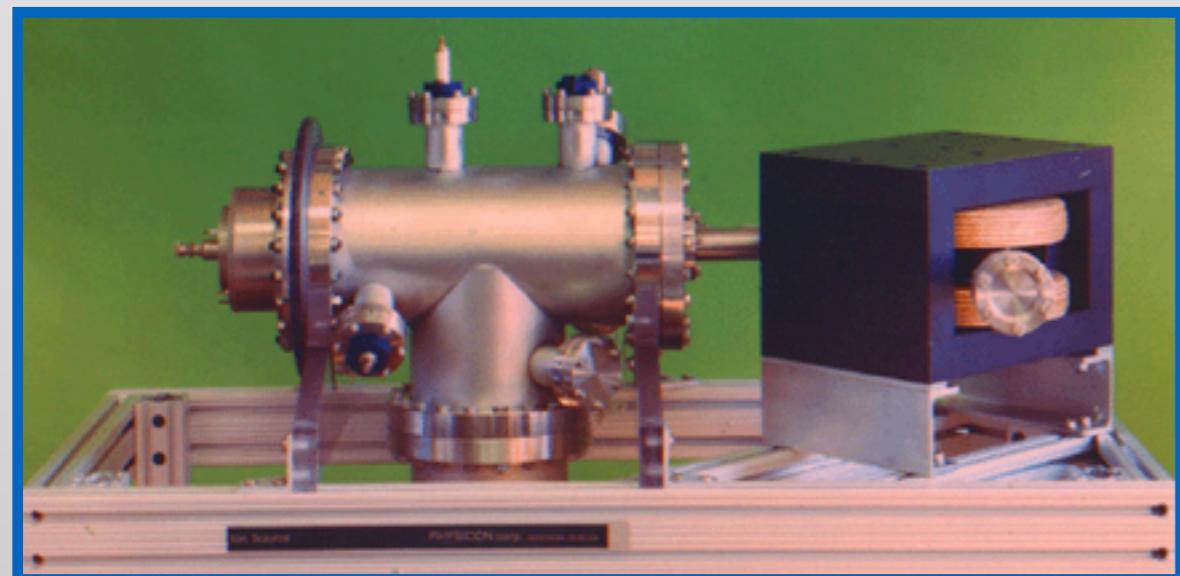
Ho production and embedding

^{163}Ho embedding in detector absorber

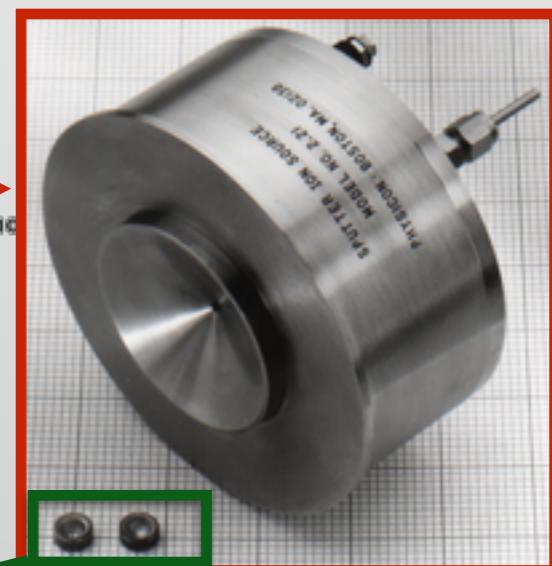
- implantation @30/40 nm depth
- magnetic separation of isotopic species (provides further purification)
- Au film deposition for full containment

Ad hoc beam line design on-going:

- high efficiency
- high mass resolving power
- implantation depth \sim tens of nm (E \sim 20/30KeV)



