



The Electron Neutrino Mass Measurement by the HOLMES experiment

A Status Report

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on behalf of HOLMES collaboration

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Known facts

- Neutrino are fermions;
- There are 3 active neutrino flavors (ν_e, ν_μ, ν_τ);
- Neutrino flavor states are mixture of mass states (ν_1, ν_2, ν_3);

$\Rightarrow$ neutrino oscillation

$\Downarrow$

$$\delta m_{ij}^2 = |m_i^2 - m_j^2|$$

$$\sin^2 \theta_{ij} = f(|U_{ij}|^2)$$

$$|\nu_\alpha\rangle = \sum_j U_{\alpha j} |\nu_j\rangle$$

$$\left\{ \begin{array}{ll} |\nu_\alpha\rangle & : \text{Flavor weak eigenstate;} \\ U_{\alpha j} & : \text{Neutrino mixing matrix;} \\ |\nu_j\rangle & : \text{Mass eigenstate.} \end{array} \right.$$

Parameter	Best fit	1σ range	$\sigma_{\text{symmetric}}$
$\mathcal{NH}$			
$\sin^2(\theta_{12})$	$3.08 \cdot 10^{-1}$	$(2.91 - 3.25) \cdot 10^{-1}$	$0.17 \cdot 10^{-1}$
$\sin^2(\theta_{13})$	$2.34 \cdot 10^{-2}$	$(2.16 - 2.56) \cdot 10^{-2}$	$0.22 \cdot 10^{-2}$
δm^2 [eV ²]	$7.54 \cdot 10^{-5}$	$(7.32 - 7.80) \cdot 10^{-5}$	$0.26 \cdot 10^{-5}$
Δm^2 [eV ²]	$2.44 \cdot 10^{-3}$	$(2.38 - 2.52) \cdot 10^{-3}$	$0.08 \cdot 10^{-3}$
$\mathcal{IH}$			
$\sin^2(\theta_{12})$	$3.08 \cdot 10^{-1}$	$(2.91 - 3.25) \cdot 10^{-1}$	$0.17 \cdot 10^{-1}$
$\sin^2(\theta_{13})$	$2.39 \cdot 10^{-2}$	$(2.18 - 2.60) \cdot 10^{-2}$	$0.21 \cdot 10^{-2}$
δm^2 [eV ²]	$7.54 \cdot 10^{-5}$	$(7.32 - 7.80) \cdot 10^{-5}$	$0.26 \cdot 10^{-5}$
Δm^2 [eV ²]	$2.40 \cdot 10^{-3}$	$(2.33 - 2.47) \cdot 10^{-3}$	$0.07 \cdot 10^{-3}$

Results of the global 3 ν oscillation analysis [1, 2]

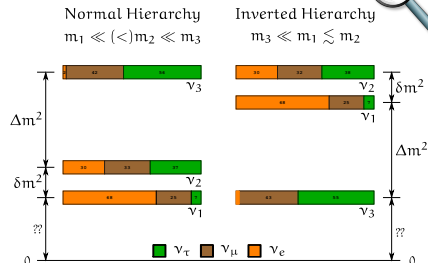
$$\left\{ \begin{array}{l} \delta m^2 = m_2^2 - m_1^2 \\ \Delta m^2 = m_3^2 - \frac{m_1^2 + m_2^2}{2} \end{array} \right.$$

Parameter	Dominated by [1]
θ_{12}	Solar data
θ_{13}	Short-baseline (SBL) reactor data Daya Bay, RENO
θ_{23}	Atmospheric data Super-Kamiokande
δm^2	Long-baseline (LBL) accelerator data KamLAND
Δm^2	Long-baseline (LBL) accelerator data MINOS, T2K



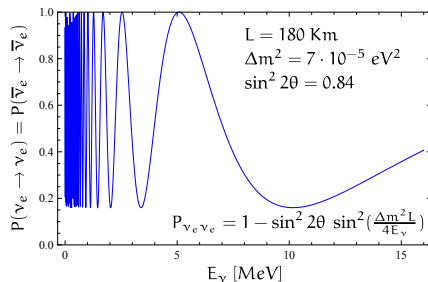
Unknown facts

- Mass scale:
 - Mass of the lightest neutrino
- Mass ordering (hierarchy):
 - degenerate ($\mathcal{QD}$): $m_1 \simeq m_2 \simeq m_3$
 - normal ($\mathcal{NH}$): $m_1 \ll (<) m_2 \ll m_3$
 - inverted ($\mathcal{IH}$): $m_3 \ll m_1 \lesssim m_2$
- Mass Nature:
 - Dirac particle: $\nu \neq \bar{\nu}$
 - Majorana particle: $\nu = \bar{\nu}$
- Others?



Oscillations experiments:

- Sensitive ONLY to the squared mass differences: δm_{ij}^2 ;
- NO information about absolute mass scale and nature.



S. Goswami *et al.* [3]



Constraint from cosmology:

- Cosmic microwave background (CMB);
- Galaxy clustering;
- Lyman-alpha forest;
- Weak lensing.

Observable	$m_{\Sigma} = \sum_k m_{\nu_k}$
Best limit	$m_{\Sigma} \leq 0.23 \text{ eV @ 95\% [4]}$

Constraint from the Neutrinoless Double Beta-Decay ($0\nu\beta\beta$):

- Forbidden by Standard Model ($\Delta L = 2$);
- Allowed only for Majorana neutrino;
- Never observed.
- $[\tau_{1/2}^{0\nu}]^{-1} = G^{0\nu} |M^{0\nu}|^2 |\langle m_{\beta\beta} \rangle|^2$

Decay	$(A, Z) \rightarrow (A, Z + 2) + 2e^-$
Observable	$m_{\beta\beta} = \sum_k m_{\nu_k} U_{ek}^2 $
Best limit	$m_{\beta\beta} (^{76}\text{Ge}) \leq (200 \div 400) \text{ meV [5]}$ $m_{\beta\beta} (^{136}\text{Xe}) \leq (190 \div 450) \text{ meV [6]}$

$m_{\beta\beta}$: Effective Majorana Mass

Constraint from the Direct Neutrino Mass Determination:

- Kinematical analysis of the end point region of the β decay spectra;
- The neutrino is not directly observed but the energy of the decay products is precisely measured;

Decay	$(A, Z) \rightarrow (A, Z + 1) + e^- + \bar{\nu}_e (\beta D)$ $(A, Z) + e^- \rightarrow (A, Z - 1) + \nu_e (\text{EC})$
Observable	$m_{\beta} = \sqrt{\sum_k m_{\nu_k}^2 U_{ek} ^2}$
Best limit	$m_{\beta} \leq 2.2 \text{ eV [7, 8]}$



Tool	Cosmology	Double Beta Decay	Beta Decay End Point
Observable	$m_{\Sigma} = \sum_k m_{\nu_k}$	$m_{\beta\beta} = \sum_k m_{\nu_k} U_{ek}^2 $	$m_{\beta} = \sqrt{\sum_k m_{\nu_k}^2 U_{ek} ^2}$
Present Sensitivity	$\simeq 0.1 \text{ eV}$	$\simeq 0.1 \text{ eV}$	2 eV
Future Sensitivity	0.01 eV	0.01 eV	0.2 eV
Model Dependency	yes ☹	yes ☹	no ☺
Systematics	large ☹	small ☺	large ☹

Cosmology

- The parameter m_{Σ} suffers of cosmological model dependency;

Neutrinoless Double Beta

- The calculations of nuclear matrix elements of $0\nu\beta\beta$ -decay is a challenge for nuclear physics (several different approach, model dependency).