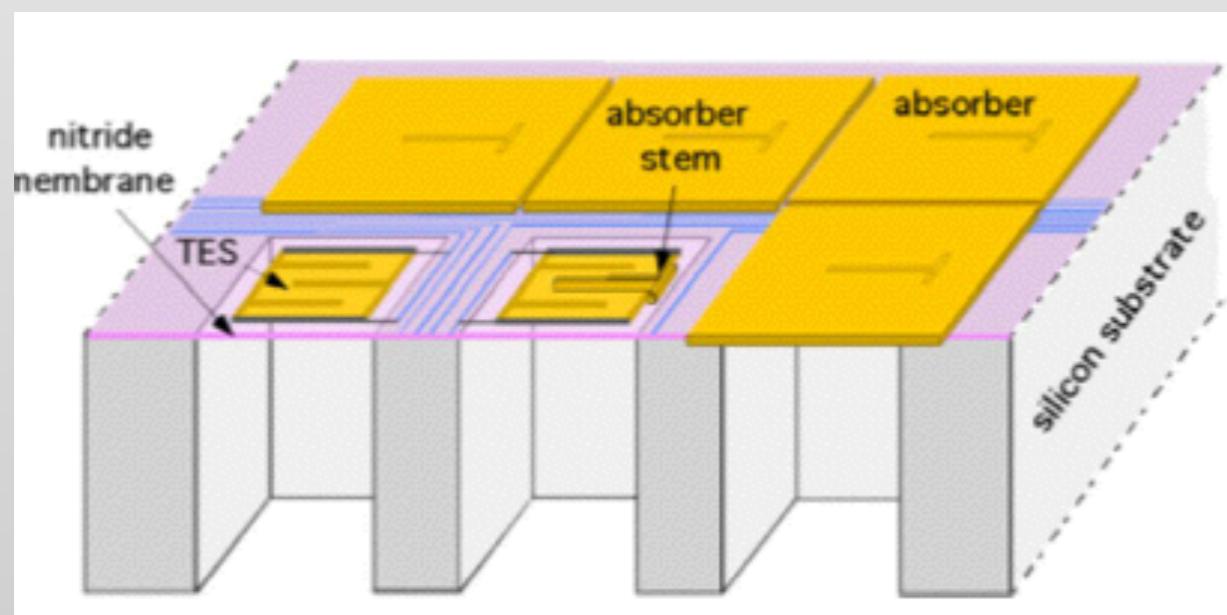
^{163}Ho (metallic) cathode



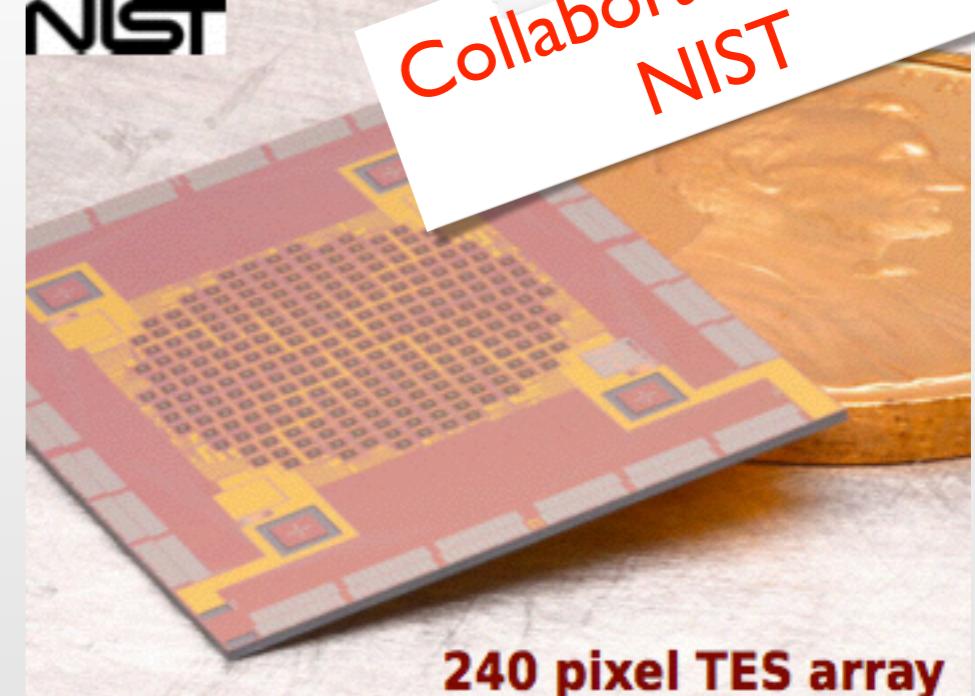
penning ion sputtering source

Single detector/array design

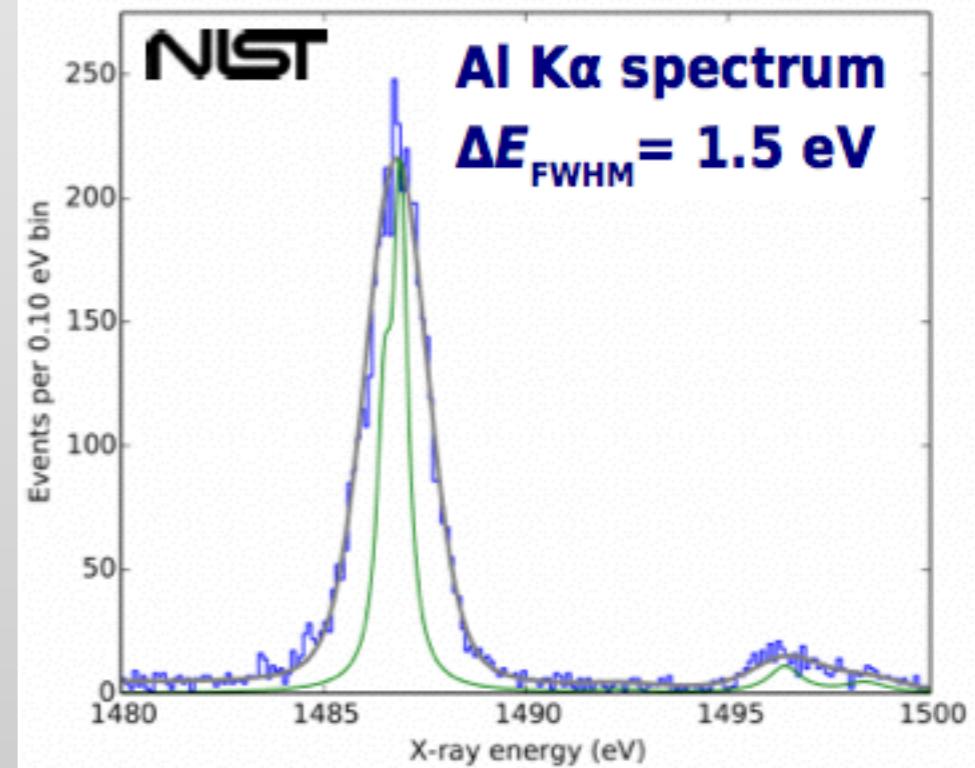
- Mo/Cu bilayers, $T_c \sim 100\text{mK}$
- μ -calorimeter with electrothermal feedback
- $\sim 3\mu\text{m}$ thick Bi absorber with $^{163}\text{Ho}/\text{Au}$ source for full absorption
- source: thin electrodeposited Au encapsulating implanted Holmium
- TES fabricated by NIST
- Ho implantation and membrane release in GE



NIST

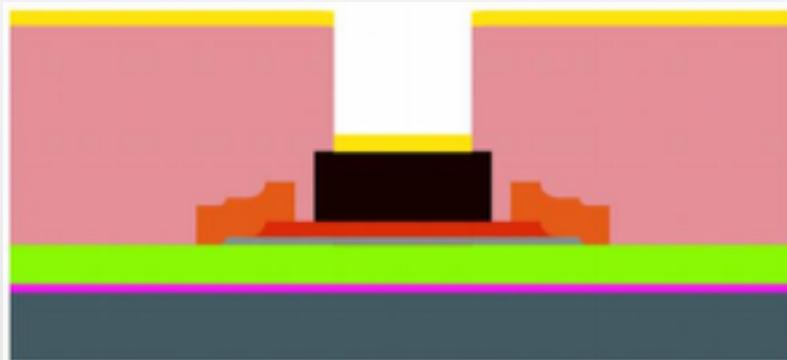


Collaboration with
NIST



Detector production

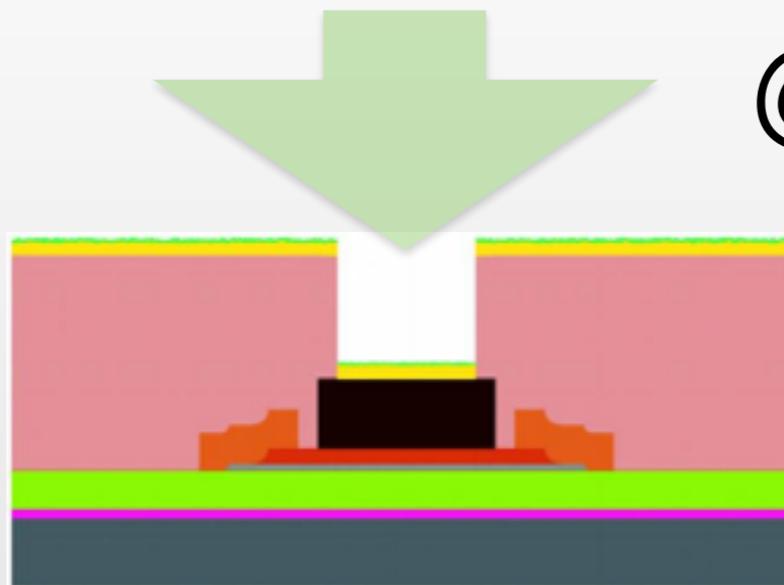
@NIST



- a) Array from NIST:
- complete Mo/Cu TES on Si/SiO₂/SiN substrate
 - first Bi layer
 - first Au layer
 - do not finish lift off



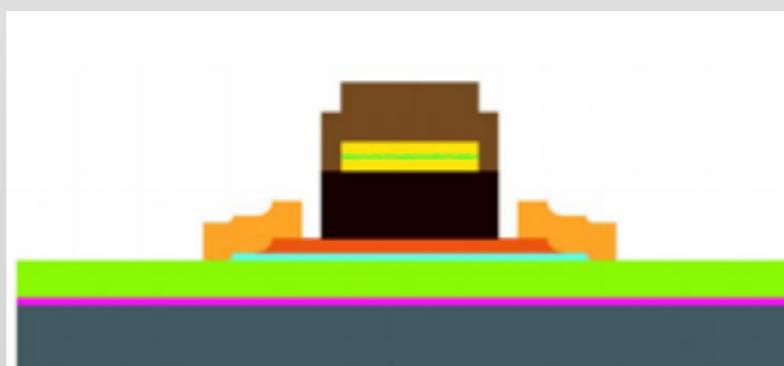
@Genova



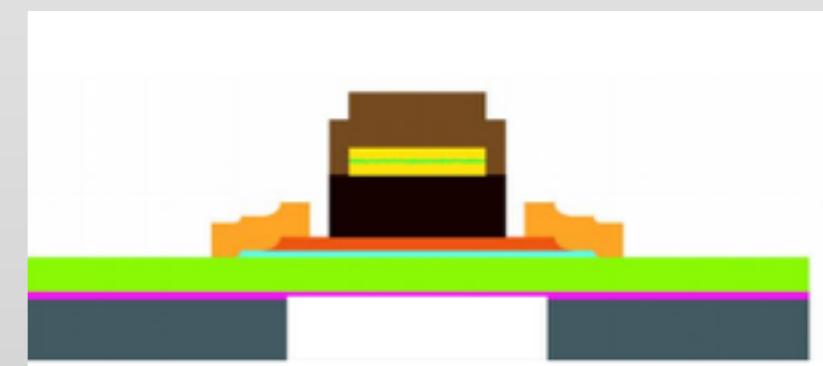
b) ¹⁶³Ho embedding



c) cap Ho with a second Au layer



d) lift off Au:Ho:Au layer
second Bi layer (which fully
encapsulate Au:Ho:Au)