Beta Decay end-point measurement

- The measurement of the end point of nuclear beta or electron capture (EC) decays spectra is the only model-independent.

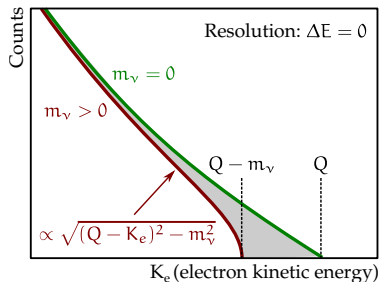


Kinematics of weak decays with ν emission:

- only energy and momentum conservation;
- no further assumptions;
- low Q nuclear beta decays (^3H , ^{187}Re);

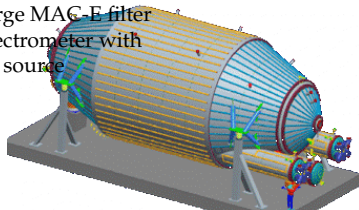
Two approaches with different:

- **Spectrometry**: the β source is outside the spectrometry detector;
- **Calorimetry**: the β source is contained in the calorimetry detector which measures all the energy released except the ν energy.

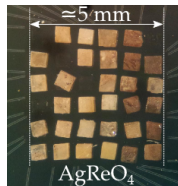


Spectrometry: KATRIN

Large MAC-E filter spectrometer with ^3H source



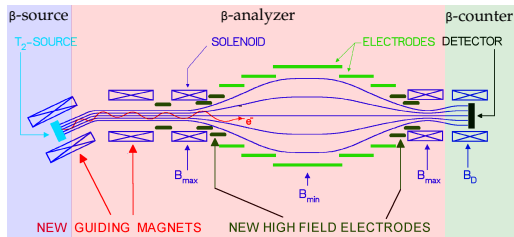
Calorimetry: Mare, ECHo, Holmes



Array of low temperature microcalorimeters with ^{187}Re or ^{163}Ho



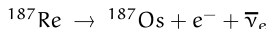
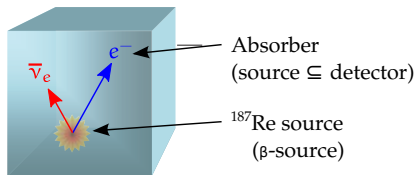
Spectrometers: source $\neq$ detector



(Mainz spectrometer sketch-up from C. Kraus *et al.* [9])

- Tritium β decay:
 ${}^3\text{H} \rightarrow {}^3\text{He}^+ + e^- + \bar{\nu}_e$
- Magnetic spectrometers and MAC-E filter [10];
- The β -electrons with enough energy to pass the MAC-E filter are detected;

Calorimeters: source $\subseteq$ detector



- The β source is embedded in the detector (absorber);
- Ideally measurement of all the energy E released in the decay except for the ν_e energy;



General experimental requirements:

- High statistics at the beta spectrum end-point:
 - Low end-point energy Q : $F(\delta E) \propto (\delta E/Q)^3$
 $\Rightarrow$ where δE is the energy range considered near the end point;
 - High source activity and high efficiency;
- High energy resolution ΔE (same order of magnitude of m_ν sensitivity);
- High signal-to-noise ratio (SNR);
- Small systematic effects.

Spectrometers: source $\neq$ detector:

- 😊 high statistics: $\tau_{1/2}({}^3\text{H}) = 12.3 \text{ y}$;
- 😊 high energy resolution: $\delta E \simeq 1 \text{ eV}$;
- 😞 systematics due to source effect;
- 😞 systematics due to decay to excited states;
- 😞 background.

Calorimeters: source $\subseteq$ detector:

- 😊 no backscattering;
- 😊 no energy losses in the source;
- 😊 no solid state excitation;
- 😊 no atomic/molecular final state effect;
- 😞 limited statistics: $\tau_{1/2}({}^{187}\text{Re}) \simeq 4 \cdot 10^{10} \text{ y}$;
- 😞 systematics due to pile-up;
- 😞 background.



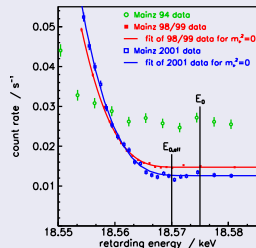
Mainz Experiment: solid ^3H source (1997-2001)

$$m_\nu^2 = -0.6 \pm 2.2_{(\text{stat})} \pm 2.1_{(\text{sys})} \text{ eV}^2$$

$$\Downarrow$$

$$m_\nu < 2.3 \text{ eV (95\% C.L.) [8, 9]}$$

Results after all critical systematics measured
(atomic physics, surface and solid state physics, inelastic scattering, self-charging, neighbour excitation)



Troitsk Experiment: gaseous ^3H source (1997-2004)

$$m_\nu^2 = -0.67 \pm 1.89_{(\text{stat})} \pm 1.68_{(\text{sys})} \text{ eV}^2$$

$$\Downarrow$$

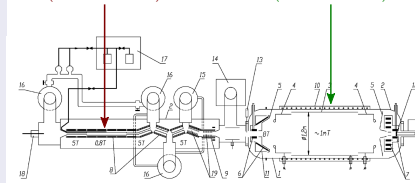
$$m_\nu < 2.05 \text{ eV (95\% C.L.) [7, 11]}$$

Most significant systematics:

- Stability of source conditions;
- Energy loss inside tritium source;
- Background due to non-optimal vacuum;

Windowless gaseous T_2 source
(similar to LANL)

MAC-E-Filter
(similar to Mainz)





The Karlsruhe TRitium Neutrino Experiment (KATRIN)
@ KIT (Karlsruhe Institute of Technology)

Transport section

Detector

Spectrometer

Source

DPS2-F

CPS

← Located in Tritium Laboratory Karlsruhe →

- Larger electrostatic spectrometer ever built (stainless steel vessel, $\varnothing = 10$ m , $L = 22$ m);
- Intense Windowless Gaseous Tritium Source (WGTS): 10^{11} β decay electrons per second;
- Energy resolution: $\Delta E = 0.93$ eV;
- High luminosity: $L = 20$ cm² (Troitsk: $L = 0.6$ cm²);
- Ultrahigh vacuum requirements: $p < 10^{-11}$ mbar (to reduce the background).