e) Si etching

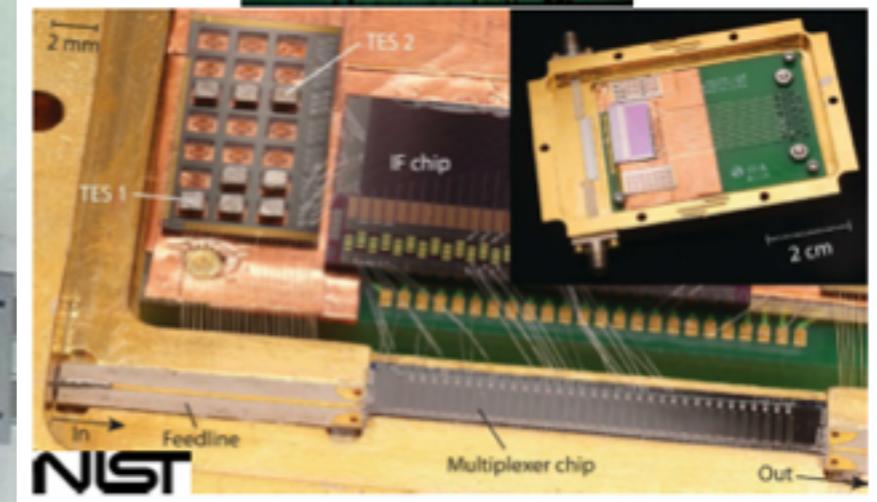
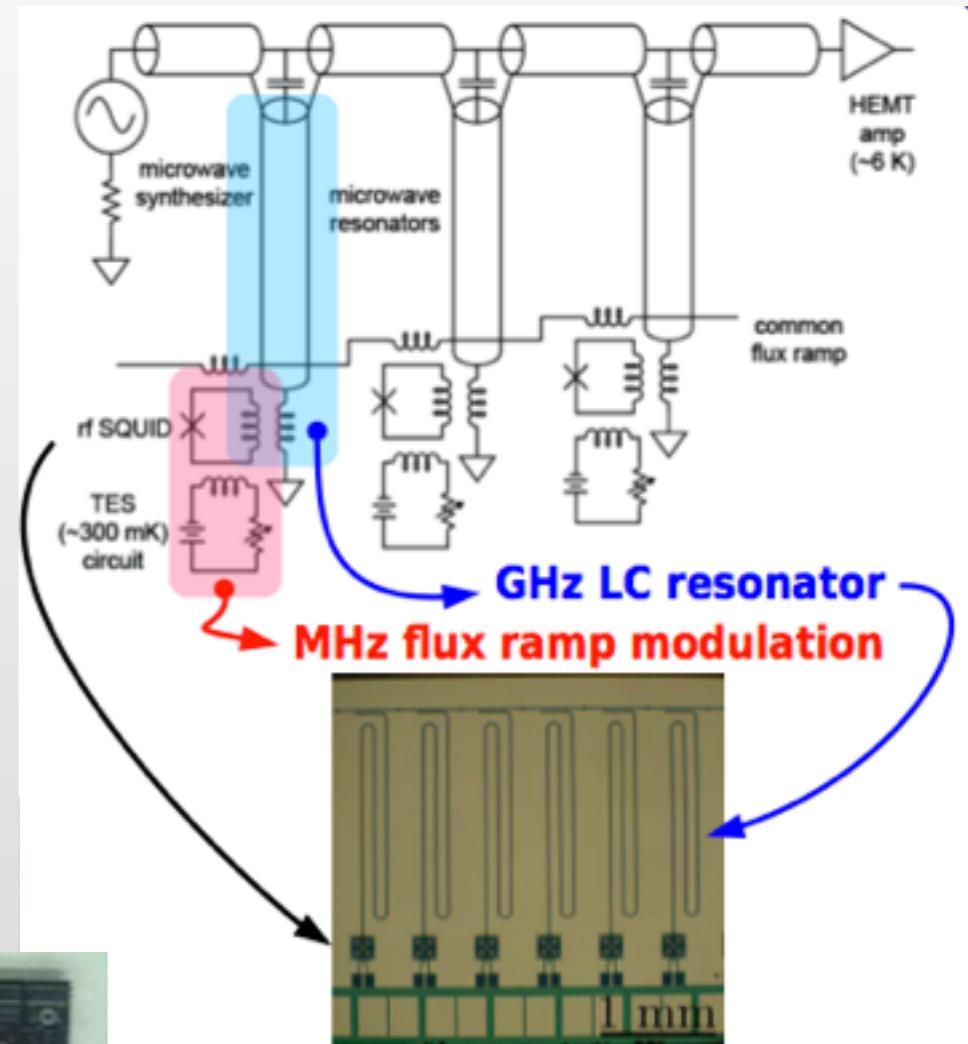
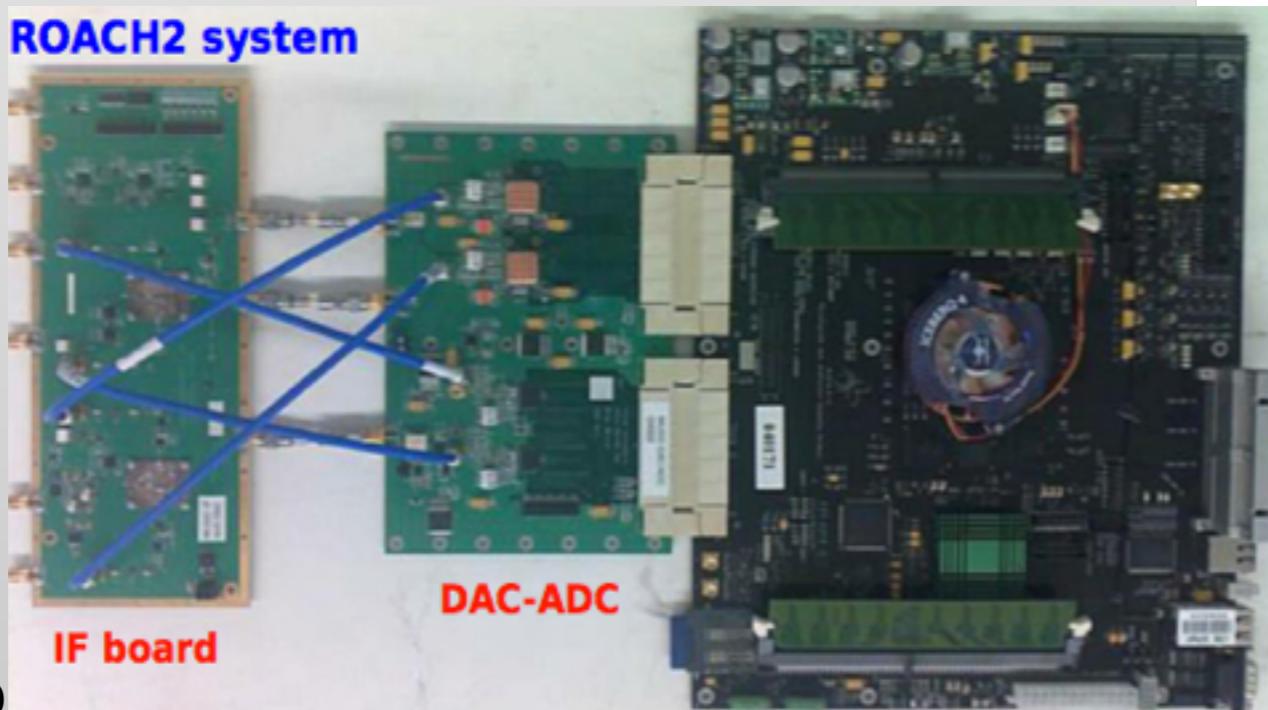
DAQ

rf-SQUID readout with microwave MUX

- DC biased tes
- microwave rf-SQUID read out with flux ramp modulation

ROACH2-based MUX

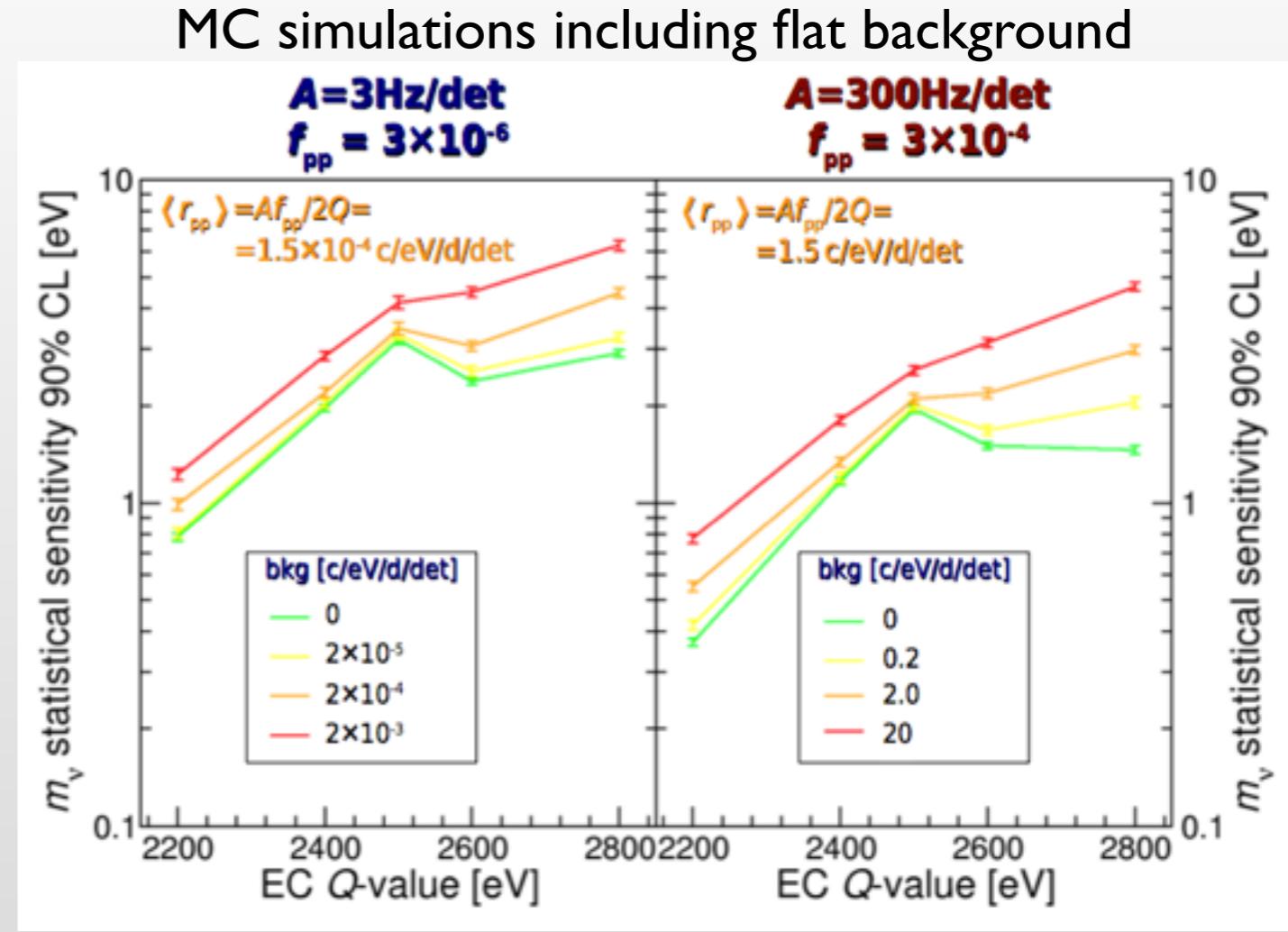
- Xilinx FPGA based digital data processing
- frequency comb generation (up to 60 tones in 0-550MHz band)
- GHz band up/down conversion (5 - 5.5 GHz)
- I-Q signals (homodyne detection) de-multiplexing
- signal channelizing and rf-SQUID signal de-modulation
- real time signal processing → ~140TB in 3 years