Expected statistical sensitivity: $m_\nu < 0.2$ eV @ 90% C.L. [12, 13]



Electro-thermal link

Thermal conductance G

Crystal Absorber

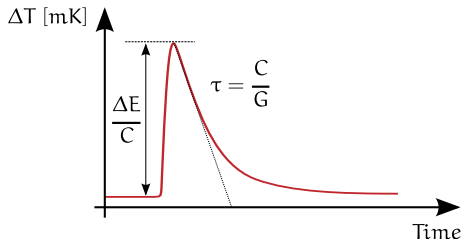
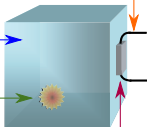
$E \rightarrow \Delta T$

Interaction

ΔE

Sensor

$\Delta T \rightarrow \Delta V$



- Complete energy thermalization: ionization, excitation $\Rightarrow$ heat $\Rightarrow$ calorimetry;
- $\Delta T = \frac{\Delta E}{C}$ where ΔE is the released energy and C the total thermal capacity;
 - Absorber with very low thermal capacity: $C \downarrow \Rightarrow \Delta T \uparrow$;
 - Debay law for superconductors below T_C and dielectric: $C \propto \left(\frac{T}{\Theta_D}\right)^3$;
 - A very low temperature is needed: $T \downarrow \Rightarrow C \downarrow \Rightarrow \Delta T \uparrow \Rightarrow (T = 10 \div 100 \text{ mK})$;
- Limit to energy resolution: statistical fluctuation of internal energy $\Delta E_{\text{rms}} = \sqrt{k_B T^2 C}$;
- $\Delta T(t) = \frac{\Delta E}{C} e^{-t/\tau}$ with $\tau = \frac{C}{G}$ and G thermal conductance.



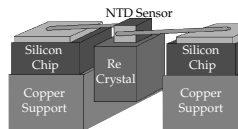
Isotope candidate: $^{187}\text{Re} \beta \text{ decay} \Rightarrow ^{187}\text{Re} \rightarrow ^{187}\text{Os} + e^- + \bar{\nu}_e$

Rhenium is perfectly suited
for fabricating thermal
detectors.

- Dielectric or superconductor behaviour;
- Very low end point: $Q = 2.47 \text{ keV}$;
- Half-life time: $\tau_{1/2} = 43.2 \text{ Gy}$;
- High natural abundance: a.i. = 63%;
- Rate of 1 mg metallic Rhenium: $\simeq 1.0 \text{ decay/s}$.

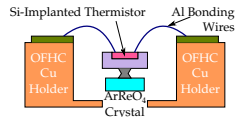
Metallic Rhenium single crystals

- Absorber: Re superconductor with $T_C = 1.6 \text{ K}$;
- Sensor: NTD thermistors;
- MANU experiment (Genova).



Dielectric Rhenium compound (AgReO_4) crystals

- Absorber: AgReO_4 crystals (Silver perrhenate);
- Sensor: Silicon implanted thermistors;
- MIBETA experiment (Milano, Como, Trento).





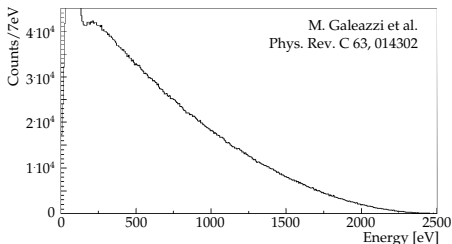
MANU (1999)

- 1 crystal of metallic Re: 1.6 mg;
- ^{187}Re activity: $\simeq 1.6$ Hz;
- Sensor: Ge NTD thermistor:
- Resolution: $\Delta E = 96$ eV FWHM;
- Live-time: 0.5 years;
- $6.0 \cdot 10^6$ ^{187}Re decays above 420 eV.

$$m_{\nu}^2 = -462 \pm 579_{(\text{stat})} \pm 679_{(\text{sys})} \text{ eV}^2$$

$$\Downarrow$$

$$m_{\nu} < 26 \text{ eV (95\% C.L.) [14]}$$



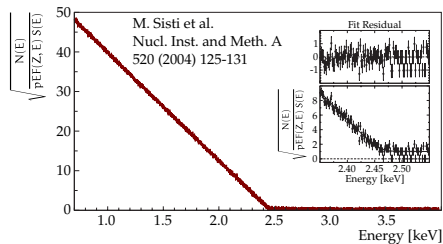
MIBETA (2002-2003)

- 10 AgReO_4 crystals: 2.71 mg;
- ^{187}Re activity: 0.54 Hz/mg;
- Sensor: Si thermistor (ITC-first now FBK);
- Resolution: $\Delta E = 28.5$ eV FWHM;
- Live-time: 0.6 years;
- $6.2 \cdot 10^6$ ^{187}Re decays above 700 eV.

$$m_{\nu}^2 = -112 \pm 207_{(\text{stat})} \pm 90_{(\text{sys})} \text{ eV}^2$$

$$\Downarrow$$

$$m_{\nu} < 15 \text{ eV (90\% C.L.) [15]}$$




Exposure required for $m_\nu = 0.2$ eV sensitivity [16]

A_β [Hz]	τ_{rise} [μs]	ΔE [eV]	N_{ev} [counts]	Exposure [det·year]
1	1	1	$0.2 \cdot 10^{14}$	$7.6 \cdot 10^5$
10	1	1	$0.7 \cdot 10^{14}$	$2.1 \cdot 10^5$
10	3	3	$1.3 \cdot 10^{14}$	$4.1 \cdot 10^5$
10	5	5	$1.9 \cdot 10^{14}$	$6.1 \cdot 10^5$
10	10	10	$3.3 \cdot 10^{14}$	$10.5 \cdot 10^5$

Example: red line in table (background $b = 0$)

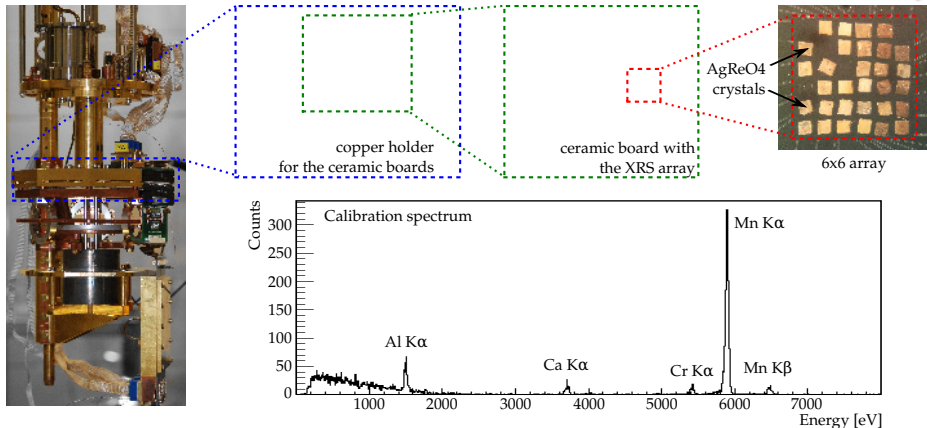
- 5000 pixels/array;
- 8 arrays;
- 10 years of live-time;
- 400 g ^{nat}Re.

Exposure required for $m_\nu = 0.1$ eV sensitivity [16]

A_β [Hz]	τ_{rise} [μs]	ΔE [eV]	N_{ev} [counts]	Exposure [det·year]
1	0.1	0.1	$1.7 \cdot 10^{14}$	$5.4 \cdot 10^6$
10	0.1	0.1	$5.3 \cdot 10^{14}$	$1.7 \cdot 10^6$
10	1	1	$10.3 \cdot 10^{14}$	$3.3 \cdot 10^6$
10	3	3	$21.4 \cdot 10^{14}$	$6.8 \cdot 10^6$
10	5	5	$43.6 \cdot 10^{14}$	$13.9 \cdot 10^6$

Example: green line in table (background $b = 0$)

- 20000 pixels/array;
- 16 arrays;
- 10 years of live-time;
- 3.2 kg ^{nat}Re.



- 31 AgReO₄ crystals glued on 1st array;
- Only 16 usable: $\Delta E \simeq 47$ eV @ 2.6 keV, $\tau_{\text{rise}} \simeq 1$ ms;
- **Not enough for improving previous m_ν limits**
 $\Rightarrow m_\nu \leq 10$ eV in 1 year of live time.



Status of Re detector development in about 20 years of test:

- To date no satisfactory results with Si- or Ge-thermistors but also with TES, MMCs;
- No clear understanding of Re absorber physics;
- Purity and superconductivity?
- Extra thermal capacity C due to nuclear quadrupole moment?
- low specific activity $\Rightarrow$ need large mass to reach sub-eV sensitivity;
- systematics due to the Beta Environmental Fine Structure (BEFS);
- ... and due to the detector response function.

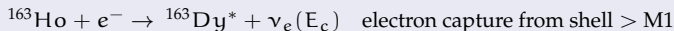
AgReO₄ best detectors cannot provide the performances for sub-eV sensitivity



with the current technologies the future of Re experiments is not very bright

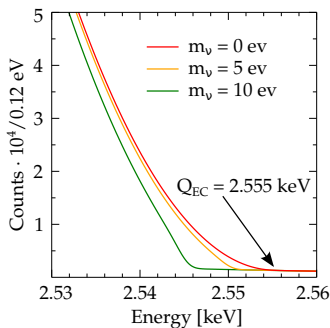
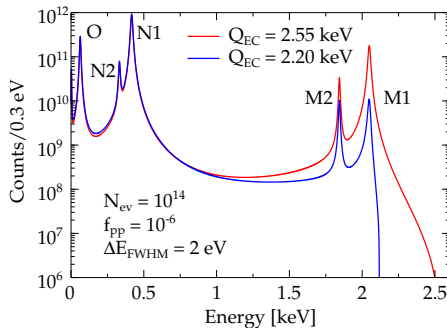


An interesting isotope suitable for the neutrino mass experiment could be the ^{163}Ho .



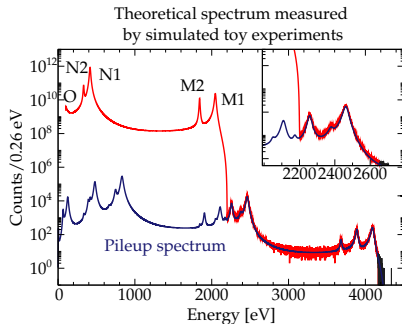
proposed by A. De Rujula e M. Lusignoli in 1982 [17, 18]

- Calorimetric measurement of Dy atomic de-excitations (mostly non-radiative):
 - ⇒ measurement of the entire energy released except the ν energy;
- The rate at end-point may be as high as for ^{187}Re but depends on Q_{EC} :
- Q_{EC} and atomic de-excitation spectrum poorly known:
 - ⇒ Measured: $Q_{\text{EC}} = (2.2 \div 2.8) \text{ keV}$;
 - ⇒ Recommended: $Q_{\text{EC}} = 2.555 \text{ keV}$ [19, 20]);
- $\tau_{1/2} \simeq 4570 \text{ years} \Rightarrow$ high specific activity:
 - ⇒ Holmium detector not needed;
 - ⇒ ^{163}Ho can be implanted in any suitable microcalorimeter absorber;
- Complex pile-up spectrum;
- No high statistics and clean calorimetric measurement so far;



$$\frac{d\lambda_{EC}}{dE_c} = \frac{G_\beta^2}{4\pi^2} (Q_{EC} - E_c) \sqrt{(Q_{EC} - E_c)^2 - m_\nu^2} \times \sum_i n_i C_i \beta_i^2 B_i \frac{\Gamma_i}{2\pi} \frac{1}{(E_c - E_i)^2 + \Gamma_i^2/4},$$

- Continuum with marked peaks with Breit-Wigner shapes lines (width Γ_i of a few eV);
- Series of lines at the ionization energies E_i of the captured electrons;
- End-point shaped by $\sqrt{(Q - E_e)^2 - m_\nu^2}$ (the same of the β -decay);
- Self calibrating spectrum;



$$S(E_c) = [N_{ev}(N_{EC}(E_c, m_v) + f_{pp} \times N_{EC}(E_c, 0) \otimes N_{EC}(E_c, 0)) + B(E_c)] \otimes R_{\Delta E}(E_c)$$

$N_{EC}(E_c, m_v)$: ^{163}Ho spectrum
 $B(E)$: background energy spectrum
 $R_{\Delta E}(E_c)$: detector energy response function

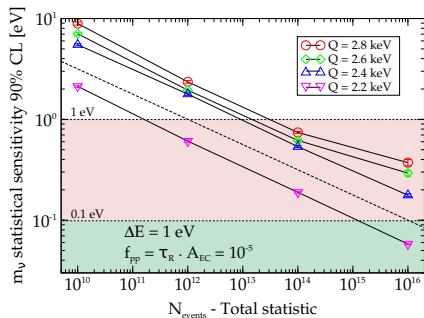
more details in A. Nucciotti, submitted to EPJC,
arXiv:1405.5060 [21]

- Pulse pile-up occurs when multiple events arrive within the temporal resolving time of the detector;
- Unresolved pile-up produces a sort of background close to the end-point;
- The ^{163}Ho pile-up events spectrum is quite complex and presents a number of peaks right at the end-point of the decay spectrum;
- To resolve pile-up:
 - Detector with fast signal rise-time τ_{rise} ;
 - Pulse pile-up recovery algorithm



Montecarlo Simulation

- ^{163}Ho production: neutron irradiation of Erbium (Er) enriched ^{162}Er ;
- ^{163}Ho embedded in thermal detectors for low energy X-rays spectroscopy;
- Rate: $2 \cdot 10^{11}$ nuclei of $^{163}\text{Ho} \Rightarrow 1$ decay/s;



Requirements:

- High energy resolution ($\simeq 1$ eV);
- Fast response detectors ($\simeq 1$ μs) to avoid pile-up events;
- Multiplexable detectors array ($\simeq 1000$);



TESs, MMCs, MKIDs, ...