Source of background

Environmental γ radiation:

- Compton interactions, photoelectric interactions with photoelectron escape;
- Fluorescent X-rays and X-ray escape lines;
- γ and β from close surroundings;
- Cosmic rays at sea level (muons);
- TES@NIST(1600m): $350 \times 350 \times 2.5 \mu\text{m}^3$ Bi absorbers:
 $\Rightarrow b < 1 \text{ c/eV/d/det}$ (preliminary);



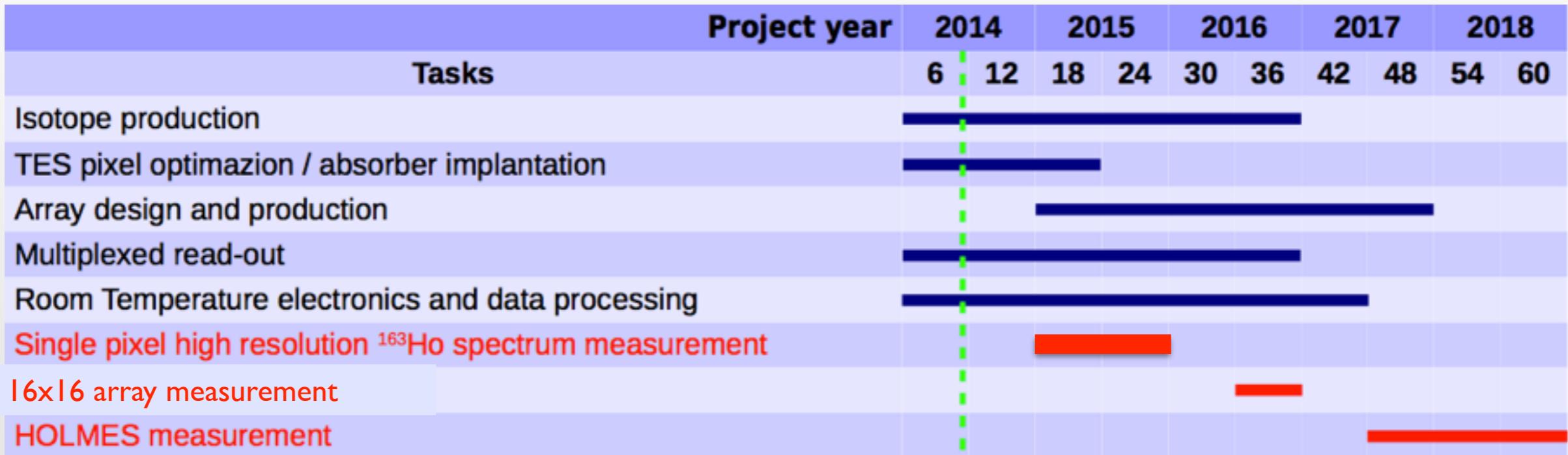
A. Nucciotti, submitted to EPJC, arXiv: 1405:5060

A flat background is negligible as long as it is smaller than the pile-up spectrum:

$$b < A_{EC} \times f_{PP} / 2Q$$

Other simulations are on-going to study the effect due to contaminations internal to the detector - mainly β and EC decaying isotopes \Rightarrow quality of Ho sample is crucial.