A. Nucciotti, submitted to EPJC, arXiv:1405.5060 [21]

Exposure required for $m_\nu = 0.2 \text{ eV}$ sensitivity [21, 22]

A_β [Hz]	τ_{rise} [μs]	ΔE [eV]	N_{ev} [counts]	Exposure [det·year]
1	1	1	$2.8 \cdot 10^{13}$	$9.0 \cdot 10^5$
1	0.1	1	$1.3 \cdot 10^{13}$	$4.3 \cdot 10^5$
100	0.1	1	$4.6 \cdot 10^{13}$	$1.5 \cdot 10^4$
10	0.1	1	$2.8 \cdot 10^{13}$	$9.0 \cdot 10^4$
10	1	1	$4.6 \cdot 10^{13}$	$1.5 \cdot 10^5$

Example: green line in table (background $b = 0$)

- 5000 pixels/array;
- 3 arrays;
- 1 years of live-time;
- $2 \cdot 10^{17}$ nuclei of ^{163}Ho

Exposure required for $m_\nu = 0.1 \text{ eV}$ sensitivity [21, 22]

A_β [Hz]	τ_{rise} [μs]	ΔE [eV]	N_{ev} [counts]	Exposure [det·year]
1	0.1	0.3	$1.2 \cdot 10^{14}$	$3.9 \cdot 10^6$
100	0.1	0.3	$6.4 \cdot 10^{14}$	$2.0 \cdot 10^5$
100	0.1	1	$7.4 \cdot 10^{14}$	$2.4 \cdot 10^5$
10	0.1	1	$4.5 \cdot 10^{14}$	$1.5 \cdot 10^6$
10	1	1	$7.4 \cdot 10^{14}$	$2.4 \cdot 10^6$

Example: red line in table (background $b = 0$)

- 5000 pixels/array;
- 4 arrays;
- 10 years of live-time;
- $3 \cdot 10^{17}$ nuclei of ^{163}Ho

Exposure required for $m_\nu = 0.2 \text{ eV}$ sensitivity [21, 22]

A_β [Hz]	τ_{rise} [μs]	ΔE [eV]	N_{ev} [counts]	Exposure [det·year]
1	1	1	$0.2 \cdot 10^{14}$	$7.6 \cdot 10^5$
1	0.1	1	$1.6 \cdot 10^{15}$	$5.3 \cdot 10^7$
100	0.1	1	$9.8 \cdot 10^{15}$	$3.1 \cdot 10^6$
10	0.1	1	$3.8 \cdot 10^{15}$	$1.2 \cdot 10^7$
10	1	1	$9.8 \cdot 10^{15}$	$3.1 \cdot 10^7$

Example: green line in table (background $b = 0$)

- 60000 pixels/array;
- 5 arrays;
- 5 years of live-time;
- $4 \cdot 10^{18}$ nuclei of ^{163}Ho

Exposure required for $m_\nu = 0.1 \text{ eV}$ sensitivity [21, 22]

A_β [Hz]	τ_{rise} [μs]	ΔE [eV]	N_{ev} [counts]	Exposure [det·year]
1	0.1	0.3	$2.6 \cdot 10^{16}$	$8.2 \cdot 10^8$
100	0.1	0.3	$1.9 \cdot 10^{17}$	$5.9 \cdot 10^7$
100	0.1	1	$1.6 \cdot 10^{17}$	$5.0 \cdot 10^7$
10	0.1	1	$6.1 \cdot 10^{16}$	$1.9 \cdot 10^8$
10	1	1	$1.6 \cdot 10^{17}$	$5.0 \cdot 10^8$

Example: red line in table ($b_{\text{kg}}=0$)

- 10^6 pixels/array;
- 6 arrays;
- 10 years of live-time;
- $8 \cdot 10^{19}$ nuclei of ^{163}Ho



^{163}Ho seems to be better than ^{187}Re :

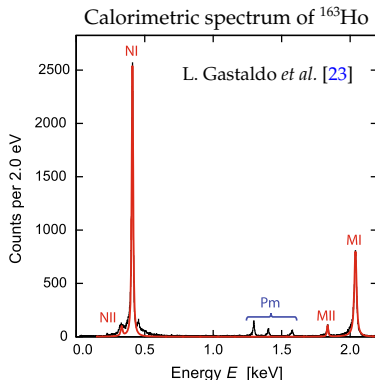
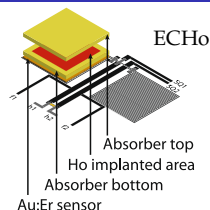
- 😊 higher specific activity $\Rightarrow$ Holmium detector not needed;
- 😊 self calibrating $\Rightarrow$ better systematics control;
- 😞 Q_{EC} and atomic de-excitation spectrum poorly known;
- 😞 complex pile-up spectrum;
- 😞 in case of higher $Q \Rightarrow$ less sensitive;

(At least) two LTD projects with ^{163}Ho :

- ECHo, MMC detectors (Heidelberg)
- HOLMES, TES detectors (Milano, Genova, LNGS, NIST)
- Los Alamos Nat. Lab., Berkeley Univ., ...

Common technical challenges:

- Clean ^{163}Ho production;
- ^{163}Ho incorporation;
- Large channel number $\Rightarrow$ high speed MUX;
- Data handling (processing, storage, ...)





Goal

- Neutrino mass measurement:
 $\Rightarrow m_\nu$ statistical sensitivity as low as 0.4 eV;
- Prove technique potential and its scalability (Megapixel experiment);
- assess EC Q-value;
- assess systematic errors;



Baseline

- Transition Edge Sensors (TES) with ^{163}Ho implanted Bi:Au absorbers;
- $6.5 \cdot 10^{13}$ nuclei per detector $\Rightarrow$ 300 dec/s;
- $\Delta E \simeq 1$ eV and $\tau_{\text{rise}} \simeq 1$ μs ;
- 16 channel demonstrator/1000 channel final array;
- $3 \cdot 10^{13}$ events in 3 years;

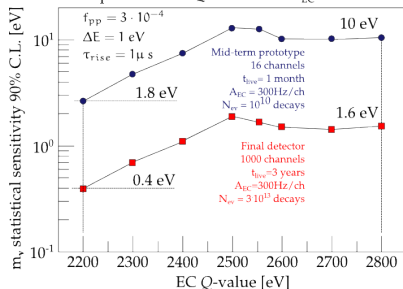


Project Start: 1 Feb 2014

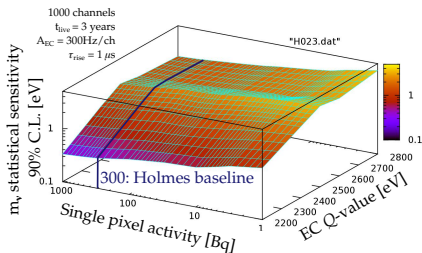
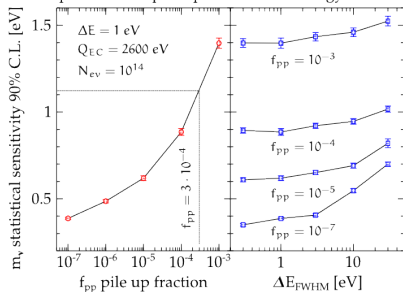
<http://artico.mib.infn.it/nucrimib/experiments/holmes>



^{163}Ho decay experiments statistical sensitivity dependence on Q-value with $A_{\text{EC}} = 300 \text{ Hz}$



^{163}Ho decay experiments statistical sensitivity dependence on pile-up fraction and energy resolution



- The experimental sensitivity is directly related to $f_{\text{pp}} = \tau_{\text{rise}} \cdot A_{\text{EC}}$ (pile-up);
- The impact of the energy resolution is relatively smaller than that of pile-up;
- In presence of a high level of pile-up, the experiment is relatively less sensitive to the energy resolution;

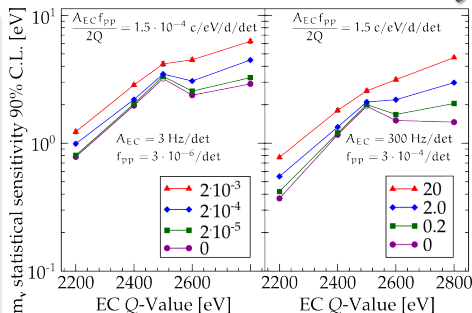


Background sources:

- Environmental γ radiation:
 - Compton interactions;
 - Photoelectric interactions with photoelectron escape;
 - Fluorescent X-rays and X-ray escape lines;
- γ and β from close surroundings;
- Cosmic rays at sea level (muons):
 - Au pixel: $200 \times 200 \times 3 \mu\text{m}^3$
 $\Rightarrow E \simeq 10 \text{ keV}, \text{rate} \simeq 1 \text{ d}^{-1}$;
 - Si chip: $20 \times 20 \times 0.5 \text{ mm}^3$
 $\Rightarrow E \simeq 300 \text{ keV}, \text{rate} \simeq 7000 \text{ d}^{-1}$;

Experimental results:

- MIBETA: $300 \times 300 \times 150 \mu\text{m}^3$ AgReO₄ crystals:
 $\Rightarrow b(2.5 \text{ keV}) \simeq 1.5 \cdot 10^{-4} \text{ c/eV/d/det}$;
- TES @ NIST (1600m): $350 \times 350 \times 2.5 \mu\text{m}^3$ Bi absorbers:
 $\Rightarrow b < 1 \text{ c/eV/d/det}$ (preliminary);



A constant background b is negligible if it is much smaller than the pile-up spectrum

$$b \ll \frac{\Lambda_{EC} \cdot f_{PP}}{2Q_{EC}}$$

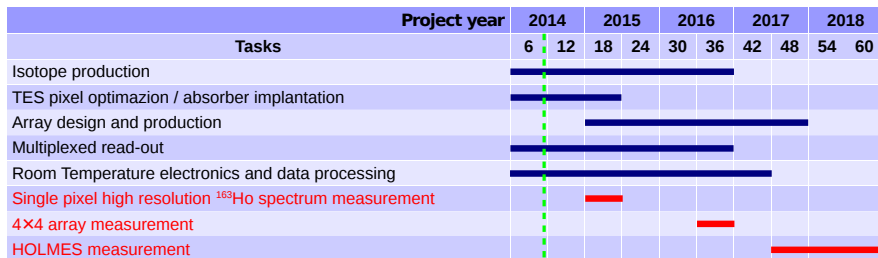
For large activities Λ_{EC} , and correspondingly large pile-up rate, the statistical sensitivity should be relatively insensitive to cosmic rays and to environmental radioactivity.



- ^{163}Ho isotope production;
- ^{163}Ho isotope embedding in detector;
- Single TES optimization and testing;
- TES array design, engineering and testing;
- SQUID read-out and multiplexing optimization and testing (rf-SQUID);
- Real time and offline signal processing and analysis (trigger, OF filter, pile-up rejection);
- Cryogenic set-up (new LHe-free cryostat, pulse-tube assisted);

Development in two steps:

- First demonstrator prototype: 16 pixel prototype;
- Final detector array: 1000 pixel;





Neutron reactor activation:

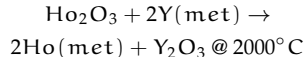
Enriched ^{162}Er targets for indirect production of ^{163}Ho



- Requires ^{162}Er enrichment (nat i.a.=0.139%) and oxide chemical form (Er_2O_3);
- $3 \cdot 10^{12}$ ^{163}Ho nuclei/mg(^{162}Er)/h for a thermal flux of 10^{13} n/cm²/s;
- Not all cross sections are known: the process will be studied;
- Unavoidable $^{166\text{m}}\text{Ho}$: $^{165}\text{Ho}(n, \gamma)^{166\text{m}}\text{Ho}$ ($\beta_{12} = 1200\text{ y}$) from Ho contaminations or $^{164}\text{Er}(n, \gamma)$;
- Unavoidable ^{164}Ho : fast neutron activation $^{163}\text{Ho}(n, \gamma)^{164}\text{Ho}$

Tm 163 1.81 h ϵ β^+ ... γ 104; 69; 241; 1434; 1397	Tm 164 6.1 m 2.9 m ϵ β^+ 2.9... γ 206; 1150; 105	Tm 165 30.06 h ϵ β^+ ... γ 243; 47; 297; 507	Tm 166 7.70 h ϵ β^+ 1.9... γ 779; 2052; 164; 1274	Tm 167 9.25 d ϵ γ 532... m	Tm 168 93.1 d ϵ ; β^+ ... β ... γ 198; 816; 447
Er 162 0.139 α 19 $\sigma_{\text{th}, \alpha} < 0.011$	Er 163 75 m β^+ ... γ (1114...) g	Er 164 1.601 α 13 $\sigma_{\text{th}, \alpha} < 0.0012$	Er 165 10.3 h ϵ no γ	Er 166 33.503 α 3 + 14 $\sigma_{\text{th}, \alpha} < 7\text{E-5}$	Er 167 2.3 s 22.869 β 200 $\sigma_{\text{th}, \alpha} < 3\text{E-6}$
Er 161 5.7 s ϵ β^+ ... γ 28; 78; h 211	Ho 162 68 m 15 m ϵ β^+ ... γ 185; 1220; 283; 167	Ho 163 1.1 a 4570 a ϵ β^+ ... γ 185; 1220; 283; 167	Ho 164 37 m 29 m ϵ β^+ ... γ 185; 1220; 283; 167	Ho 165 100 ϵ β^+ ... γ 185; 1220; 283; 167	Ho 166 1200 a 23.40 h ϵ β^+ ... γ 185; 1220; 283; 167
Dy 160 2.329 α 60 $\sigma_{\text{th}, \alpha} < 0.0003$	Dy 161 18.889 α 600 $\sigma_{\text{th}, \alpha} < 1\text{E-6}$	Dy 162 25.475 α 170	Dy 163 24.896 α 120 $\sigma_{\text{th}, \alpha} < 2\text{E-5}$	Dy 164 28.260 α 1610 + 1040	Dy 165 1.3 m 2.35 h ϵ β^+ ... γ 185; 1220; 283; 167
Tb 159 100 α 100 $\sigma_{\text{th}, \alpha} < 0.0003$	Tb 160 72 d 3.3 d α 100 $\sigma_{\text{th}, \alpha} < 0.0003$	Tb 161 6.90 d α 100 $\sigma_{\text{th}, \alpha} < 0.0003$	Tb 162 7.76 m α 100 $\sigma_{\text{th}, \alpha} < 0.0003$	Tb 163 10.5 m α 100 $\sigma_{\text{th}, \alpha} < 0.0003$	Tb 164 9.0 m α 100 $\sigma_{\text{th}, \alpha} < 0.0003$