Status and schedule



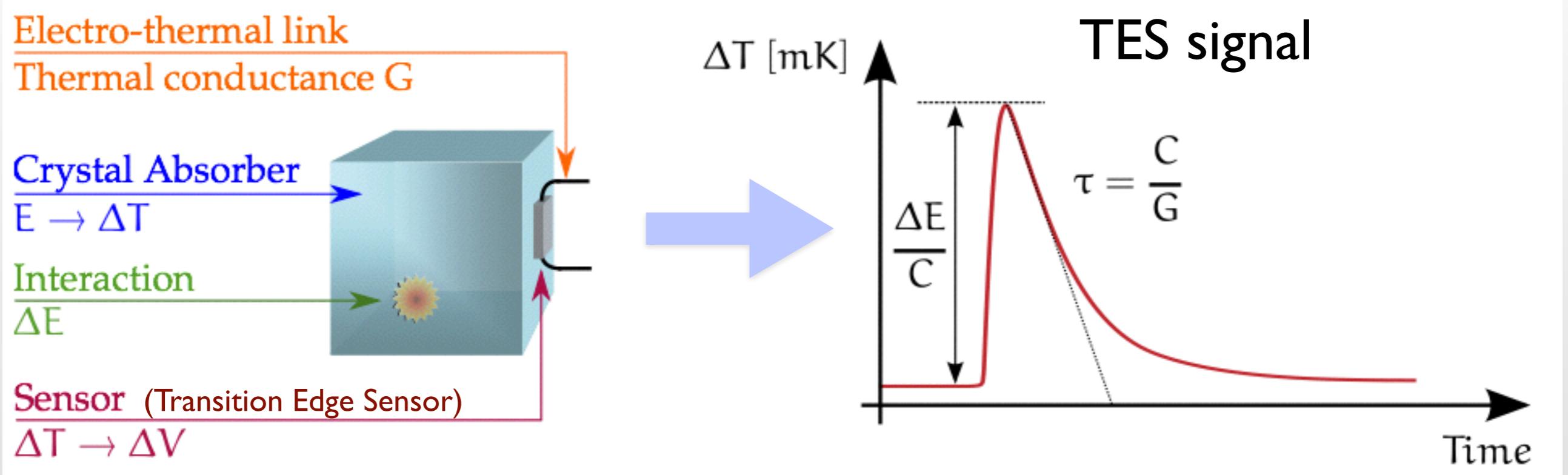
- The HOLMES project started in Feb 2014.
- Current main activities:
 - test on isotope preparation (^{162}Er activation, sample purification)
 - ion implanter designing
 - first ^{163}Ho sample and implantation expected by mid 2015
 - single TES design and optimization (in collaboration with NIST)
 - single pixel test (^{163}Ho spectrum measurement) by fall 2015
 - development of the multiplexed read-out
 - development of the room temperature electronics and data processing

Conclusions

- HOLMES is a new project which aims to directly measure the ν mass
 - started in Feb 2014, funded by ERC Grant #340321.
- Its goal is to study the end-point of ^{163}Ho electron capture spectrum by using a ~1000 channels array of μ -calorimeters with ^{163}Ho implanted.
 - Probe this approach for a future larger scale experiment.
- The development of the first prototype is on-going:
 - Ho distillation, purification and embedding in the detector
 - single detector designing and optimization
 - first DAQ channels prototype
- We expect to measure the first Ho spectrum by end of 2015.
- Hope to see you again in NOW 2016 with the first results!