Thermoreduction to obtain the metallic Ho target for implantation:



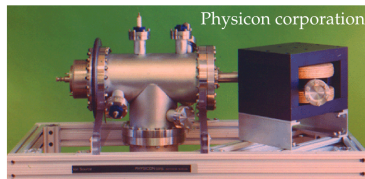
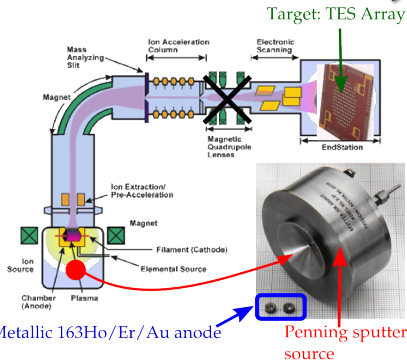


Implant procedure:

- magnetic mass separation to separate ^{163}Ho from Dy, Er and more;
- ^{163}Ho embedding in the detector absorber;

Ion implanter:

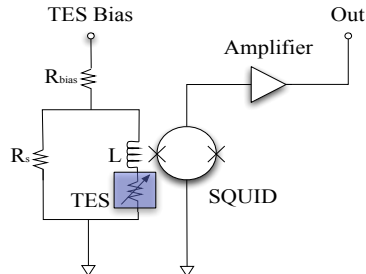
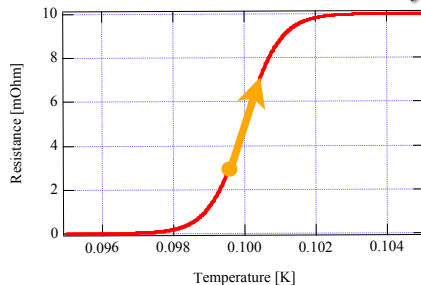
- Ionic source: Penning sputter source with metallic $^{163}\text{Ho}/\text{Er}/\text{Au}$ anode;
- Mass-analysis magnet for ion beam extracted from the ion source;
- Acceleration mechanics: ions electrostatically accelerated to a high energy;
- Target chamber: ions (^{163}Ho) impinge on a target (TES absorber, Au);
- $20 \div 30 \text{ KeV}$ for $50 \div 100 \text{ nm}$ penetration in Au;





Transition Edge Sensor (TES): cryogenic particle detector that exploits the strongly temperature-dependent resistance of the superconducting phase transition.

- Superconductor biased in its transition;
- "Self-biased region" $\Rightarrow$ the power dissipated in the device is constant with the applied bias;
- Electrothermal feedback: if $R_{TES} \uparrow \Rightarrow I_{TES} \downarrow \Rightarrow P_J \downarrow \Rightarrow$ cooling the device back to its equilibrium state in the self-biased region;
- Low resistance: read out with SQUIDs (Superconducting Quantum Interference Devices);
- TES operates in series with the input coil L which is inductively coupled to a SQUID series-array;
- Change in TES current $\Rightarrow$ change in the input flux to the SQUID;
- The SQUID output is further amplified and read by room-temperature electronics;

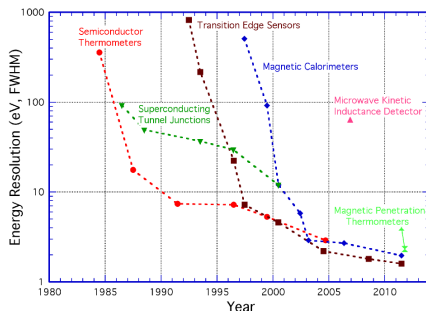




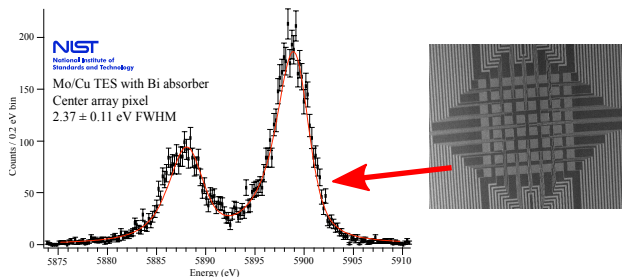
- Strongly supported by the X-ray astrophysics community for the past couple of decades (but also Dark Matter and rare events research);
- Small size $\Rightarrow$ low thermal capacity $C \Rightarrow$ excellent energy resolution:

$$\Delta E_{FWHM} = \begin{cases} 1.26 \text{ eV @ } 1.5 \text{ keV} \\ 1.58 \text{ eV @ } 6 \text{ keV} \\ 1.94 \text{ eV @ } 8 \text{ keV} \end{cases}$$

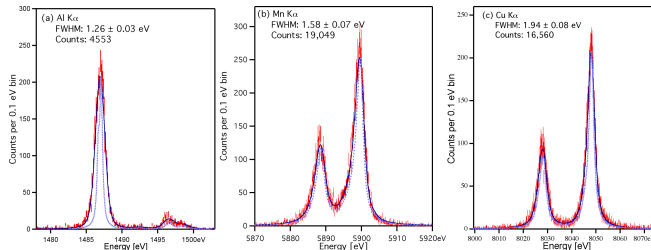
- The negative electro-thermal feedback provides a fast time response;
- Large Array and multiplexing (TDM, CDM and FDM);
- Cross-talk between pixels less than 0.01%;
- Tunable critical temperature T_C exploiting the proximity effect [24] $\Rightarrow$ Mo:Au or Mo:Cu proximity TES ($T_C \simeq 100 \text{ mK}$);

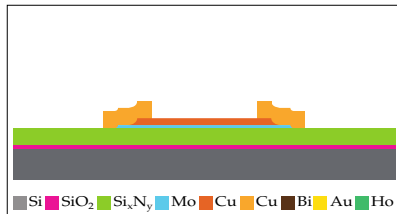


TESs are natural candidates to reach an energy resolution of 1 eV and a time resolution of 1 μ s



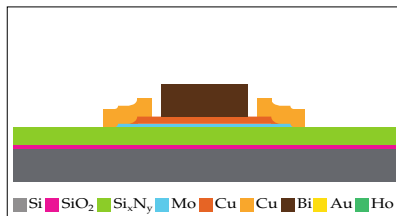
NASA/Goddard Space Flight Center





Phase 1 @NIST

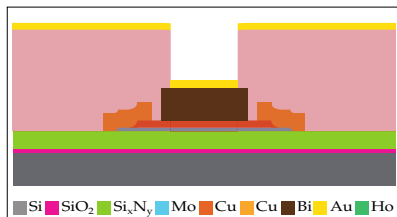
- Mo:Cu proximity TES ($T_C \simeq 100$ mK) [24];
- SiO₂ stopper layer;
- Si_xN_y membrane for thermal insulation;
- deposited on a Si substrate.



Phase 2 @NIST

Bismuth deposition for the first absorber layer ($2 \div 4 \mu\text{m}$) by lift-off process:

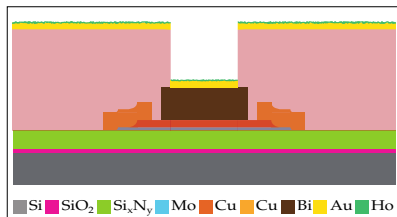
- Photoresist deposition (sacrificial layer);
- Target material (Bi) deposition;
- Wash out of the sacrificial layer;



Phase 3 @NIST

Gold deposition for the second absorber layer ($0.1 \div 0.2 \mu\text{m}$):

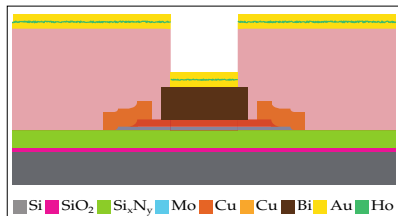
- Lift-off not finished (no photoresist wash out);
- Ship to Genova (for the ^{163}Ho implant;



Phase 4 @Genova

^{163}Ho implanting:

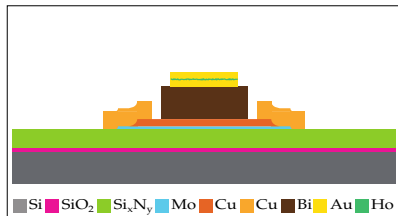
- Metallic $^{163}\text{Ho}/\text{Er}/\text{Au}$ anode (source);
- Magnetic mass separation;
- Implantation and magnetic separation;



Phase 5 @Genova

Gold film deposition for full containment:

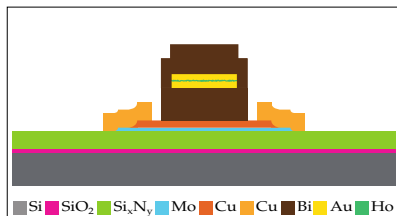
- ¹⁶³Ho coating with thin Au ($0.1 \div 0.2 \mu\text{m}$) layer;



Phase 6 @Genova

Lift-off of the Au:Ho:Au layer:

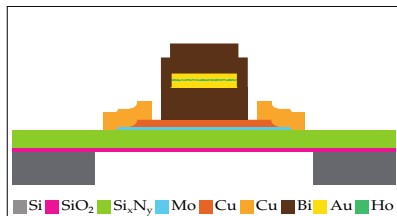
- ¹⁶³Ho-implanted Au-absorber.



Phase 7 @Genova

Second Bi absorber layer ($2 \div 4 \mu\text{m}$):

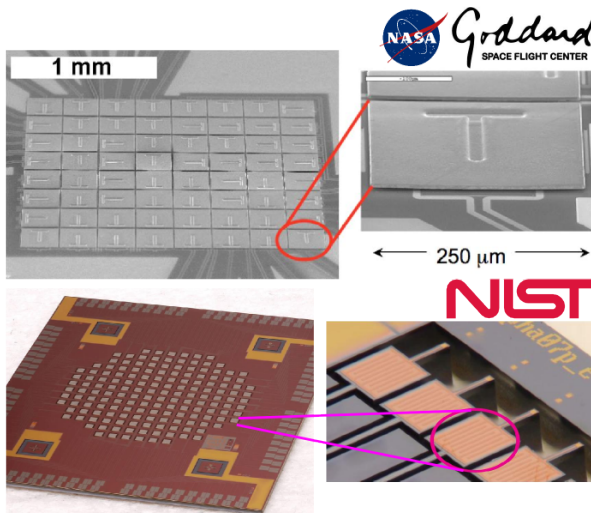
- Bi fully encapsulates Au:Ho layer;



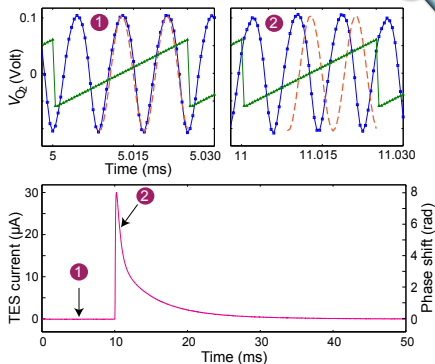
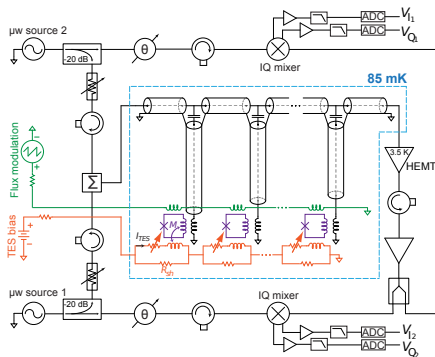
Phase 8 @Genova (final fabrication phase)

Deep reactive ion etching (DRIE) to remove Si substrate (and to achieve necessary thermal isolation):

- Suspended TES with Bi:Cu absorber ^{163}Ho -implanted (T-shaped);
- Ship to Milano;
- Installation in the Cryogenics facility;



NIST design is the starting point for the HOLMES array detector: 4×256 pixel



- DC biased TES (1 bias for all TESs);
- SQUID coupled with TES and a resonator circuit;
- Microwave rf-SQUID read out with flux ramp modulation (common flux line is inductively coupled to all the SQUIDs);
- Signal reconstructed by homodyne detection (IQ signal de-multiplexing) and demodulation;

- 2 Channels demonstrator @NIST [25];
- Bandwidth/pixel 10MHz $\Rightarrow$ 50 resonances between 0 and 500MHz (typical commercial ADC range);



- Reconfigurable Open Architecture Computing Hardware (ROACH) designed by the Collaboration For Astronomy Signal Processing and Electronics Research (CASPER);
- Xilinx Virtex FPGA based digital data processing;
- Frequency comb generation ($\simeq 60$ tones in the $0 \div 550$ MHz range);
- Quadrature frequency upmixing (500 MHz $\rightarrow$ 5 GHz) and down-mixing (5 GHz $\rightarrow$ 500 MHz);
- Signal channelizing and rf-SQUID signal de-modulation
- Real time signal processing;
- Strongly tested for MKIDs read-out (ARCONS, 2048 pixels);

IF Board

ADC/DAC
Board

ROACH2

Design for HOLMES:

- $4 \times 256 = 1024$ pixels;
- Target: 64 resonace per ROACH-module;
- Complete system composed by 16 module.



- The measurement of the end point of nuclear beta or electron capture (EC) decays spectra is the only model-independent;
- The goal of the next generation experiments is the sub-eV neutrino mass sensitivity;
- The HOLMES experiment will performe a direct measurment of the nueutrino mass by using microcalorimenter with absorber ^{163}Ho -implanted;
- The Goals of the HOLMES experiment are:
 - assess EC Q-value of the ^{163}Ho ;
 - assess systematic errors;
 - achieve a statistical sensitivity as low as 0.4 eV;
 - prove technique potential and its scalability (Megapixel experiment);



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