Back up slides

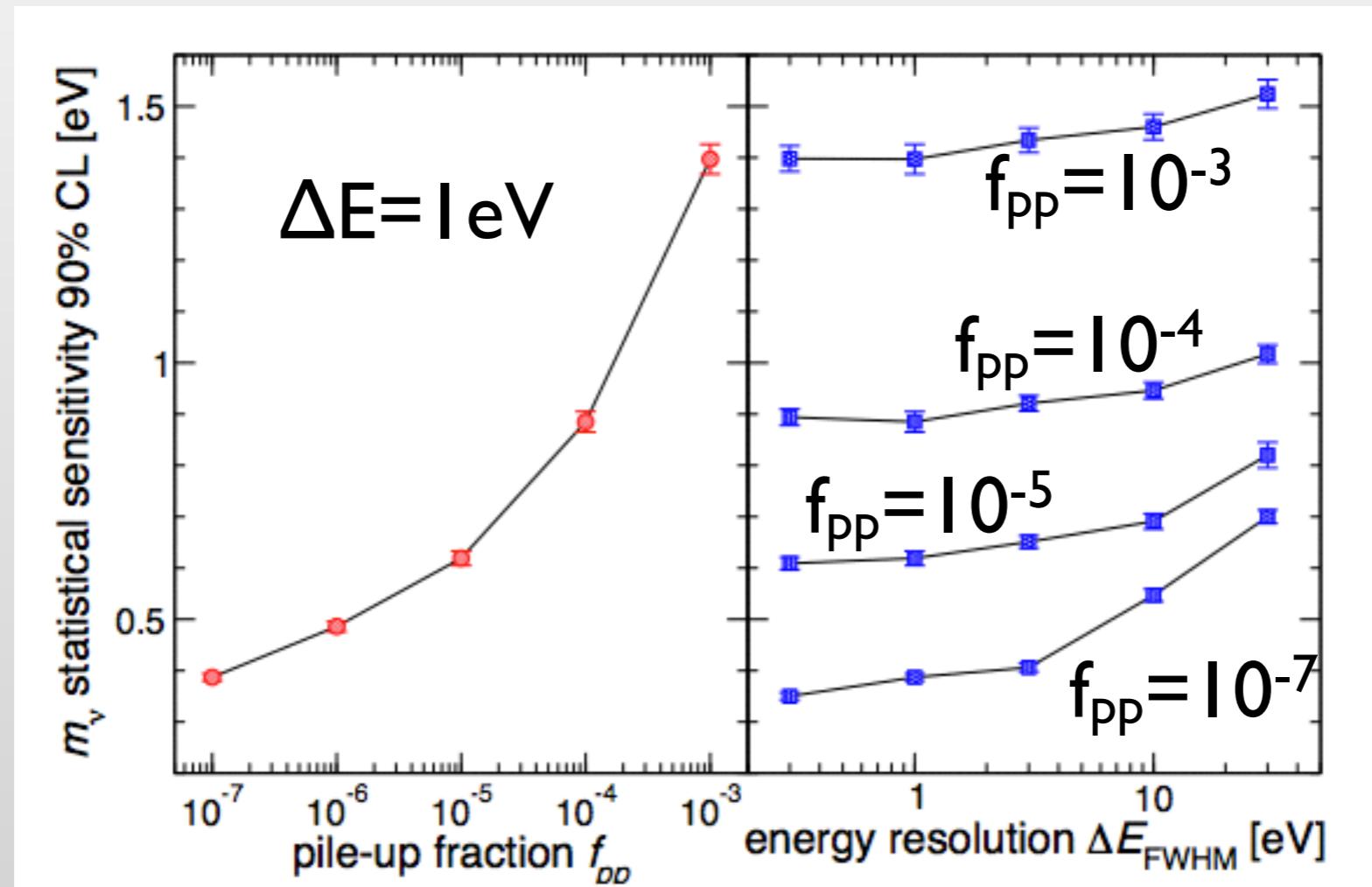
Low T calorimetry in a nutshell



- Complete energy thermalization (ionization, excitation → heat → calorimetry)
- $\Delta T_{\max} = E/C$, C is the total thermal capacity
 - absorber with low thermal capacity
 - for superconductors below T_c and dielectric: $C \sim (T/\theta_D)^3$ (Debye law)
 - very low T is needed ($10 \div 100 \text{ mK}$)
- $\Delta E_{\text{rms}} = (k_b T^2 C)^{1/2}$ due to statistical fluctuations of internal energy
- $\Delta T(t) = E/C e^{-t/\tau}$, $\tau = C/G$ and G is the thermal conductance

Expected sensitivity

$Q = 2600\text{eV}$
 $b = 0 \text{ counts/s/eV/det}$
 $N_{\text{ev}} = 10^{14}$



A. Nucciotti, submitted to EPJC, arXiv: 1405:5060

Expected sensitivity, Q~2.2KeV

required exposure for $m_\nu=0.2\text{eV}$ sensitivity

A [Hz]	τ [μs]	ΔE [eV]	N [counts]	Exposure [det*year]
1	1	1	2.8x10	9x10
1	0.1	1	1.3x10	4.3x10
100	0.1	1	4.6x10	1.5x10
10	0.1	1	2.8x10	9.0x10
10	1	1	4.6x10	1.5x10

- 5000 pixels/array;
- 3 arrays;
- • 1 years of live-time;
- 2×10^{17} nuclei of ^{163}Ho

required exposure for $m_\nu=0.1\text{eV}$ sensitivity

A [Hz]	τ [μs]	ΔE [eV]	N [counts]	Exposure [det*year]
1	0.1	0.3	1.2x10	3.9x10
100	0.1	0.3	6.4x10	2.0x10
100	0.1	1	7.4x10	2.4x10
10	0.1	1	4.5x10	1.5x10
10	1	1	7.4x10	2.4x10

- 5000 pixels/array;
- 4 arrays;
- • 10 years of live-time;
- 3×10^{17} nuclei of ^{163}Ho

background = 0

Expected sensitivity, Q~2.8KeV

required exposure for $m_\nu=0.2\text{eV}$ sensitivity

A [Hz]	τ [μs]	ΔE [eV]	N [counts]	Exposure [det*year]
1	1	1	0.2x10	7.6x10
1	0.1	1	1.6x10	5.3x10
100	0.1	1	9.8x10	3.1x10
10	0.1	1	3.8x10	1.2x10
10	1	1	9.8x10	3.1x10

- 60000 pixels/array;
- 5 arrays;
- 5 years of live-time;
- 4×10^{18} nuclei of ^{163}Ho



required exposure for $m_\nu=0.1\text{eV}$ sensitivity

A [Hz]	τ [μs]	ΔE [eV]	N [counts]	Exposure [det*year]
1	0.1	0.3	2.6x10	8.2x10
100	0.1	0.3	1.9x10	5.9x10
100	0.1	1	1.6x10	5.0x10
10	0.1	1	6.1x10	1.9x10
10	1	1	1.6x10	5.0x10

- 10^6 pixels/array;
- 6 arrays;
- 10 years of live-time;
- 8×10^{19} nuclei of ^{163}Ho



background = 0

Spectrometry vs calorimetry

General requirements for a ν mass experiment:

- High statistics near the end point
 - low Q-value ($\text{stat} \sim I/Q^3$)
 - high activity/efficiency of the source
- Energy reso order $\sim \text{eV}$ or below (comparable with m_ν)
- S/N ratio
- small systematic effects

Spectroscopy: source $\not\subset$ detector

- high statistics
- high energy resolution (below eV)
- systematics due to the source (energy loss)
- systematics due to decay to excited states
- background

Calorimetry: source \subset detector

- no backscattering
- no energy loss in source
- no solid state excitation
- no atomic/molecular final state effects
- good energy resolution ($\sim \text{eV}$)
- limited statistics
- systematics due to pile-up
- background